

NORTHERN ARIZONA UNIVERSITY
INTERNAL AUDIT PLAN: Fiscal Year 2021
ABOR Approved Detail Activity Plan

CABINET	PROJECT TITLE	PROJECT TYPE	RISK	Strategic Objective	Last Audit
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I. PLANNED AUDIT & CONSULTING PROJECTS

Athletics	NCAA Compliance Audit (Year 3 of 3)	Audit	External: Government	Stewardship Student Success & Access	FY20
Enrollment Management	Financial Aid Audit	Audit	Resources: Financial	Stewardship Student Success & Access	FY17 - Private Donor Scholarships
HR / NAU-Wide	eCERT Process Audit	Audit	Government / Compliance	Stewardship	FY16
ITS	IT Security Audit	Audit	Cybersecurity	Student Success & Access Stewardship	FY20 - Salesforce Access Mgmt
Research	Unfunded Research Audit	Audit	External: Government	Research & Discovery	N/A
FIPA / NAU-Wide	Cost Reduction / Expense Analysis	Consulting	Resources: Financial	Stewardship	N/A
NAU-Wide	CARES Act Compliance	Consulting	Government / Compliance Resources: Financial	Stewardship	N/A
NAU-Wide	Fraud Risk Assessment	Consulting	NAU Culture	Stewardship ALL	N/A

II. AUDIT FOLLOW-UP

ALL	First Quarter	Audit	All	All	N/A
ALL	Second Quarter	Audit	All	All	N/A
ALL	Third Quarter	Audit	All	All	N/A
ALL	Fourth Quarter	Audit	All	All	N/A