



STATE BUDGET REQUEST | FY23

NAU
NORTHERN
ARIZONA
UNIVERSITY



September 1, 2021

Governor Ducey and Members of the Arizona State Legislature:

This document summarizes Northern Arizona University's request for state appropriated resources to meet anticipated needs of our institution during Fiscal Year 2023. It represents budget requirements necessary to maintain the level of educational excellence previously provided by this university with the support of the state of Arizona. The NAU request was prepared under guidelines endorsed by the Governor's Office of Strategic Planning and Budgeting and the Arizona Board of Regents.

I look forward to reviewing Northern Arizona University's budget request with you and your staff.

Sincerely,

A handwritten signature in blue ink, appearing to read "JL Cruz", is positioned above the printed name.

José Luis Cruz Rivera

President

**NORTHERN ARIZONA UNIVERSITY
FY 2023 STATE OPERATING BUDGET REQUEST**

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STATE OF ARIZONA
BUDGET REQUEST
TRANSMITTAL STATEMENT

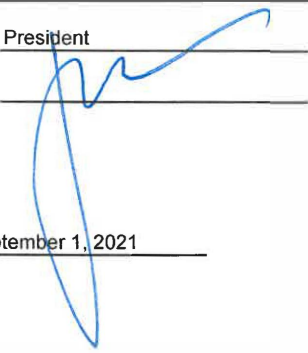
AGENCY NORTHERN ARIZONA UNIVERSITY 0440
A.R.S. CITATION 15-1601

	<u>FY 2023 Request</u>
TOTAL STATE BUDGET REQUEST	<u>\$759,401,700</u>
GENERAL FUND	<u>151,952,400</u>
OTHER APPROPRIATED FUNDS	<u>134,983,300</u>
FEDERAL FUNDS	<u>124,469,500</u>
OTHER NON-APPROPRIATED FUNDS	<u>347,996,500</u>

GOVERNOR DUCEY:

In accordance with A.R.S. 35-113, this and the accompanying budget schedules, statements, and explanatory information constitute the operating budget estimates of this agency for approved expenditures in fiscal year 2022.

All statements and explanations contained in the estimates submitted herewith are true and correct to the best of my knowledge.

Agency Head Jose Luis Cruz Rivera
Title President
Signature 

Request Prepared by Bjorn Flugstad
Phone (928) 523-4240

Date Prepared September 1, 2021

TRANSMITTAL STATEMENT

NORTHERN ARIZONA UNIVERSITY MISSION, VISION, VALUES, AND GOALS

Mission

Our academic programs, research, public service, and creative endeavors enrich lives and create opportunities in Arizona and beyond. We develop solutions to challenges and drive innovation in a supportive, inclusive, and diverse environment.

Vision

NAU leads the way to a better Arizona and a sustainable world through personalized attention to student success and scholarly excellence

Values

- Student centered: We place student success at the center of our academic and service planning, policies, and programs.
- Integrity: We operate with fairness, honesty, and the highest ethical standards.
- Diversity: We strengthen our community through diversity of cultures, experiences, and perspectives.
- Service: We partner with our communities, in the spirit of collaboration, to provide services and expertise to support Arizona, the nation, and the world.
- Discovery: We engage in innovation to create, share, and apply new knowledge, scholarship, and artistry.
- Excellence: We commit to the highest quality in all endeavors.

Northern Arizona University Goals

Student Success and Access

Educate students to serve, lead, and achieve

Research and Discovery

Expand the boundaries of knowledge and creativity

Commitment to Native Americans

Become the nation's leading university serving Native Americans

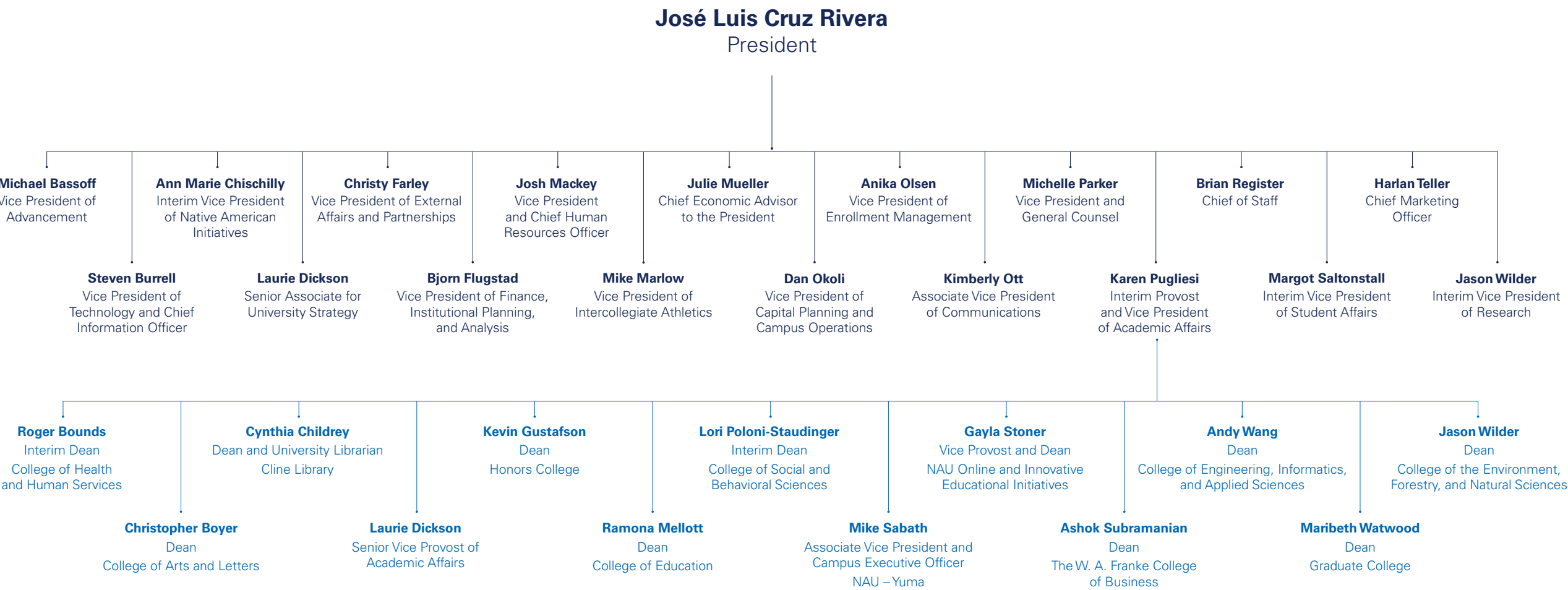
Engagement

Strengthen university, community, regional, national, and global ties for the mutually beneficial exchange of knowledge and resources

Stewardship

Ensure long-term viability of the university

ORGANIZATION CHART



**SCHEDULE 1B
COMBINED FUNDS SUMMARY - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2021 Actual	2022 Estimate	2023 Estimate
Balance Forward	124,829.5	145,903.4	166,302.9
Receipts	441,492.3	463,147.3	470,676.5
TOTAL FUNDS AVAILABLE	566,321.8	609,050.7	636,979.4
DISPOSITION OF FUNDS			
FTE Positions	1,537.4	1,821.6	1,929.1
Personal Services	107,614.9	127,515.0	135,033.0
ERE	33,211.0	41,523.1	44,017.4
All Other Operating Expenditures:			
Professional and Outside Services	57,232.7	64,416.3	68,759.8
Travel: In State	641.2	1,749.0	1,851.0
Travel: Out of State	1,303.6	3,503.5	3,746.3
Other Operating Expenditures	166,193.0	176,025.8	190,221.1
Library Acquisitions	0.2	4.0	4.4
Equipment	3,971.2	5,308.8	5,505.3
All Other Operating Subtotal	229,341.8	251,007.4	270,087.9
TOTAL FUNDS EXPENDED	370,167.7	420,045.5	449,138.3
Net Transfers Out (In)	50,250.7	22,700.0	23,359.9
TOTAL DISPOSITION OF FUNDS	420,418.4	442,745.5	472,498.2
BALANCE FORWARD TO NEXT YEAR	145,903.4	166,305.2	164,481.2
Operating Expenditure Detail:			
Operating:			
Operating - Federal	107,127.3	108,198.5	109,280.7
Operating - Other Non Appropriated	263,040.4	311,847.0	339,857.6
Total Operating Expenditures	370,167.7	420,045.5	449,138.3
Total Funds Expended	370,167.7	420,045.5	449,138.3

**SCHEDULE 1B
DESIGNATED TUITION AND FEES - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2021 Actual	2022 Estimate	2023 Estimate
Balance Forward	28,969.7	27,222.2	23,821.1
Receipts	122,503.6	124,908.0	127,406.2
TOTAL FUNDS AVAILABLE	151,473.3	152,130.2	151,227.3
DISPOSITION OF FUNDS			
FTE Positions	185.6	200.8	220.9
Personal Services	12,990.4	14,054.5	15,460.0
ERE	3,183.8	3,546.1	3,900.7
All Other Operating Expenditures:			
Professional and Outside Services	8,138.8	4,640.3	5,104.3
Travel: In State	54.8	205.6	226.2
Travel: Out of State	0.0	241.7	265.9
Other Operating Expenditures	7,627.6	7,943.0	11,120.2
Library Acquisitions	0.0	0.0	0.0
Equipment	179.4	398.0	437.8
All Other Operating Subtotal	16,000.6	13,428.6	17,154.4
TOTAL FUNDS EXPENDED	32,174.8	31,029.2	36,515.0
Net Transfers Out (In)	92,076.3	97,279.9	87,551.9
TOTAL DISPOSITION OF FUNDS	124,251.1	128,309.1	124,066.9
BALANCE FORWARD TO NEXT YEAR	27,222.2	23,821.1	27,160.3
Source of Revenue: Tuition and fees retained by the University and summer session fees. Resources in this fund are designated in use. Purpose of Fund: To account for transactions related to academic year tuition and fees retained by the University and the summer session program. Indirect cost recovery, part of the designated fund group, is presented separately.			

SCHEDULE 1B
OTHER DESIGNATED (EXCLUDING INDIRECT COST RECOVERY) - SOURCE AND DISPOSITION OF FUNDS

FUNDS AVAILABLE	2021 Actual	2022 Estimate	2023 Estimate
Balance Forward	37,847.1	43,840.5	64,113.4
Receipts	50,611.3	55,837.7	56,954.5
TOTAL FUNDS AVAILABLE	88,458.4	99,678.2	121,067.8
DISPOSITION OF FUNDS			
FTE Positions	403.5	579.1	637.0
Personal Services	28,242.9	40,535.2	44,588.7
ERE	8,867.6	14,246.8	15,671.5
All Other Operating Expenditures:			
Professional and Outside Services	25,037.9	29,528.0	32,480.8
Travel: In State	45.3	392.3	431.5
Travel: Out of State	27.6	1,124.0	1,236.4
Other Operating Expenditures	47,112.7	49,414.9	54,356.4
Library Acquisitions	0.2	4.0	4.4
Equipment	422.7	1,069.0	1,175.9
All Other Operating Subtotal	72,646.4	81,532.2	89,685.4
TOTAL FUNDS EXPENDED	109,756.9	136,314.2	149,945.6
Net Transfers Out (In)	(65,139.0)	(100,749.4)	(90,674.5)
TOTAL DISPOSITION OF FUNDS	44,617.9	35,564.8	59,271.2
BALANCE FORWARD TO NEXT YEAR	43,840.5	64,113.4	61,796.7
Source of Revenue: Unrestricted gifts and departmental revenues. Resources in this fund are designated in use. Purpose of Fund: To account for transactions related to the use of unrestricted gifts and departmental revenues. Indirect cost recovery, part of the designated fund group, is presented separately. Notes: Indirect Cost Recovery are adjusted out of these totals and are reported on a separate schedule in this report.			

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B
 AUXILIARY FUNDS - SOURCE AND DISPOSITION OF FUNDS**

	2021 Actual	2022 Estimate	2023 Estimate
FUNDS AVAILABLE			
Balance Forward	28,861.7	37,828.2	37,850.9
Receipts	85,710.4	97,385.3	99,333.0
TOTAL FUNDS AVAILABLE	114,572.1	135,213.5	137,183.9
DISPOSITION OF FUNDS			
FTE Positions	344.4	409.2	429.7
Personal Services	24,111.1	28,645.2	30,077.5
ERE	8,584.4	10,335.8	10,852.6
All Other Operating Expenditures:			
Professional and Outside Services	8,308.8	14,307.1	15,022.5
Travel: In State	207.0	743.6	780.8
Travel: Out of State	1,245.8	1,897.7	1,992.6
Other Operating Expenditures	35,901.6	40,562.8	42,590.9
Library Acquisitions	0.0	0.0	0.0
Equipment	364.8	693.0	706.9
All Other Operating Subtotal	46,027.9	58,204.2	61,093.6
TOTAL FUNDS EXPENDED	78,723.4	97,185.2	102,023.7
Net Transfers Out (In)	(1,979.5)	177.4	186.3
TOTAL DISPOSITION OF FUNDS	76,743.9	97,362.6	102,209.9
BALANCE FORWARD TO NEXT YEAR	37,828.2	37,850.9	34,974.0
Source of Revenue: Sales and services from substantially self supporting activities.			
Purpose of Fund: To account for transactions of substantially self supporting activities that provide services for students, faculty and staff.			

SCHEDULE 1B
DESIGNATED INDIRECT COST RECOVERY - SOURCE AND DISPOSITION OF FUNDS

FUNDS AVAILABLE	2021 Actual	2022 Estimate	2023 Estimate
Balance Forward	13,626.6	21,836.3	25,341.3
Receipts	16,037.1	16,357.9	16,685.0
TOTAL FUNDS AVAILABLE	29,663.8	38,194.2	42,026.3
DISPOSITION OF FUNDS			
FTE Positions	42.6	65.7	69.0
Personal Services	2,982.8	4,599.5	4,829.5
ERE	903.3	1,605.8	1,686.1
All Other Operating Expenditures:			
Professional and Outside Services	1,248.6	1,297.5	1,362.4
Travel: In State	8.6	81.0	85.1
Travel: Out of State	13.5	223.2	234.4
Other Operating Expenditures	1,721.5	3,526.5	6,876.7
Library Acquisitions	0.0	0.0	0.0
Equipment	299.0	416.5	424.8
All Other Operating Subtotal	3,291.3	5,544.7	8,983.3
TOTAL FUNDS EXPENDED	7,177.4	11,750.0	15,498.9
Net Transfers Out (In)	650.1	1,102.9	1,158.0
TOTAL DISPOSITION OF FUNDS	7,827.5	12,852.9	16,656.9
BALANCE FORWARD TO NEXT YEAR	21,836.3	25,341.3	25,369.4
Source of Revenue:			
Indirect costs recovered from sponsored research programs.			
Purpose of Fund:			
To account for transactions designated for various activities that assist or promote sponsored research at the University.			

**SCHEDULE 1B
CAPITAL INFRASTRUCTURE FUND - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2021 Actual	2022 Estimate	2023 Estimate
Balance Forward	0.0	0.0	0.0
Receipts	4,692.9	4,750.6	4,750.6
TOTAL FUNDS AVAILABLE	4,692.9	4,750.6	4,750.6
DISPOSITION OF FUNDS			
FTE Positions	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0
ERE	0.0	0.0	0.0
All Other Operating Expenditures:			
Professional and Outside Services	0.0	0.0	0.0
Travel: In State	0.0	0.0	0.0
Travel: Out of State	0.0	0.0	0.0
Other Operating Expenditures	4,692.9	4,750.6	4,750.6
Library Acquisitions	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
All Other Operating Subtotal	4,692.9	4,750.6	4,750.6
TOTAL FUNDS EXPENDED	4,692.9	4,750.6	4,750.6
Net Transfers Out (In)	0.0	0.0	0.0
TOTAL DISPOSITION OF FUNDS	4,692.9	4,750.6	4,750.6
BALANCE FORWARD TO NEXT YEAR	0.0	0.0	0.0
Source of Revenue:			
Unrestricted gifts and departmental revenue			
Purpose of Fund:			
To account for capitol infrastructure appropriation match			

**SCHEDULE 1B
RESTRICTED FEDERAL - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2021 Actual	2022 Estimate	2023 Estimate
Balance Forward	0.0	0.0	0.0
Receipts	122,016.8	123,236.9	124,469.5
TOTAL FUNDS AVAILABLE	122,016.8	123,236.9	124,469.5
DISPOSITION OF FUNDS			
FTE Positions	362.7	366.3	370.0
Personal Services	25,389.6	25,643.5	25,899.9
ERE	7,339.5	7,412.9	7,487.0
All Other Operating Expenditures:			
Professional and Outside Services	12,804.9	12,932.9	13,062.2
Travel: In State	201.9	203.9	205.9
Travel: Out of State	16.7	16.9	17.1
Other Operating Expenditures	60,362.5	60,966.1	61,575.8
Library Acquisitions	0.0	0.0	0.0
Equipment	1,012.2	1,022.3	1,032.8
All Other Operating Subtotal	74,398.2	75,142.1	75,893.8
TOTAL FUNDS EXPENDED	107,127.3	108,198.5	109,280.7
Net Transfers Out (In)	14,889.5	15,038.4	15,188.8
TOTAL DISPOSITION OF FUNDS	122,016.8	123,236.9	124,469.5
BALANCE FORWARD TO NEXT YEAR	0.0	0.0	0.0
Source of Revenue:			
Grants and contracts from the federal government.			
Purpose of Fund:			
To account for current operating funds restricted in use by the federal government. Revenue and operating expenditures exclude indirect cost recovery.			

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B
 RESTRICTED NON FEDERAL FUNDS - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2021 Actual	2022 Estimate	2023 Estimate
Balance Forward	15,524.4	15,176.3	15,176.3
Receipts	39,920.2	40,670.9	41,077.7
TOTAL FUNDS AVAILABLE	55,444.6	55,847.2	56,254.0
DISPOSITION OF FUNDS			
FTE Positions	198.5	200.5	202.5
Personal Services	13,898.1	14,037.1	14,177.5
ERE	4,332.4	4,375.7	4,419.5
Professional and Outside Services	1,693.6	1,710.5	1,727.6
Travel: In State	123.6	122.6	121.6
Travel: Out of State	0.0	0.0	0.0
Other Operating Expenditures	8,774.2	8,861.9	8,950.5
Library Acquisitions	0.0	0.0	0.0
Equipment	1,693.1	1,710.0	1,727.1
All Other Operating Subtotal	12,284.5	12,407.3	12,526.8
TOTAL FUNDS EXPENDED	30,515.0	30,820.1	31,123.8
Net Transfers Out (In)	9,753.3	9,850.8	9,949.3
TOTAL DISPOSITION OF FUNDS	40,268.3	40,670.9	41,073.1
BALANCE FORWARD TO NEXT YEAR	15,176.3	15,176.3	15,180.9
Source of Revenue: State appropriated match for the Financial Aid Trust Fund and nonfederal grants and contracts.			
Purpose of Fund: To account for current operating funds restricted in use by nonfederal grants and contracts. Revenue and operating expenditures exclude indirect cost recovery.			

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B
 LOAN FUNDS - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2021 Actual	2022 Estimate	2023 Estimate
Balance Forward	999.2	769.6	699.6
Receipts	251.9	30.0	30.0
TOTAL FUNDS AVAILABLE	1,251.1	799.6	729.6
DISPOSITION OF FUNDS			
FTE Positions	0.0	0.0	0.0
Personal Services			
ERE			
All Other Operating Expenditures:			
Professional and Outside Services			
Travel: In State			
Travel: Out of State			
Other Operating Expenditures	365.7	100.0	100.0
Library Acquisitions			
Equipment			
All Other Operating Subtotal	365.7	100.0	100.0
TOTAL FUNDS EXPENDED	365.7	100.0	100.0
Net Transfers Out (In)	115.8		
TOTAL DISPOSITION OF FUNDS	481.5	100.0	100.0
BALANCE FORWARD TO NEXT YEAR	769.6	699.6	629.6
Source of Revenue:			
Financial aid trust fund fees assessed to students and investment income.			
Purpose of Fund:			
To accumulate a pool of money large enough to provide funds for financial aid from future earnings.			

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

SCHEDULE 1B
ENDOWMENT AND LIFE INCOME FUNDS - SOURCE AND DISPOSITION OF FUNDS

FUNDS AVAILABLE	2021 Actual	2022 Estimate	2023 Estimate
Balance Forward	33,557.3	46,960.4	47,060.4
Receipts	13,403.1	100.0	100.0
TOTAL FUNDS AVAILABLE	46,960.4	47,060.4	47,160.4
DISPOSITION OF FUNDS			
FTE Positions	0.0	0.0	0.0
Personal Services			
ERE			
All Other Operating Expenditures:			
Professional and Outside Services			
Travel: In State			
Travel: Out of State			
Other Operating Expenditures			
Library Acquisitions			
Equipment			
All Other Operating Subtotal	0.0	0.0	0.0
TOTAL FUNDS EXPENDED	0.0	0.0	0.0
Net Transfers Out (In)	0.0	0.0	0.0
TOTAL DISPOSITION OF FUNDS	0.0	0.0	0.0
BALANCE FORWARD TO NEXT YEAR	46,960.4	47,060.4	47,160.4
Source of Revenue: Financial aid trust fund fees assessed to students and investment income.			
Purpose of Fund: To accumulate a pool of money large enough to provide funds for financial aid from future earnings.			

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
COST CENTER/PROGRAM NAME: SUMMARY

SCHEDULE 1B
DESIGNATED INDIRECT COST RECOVERY (NON FEDERAL) - SOURCE AND DISPOSITION OF FUNDS

FUNDS AVAILABLE	2021 Actual	2022 Estimate	2023 Estimate
Balance Forward	13,626.6	21,836.3	25,341.3
Receipts	1,147.6	1,319.5	1,496.2
TOTAL FUNDS AVAILABLE	14,774.2	23,155.8	26,837.5
DISPOSITION OF FUNDS			
FTE Positions	42.6	68.0	71.4
Personal Services	2,982.8	4,763.1	5,001.3
ERE	903.3	1,662.7	1,745.8
All Other Operating Expenditures:			
Professional and Outside Services	1,248.6	1,454.2	1,526.9
Travel: In State	8.6	86.8	91.1
Travel: Out of State	13.5	223.2	234.4
Other Operating Expenditures	1,721.5	3,570.0	3,748.5
Library Acquisitions	0.0	0.0	0.0
Equipment	299.0	416.5	424.8
All Other Operating Subtotal	3,291.2	5,750.7	6,025.7
TOTAL FUNDS EXPENDED	7,177.3	12,176.5	12,772.8
Net Transfers Out (In)	(15,401.4)	(13,826.2)	(14,978.8)
TOTAL DISPOSITION OF FUNDS	(7,062.1)	(2,185.5)	(1,705.8)
BALANCE FORWARD TO NEXT YEAR	21,836.3	25,341.3	28,543.3

Source of Revenue:

Indirect costs recovered from sponsored research programs.

Purpose of Fund:

To account for transactions designated for various activities that assist or promote sponsored research at the University.

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

SCHEDULE 1B
DESIGNATED INDIRECT COST RECOVERY (FEDERAL) - SOURCE AND DISPOSITION OF FUNDS

FUNDS AVAILABLE	2021 Actual	2022 Estimate	2023 Estimate
Balance Forward	0.0	0.0	0.0
Receipts	14,889.5	15,038.4	15,188.8
TOTAL FUNDS AVAILABLE	14,889.5	15,038.4	15,188.8
DISPOSITION OF FUNDS			
FTE Positions	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0
ERE	0.0	0.0	0.0
All Other Operating Expenditures:			
Professional and Outside Services	0.0	0.0	0.0
Travel: In State	0.0	0.0	0.0
Travel: Out of State	0.0	0.0	0.0
Other Operating Expenditures	0.0	0.0	0.0
Library Acquisitions	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
All Other Operating Subtotal	0.0	0.0	0.0
TOTAL FUNDS EXPENDED	0.0	0.0	0.0
Net Transfers Out (In)	14,889.5	15,038.4	15,188.8
TOTAL DISPOSITION OF FUNDS	14,889.5	15,038.4	15,188.8
BALANCE FORWARD TO NEXT YEAR	0.0	0.0	0.0

Source of Revenue:

Indirect costs recovered from sponsored research programs.

Purpose of Fund:

To account for transactions designated for various activities that assist or promote sponsored research at the University.

**STATE OF ARIZONA
SCHEDULE 1D
STATE COLLECTIONS REVENUE**

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

University: NORTHERN ARIZONA			Actual FY2019	Actual FY2020	Actual FY2021	Budgeted FY2022	INCREASE/ (DECREASE)	Request FY2023
RESIDENT AND NON RESIDENT TUITION								
NON RESIDENT TUITION RECEIPTS								
Undergraduate	Non Fixed		7,801,100	9,439,000	12,390,500	10,823,200	1,232,100	12,055,300
	Fixed		24,552,000	27,824,700	18,783,600	18,857,900	4,317,800	23,175,700
WUE	Non Fixed		432,300	540,700	27,700	28,400	(1,100)	27,300
	Fixed		76,383,800	71,687,800	59,488,600	58,879,600	(807,900)	58,071,700
Graduate			8,338,000	9,494,900	9,796,700	11,054,000	181,200	11,235,200
RESIDENT TUITION RECEIPTS								
Undergraduate - Other Sites			27,719,600	23,492,300	19,926,000	18,614,900	344,000	18,958,900
Undergraduate Flagstaff	Non Fixed		138,900	289,900	49,000	54,700	(379,000)	(324,300)
	Fixed		60,030,700	55,058,400	44,586,700	43,871,500	(200)	43,871,300
Graduate			18,027,000	19,483,500	19,150,600	21,163,800	313,100	21,476,900
SPECIAL PROGRAM FEES			4,248,500	4,608,000	5,706,000	5,848,400	-	5,848,400
INSTITUTIONAL FINANCIAL AID (VALUE OF WAIVERS*)			98,966,600	112,136,400	114,870,600	123,669,500	4,569,200	128,238,700
TOTAL STUDENT FEE TUITION RECEIPTS			326,638,500	334,055,600	304,776,000	312,865,900	9,769,200	322,635,100
Less Financial Aid Awards			99,894,800	113,039,100	115,811,900	124,628,500	4,569,200	129,197,700
Less Debt Service/Plant Fund			17,000,000	18,000,000	7,200,000	11,200,000	5,200,000	15,400,000
Less Regents' Financial Aid Set-Aside			33,000,000	33,000,000	33,000,000	33,000,000	-	33,000,000
Less All Other Local Retention			18,534,800	16,987,000	13,467,800	13,989,100	-	13,989,100
Total Local Retention (from Schedule 1D-4)			168,429,600	181,026,100	169,479,700	182,817,600	9,769,200	191,586,800
SUBTOTAL NET TUITION TO STATE BUDGET			158,208,900	153,029,500	135,296,300	130,048,300	-	131,048,300

STATE OF ARIZONA
SCHEDULE 1D
STATE COLLECTIONS REVENUE

NORTHERN ARIZONA UNIVERSITY 0440

FUND: 1421

	Actual FY2019	Actual FY2020	Actual FY2021	Budgeted FY2022	INCREASE/ (DECREASE)	Request FY2023
University: NORTHERN ARIZONA						
OTHER REVENUES/RECEIPTS						
Miscellaneous Student Receipts	125,000	200,000	200,000	210,000		210,000
Summer Sessions Fees	1,900,000	2,200,000	2,700,000	3,700,000		3,700,000
State Land Grant Endowment (incl Prop 123 - Eminent Scholars)	725,000	725,000	725,000	1,025,000		1,025,000
Actual Balance Forward	-		-	-		-
TOTAL OTHER RECEIPTS	2,750,000	3,125,000	3,625,000	4,935,000	-	4,935,000
TOTAL APPROVED TO SUPPORT STATE EXPENDITURE AUTHORITY	160,958,900	156,154,500	138,921,300	134,983,300	-	135,983,300

STATE OF ARIZONA
SCHEDULE 1D
STATE COLLECTIONS REVENUE

NORTHERN ARIZONA UNIVERSITY 0440

FUND: 1421

			Actual FY2019	Actual FY2020	Actual FY2021	Budgeted FY2022	INCREASE/ (DECREASE)	Request FY2023
University: NORTHERN ARIZONA								
FULL TIME EQUIVALENT STUDENTS (FALL SEMESTER 21 Day)								
Under 15/12/10 Methodology			29,384	29,175	28,078	27,380	-	27,380
FULL PAY EQUIVALENT STUDENTS								
NON RESIDENT FPE STUDENTS			6,510	6,148	5,849	5,513	136	5,649
Undergraduate	Non Fixed		484	616	977	795	116	911
	Fixed		937	716	549	535	63	598
WUE	Non Fixed		32	39	2	2	-	2
	Fixed		4,576	4,241	3,718	3,538	(33)	3,505
Graduate			481	536	603	643	(10)	633
As a percent of Full Time Equivalent Students			22.2%	21.1%	20.8%	20.1%		20.6%
RESIDENT FPE STUDENTS			9,550	8,547	7,828	7,345	88	7,433
Undergraduate Flag	Non Fixed		16	12	6	7	-	7
	Fixed		5,396	4,741	4,181	3,822	(15)	3,807
Undergraduate Other Campuses			2,512	2,090	1,833	1,630	57	1,687
Graduate			1,626	1,704	1,808	1,886	46	1,932
As a percent of Full Time Equivalent Students			32.5%	29.3%	27.9%	26.8%		27.1%

STATE OF ARIZONA
SCHEDULE 1D
STATE COLLECTIONS REVENUE

NORTHERN ARIZONA UNIVERSITY 0440

FUND: 1421

			Actual FY2019	Actual FY2020	Actual FY2021	Budgeted FY2022	INCREASE/ (DECREASE)	Request FY2023
University: NORTHERN ARIZONA								
ACADEMIC YEAR TUITION								
RESIDENT AND NON RESIDENT TUITION RATES								
Flagstaff								
Academic Year Nonresident Tuition								
Undergraduate								
	Non Fixed		21,324	21,964	22,624	22,624	-	22,624
	Fixed FY18		23,820	23,820	23,820	23,820	-	23,820
	Fixed FY19		24,654	24,654	24,654	24,654	-	24,654
	Fixed FY20			25,270	25,270	25,270	-	25,270
	Fixed FY21				25,396	25,396	-	25,396
	Fixed FY22					25,396	-	25,396
	Fixed FY23							25,396
WUE								
	Non Fixed		12,228	12,597	12,597	12,597	-	12,597
	Fixed FY18		15,057	15,057	15,057	15,057	-	15,057
	Fixed FY19		15,585	15,585	15,585	15,585	-	15,585
	Fixed FY20			15,975	15,975	15,975	-	15,975
	Fixed FY21				15,975	15,975	-	15,975
	Fixed FY22					15,975	-	15,975
	Fixed FY23							15,975
Graduate			22,882	24,484	25,708	26,994	-	26,994
Academic Year Resident Tuition								
Undergraduate								
	Non Fixed		8,152	8,398	8,398	8,398	-	8,398
	Fixed FY18		10,038	10,038	10,038	10,038	-	10,038
	Fixed FY19		10,390	10,390	10,390	10,390	-	10,390
	Fixed FY20			10,650	10,650	10,650	-	10,650
	Fixed FY21				10,650	10,650	-	10,650
	Fixed FY22					10,650	-	10,650
	Fixed FY23							10,650
Graduate			9,796	10,480	10,480	11,004	-	11,004
Statewide								
Academic Year Nonresident Tuition								
Undergraduate								
	Yuma		21,324	21,964	7,950	7,950	-	7,950
	Yavapai		21,324	21,964	7,950	7,950	-	7,950
	Yavapai		18,382	21,964	7,950	7,950	-	7,950
Graduate			22,450	23,574	24,754	25,992	-	25,992
Academic Year Resident Tuition								
Undergraduate								
	Yuma		7,718	7,950	7,950	7,950	-	7,950
	Yuma		7,718	7,950	7,950	7,950	-	7,950
	Yavapai		6,216	7,950	7,950	7,950	-	7,950
Graduate			9,610	10,090	10,090	10,596	-	10,596

**STATE OF ARIZONA
SCHEDULE 1D
STATE COLLECTIONS REVENUE**

NORTHERN ARIZONA UNIVERSITY 0440

FUND: 1421

University: NORTHERN ARIZONA	Actual FY2019	Actual FY2020	Actual FY2021	Budgeted FY2022	INCREASE/ (DECREASE)	Request FY2023
SPECIAL PROGRAM FEES						
Master of Business Administration (MBA) Special Program Fee	7,000	7,000	7,000	7,000	-	7,000
Doctorate of Nursing Practice (DNP) Special Program Fee	1,800	1,800	1,800	1,800	-	1,800
Doctorate of Physical Therapy (DPT) Special Program Fee - Flagstaff (per year)	5,000	6,000	6,000	6,000	-	6,000
Doctorate of Physical Therapy (DPT) Special Program Fee - Phoenix (per year)	7,000	8,000	8,000	8,000	-	8,000
Doctorate of Occupational Therapy (OTD) Special Program Fee (per year)	11,000	11,000	11,000	11,000	-	11,000
Master of Administration (MA) Special Program Fee	-	-	-	-	-	-
Master of Science in Nursing Special Program Fee	1,800	1,800	1,800	1,800	-	1,800
Master of Science in Physician Assistant Studies Special Program Fee (per year)	14,000	20,000	20,000	20,000	-	20,000
Master of Science in Clinical Speech-Language Pathology Special Program Fee	2,400	2,400	2,400	2,400	-	2,400
Bachelor in Business Administration Special Program Fee (per year)	580	700	700	700	-	700
Bachelor of Science in Dental Hygiene Special Program Fee (per year)	800	2,000	2,000	2,700	-	2,700
Bachelor of Science in Nursing Special Program Fee (per year)	1,200	1,200	1,200	1,200	-	1,200
Bachelor of Science in Nursing Accelerated Option Special Program Fee (per year)	1,600	1,600	1,600	1,600	-	1,600
Bachelor of Science in Nursing - Registered Nurse Option Special Program Fee (per year)	150	150	150	150	-	150
Bachelor of Science in Forestry Program Fee (per year)	400	400	400	400	-	400
Bachelor of Science in Geology Special Program Fee (per term)	700	700	700	700	-	700
Bachelor of Science in Hotel Restaurant Management Special Program Fee (per year)	580	700	700	700	-	700
Undergrad Engineering /Construction Special Program Fee (per year)	500	850	850	850	-	850
Undergrad Honors Special Program Fee (per year)	700	700	700	700	-	700
Bachelor of Science in Fitness Wellness (per year)	150	150	150	150	-	150
Bachelor of Science in Nutrition and Foods (per year)	300	300	300	300	-	300
Bachelor of Science in Public Health (per year)	60	60	60	60	-	60
Master of Science in Climate Science and Solutions Special Program Fee (per year)	3,000	3,000	3,000	3,000	-	3,000
Master of Science in Athletic Training Special Program Fee - Flagstaff (per year)	2,000	2,000	2,000	2,000	-	2,000
Master of Science in Athletic Training Special Program Fee - Phoenix (per year)	3,000	3,000	3,000	3,000	-	3,000
Master of Public Administration Special Program Fee (per year)	1,500	1,500	1,500	1,500	-	1,500
Master in Global Business Administration Special Program Fee (per year)	6,000	6,000	6,000	6,000	-	6,000
Master of Public Health - Health Promotion (per year)	520	520	520	520	-	520
Master of Public Health - Nutrition (per year)	870	870	870	870	-	870
Master of Social Work	1,200	1,200	1,200	1,200	-	1,200
Bachelor of Fine Arts in Visual Communication (per year)		500	500	500	-	500
Bachelor of Science in Creative Media and Film (per year)		800	800	800	-	800
Master of Science in Engineering and Master of Engineering (per year)		900	900	900	-	900
Master of Arts in Clinical Mental Health Counseling (per year)		1,000	1,000	1,000	-	1,000
Educational Specialist in School Psychology (per year)		1,500	1,500	1,500	-	1,500
Bachelor of Science in Cybersecurity (per year)			2,000	2,000	-	2,000
Master of Science in Cybersecurity (per year)			5,500	5,500	-	5,500
Doctor of Psychology in Clinical Psychology (per year)			7,000	7,000	-	7,000
Combined Counseling/School Psychology, Doctor of Philosophy (per year)			1,500	1,500	-	1,500
Master of Education in Counseling - School Counseling (per year)			1,000	1,000	-	1,000
Master of Education in PK-12 College and Career Counseling (per year)			600	600	-	600
			-	-	-	-

STATE OF ARIZONA
SCHEDULE 1D
STATE COLLECTIONS REVENUE

NORTHERN ARIZONA UNIVERSITY 0440

FUND: 1421

	Actual FY2019	Actual FY2020	Actual FY2021	Budgeted FY2022	INCREASE/ (DECREASE)	Request FY2023
University: NORTHERN ARIZONA						
ACADEMIC YEAR MANDATORY FEES						
Financial Aid Trust	82	84	84	84	-	84
ASNAU/Green Fee	56	76	76	76	-	76
Information Technology (changed to flat tiered rate eff FY21, rates reflect 12 crhrs)	336	336	336	336	-	336
Student Wellness	500	550	550	550	-	550
Student Activity Fee	50	50	50	50	-	50
Athletic Fee	150	150	150	150	-	150

NORTHERN ARIZONA UNIVERSITY

Fiscal Year 202-2023

Locally Retained Tuition

	ACTUAL ALLOCATION 2020/21	BUDGETED ALLOCATION 2021/22	INCREASE/ (DECREASE)	REQUESTED ALLOCATION 2022/23
DESIGNATED				
American Disabilities Act (ADA) Services	-	-		-
Art Gallery	-	-		-
Child Care	43,900	43,900		43,900
Graduate Assistant Tuition Remission	2,400,000	2,400,000		2,400,000
Peer Mentoring and Retention	-	-		-
Performing Arts (including Music)	93,400	93,400		93,400
School of Comm Student Radio, Cable & Forensics	2,800	2,800		2,800
Student Activities	-	-		-
Student Financial Aid Operations	-	-		-
Online and Educational Innovation and Partnership Investment	9,540,400	10,261,700	-	10,261,700
Doctorate of Physical Therapy (DPT) Program Fee (86%)	345,000	187,000		187,000
Physician Assistant (PA) Program Fee (86%)	435,000	312,800		312,800
Occupational Therapy (OT) Program Fee (86%)	5,000	92,200		92,200
Athletic Training (AT) Program Fee (86%)	50,000	43,000		43,000
Employee Benefit Contingency	50,000	50,000		50,000
Operations: Collections	502,300	502,300		502,300
Sub-Total Designated	13,467,800	13,989,100	-	13,989,100
AUXILIARY				
Sub-Total Auxiliary	-	-	-	-
TOTAL OPERATING FUNDS	13,467,800	13,989,100	-	13,989,100
FINANCIAL AID				
Regent's Financial Aid Set-Aside	33,000,000	33,000,000		33,000,000
Set-Aside for Acad Meritorious AZ Residents	-	-		-
Student Financial Aid Match (SSIG, SEOG, and etc.)	142,500	139,000		139,000
Institutional Financial Aid - (formerly tuition waivers)	114,870,600	123,669,500	4,569,200	128,238,700
Doctorate of Physical Therapy (DPT): Scholarship (14%)	229,200	232,000		232,000
Physician Assistant (PA) Scholarship (14%)	280,000	285,000		285,000
Occupational Therapy (OT) Scholarships (14%)	206,400	204,000		204,000
Athletic Training (AT) Scholarships (14%)	14,700	12,000		12,000
Doctor of Clinical Psychology Scholarships (14%)	68,500	87,000		87,000
Sub-Total Set Aside	148,811,900	157,628,500	4,569,200	162,197,700
Plant Fund/Minor Capital Projects	1,000,000	1,000,000	-	1,000,000
Debt Service	6,200,000	10,200,000	5,200,000	15,400,000
Total Locally Retained	169,479,700	182,817,600	9,769,200	192,586,800

**ADMINISTRATIVE COST MATRIX
NORTHERN ARIZONA UNIVERSITY**

Activity	Administrative Costs	Program Costs	DOA Relate Costs (1)
Executive Management			
Affirmative Action Office	x		x
Legal Services	x		x
President's Office	x		
Office of Communication & Media Relations	x		
Vice President for Capital Planning & Campus Operations	x		
Human Resources			
Human Resources	x		x
Information Technology			
Computing Technology Services	x		x
Business and Finance			
Facility Services	x		x
Comptroller's Office & Student/Departmental Acct Office	x		x
Internal Audit	x		x
Postal Services	x		x
Purchasing	x		x
State Insurance		x	
University Budget Office	x		
Other Central Administration			
Development & Alumni Engagement		x	
Diversity		x	
Environmental Health & Safety		x	
NAU Online Administration	x		
General Administration	x		
Government Affairs	x		
Office of Regulatory Compliance		x	
Institutional Research & Analysis	x		
University Central Administration	x		
University Police and Emergency Management	x		x
Utilities	x		x

(1) Activities identified in this column reflect university functions that directly relate to services provided by the Department of Administration to state government. Legal Services, Affirmative Action, and University Ombudsman's Office directly relate to services provided by the Attorney General and Governor's Office. Amounts for these functions are **not** included on the "Agency Summary of Administrative Costs" form.

AGENCY SUMMARY OF ADMINISTRATIVE COSTS ⁽¹⁾
NORTHERN ARIZONA UNIVERSITY

CATEGORY	ACTUAL FY2021	EXPENDITURE PLAN - FY2022 ⁽²⁾	REQUEST FY2023 ⁽²⁾
ADMINISTRATIVE COST FUNCTION:			
Executive Management	2,855,502	2,310,558	2,310,558
Human Resources	-	-	-
Information Technology	-	-	-
Business and Finance	473,006	460,623	460,623
Other Central Administration	1,360,637	1,210,895	1,210,895
TOTAL EXPENDITURES BY ADMINISTRATIVE COST COMPONENT	4,689,146	3,982,076	3,982,076
FUND SOURCE DETAIL			
GENERAL FUND			
OTHER APPROPRIATED FUNDS	5,395,388	4,786,757	4,786,757
TOTAL EXPENDITURES BY FUND	5,395,388	4,786,757	4,786,757
TOTAL UNIVERSITY EXPENDITURE AUTHORITY	248,725,900	267,435,700	270,613,100
ADMINISTRATIVE COST AS A PERCENT OF TOTAL BUDGET	1.89%	1.49%	1.47%
(1) Administrative function costs exclude those Institutional Support activities that directly relate to services provided by the Department of			
(2) Amounts in these columns do not include ERE which is budgeted at the program level.			

ASSUMPTIONS FOR ADMINISTRATIVE COST SUMMARY NORTHERN ARIZONA UNIVERSITY

Northern Arizona University provided information on requested funding for administrative costs in accordance with A.R.S. 35-115. The Agency Summary of Administrative Cost includes our best estimate of administrative costs in the State Operating Budget.

The Administrative Cost Matrix identifies subprograms in the Institutional Support program by the five major administrative functions: Executive Management, Human Resources, Information Technology, Business and Finance, and Other Central Administration.

The "Administrative Costs" column identifies the central administrative cost functions.

The second column identifies "Program Costs", consisting of units which do not have an administrative function. Many of these units support the university through community outreach efforts. The "Program Costs" also include the Risk Management Insurance Premium since these are pass through funds which support program activities.

Finally, we identified the "DOA Related Costs." Activities in this column reflect the university functions that directly relate to the types of services provided by the Department of Administration (DOA) to other state government agencies. The universities do not receive the same set of services from DOA and therefore must maintain administrative units to provide comparable services at each campus.

In order to facilitate comparisons across state agencies, we excluded the "DOA Related Costs" from the Agency Summary of Administrative Cost.

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2023

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

	Program		UNIVERSITY TOTAL		
Expenditure Category	ACTUAL FY2021	ESTIMATED FY2022	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2023
FTE POSITIONS *	2,325.7	2,607.1	80.00	50.00	2,737.09
PERSONAL SERVICES	142,774,400	147,524,669	5,700,000	3,800,000	157,024,669
EMPLOYEE RELATED EXP.	47,684,100	49,027,074	2,053,500	1,333,000	52,413,574
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	9,695,800	14,841,339	-	-	14,841,339
Travel In-State	28,670	397,809	-	-	397,809
Travel Out-of-State	4,860	-	-	-	-
Other Operating Expenditures	22,368,100	50,814,613	2,346,500	1,267,000	54,428,113
Library Acquisitions	1,666,800	1,670,596	-	-	1,670,596
Capital Expenditures	116,900	83,000	-	-	83,000
Transfer Out***	3,000,000	3,000,000	-	-	3,000,000
Subtotal Other Operating Expenditures	36,881,130	70,807,357	2,346,500	1,267,000	74,420,857
BELOW THE LINE ITEM: NAU-YUMA	3,106,900	3,076,600	-	-	3,076,600
TOTAL APPROPRIATED	230,446,530	270,435,700	10,100,000	6,400,000	286,935,700
Collections	135,295,734	130,048,300	-	-	130,048,300
Summer Sessions and Misc. Collections	2,700,000	3,700,000	-	-	3,700,000
Land Grant Endowment	725,000	1,025,000	-	-	1,025,000
Misc. Receipts	200,000	210,000	-	-	210,000
Balance Forward	-	-	-	-	-
Total Revenues & Receipts	138,920,734	134,983,300	-	-	134,983,300
TOTAL GENERAL FUND	91,525,796	135,452,400	10,100,000	6,400,000	151,952,400
FEDERAL FUNDS	122,016,844	123,236,900	1,232,600	-	124,469,500
OTHER FUNDS (Est.)	293,708,668	319,511,286	28,485,214	-	347,996,500
TOTAL NON-APPROPRIATED	415,725,512	442,748,186	29,717,814	-	472,466,000
TOTAL PROGRAM	646,172,042	713,183,886	39,817,814	6,400,000	759,401,700

* University totals include the appropriated FTE and below the line allocations or expenditures for NAU Yuma.

** Actual General Fund appropriation expenditures include prior year encumbrance carry forward less encumbrance carry forward to next FY.

***Reflects \$3,000,000 Appropriation transferred to TGEN.

**STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FY 2023**

NORTHERN ARIZONA UNIVERSITY 0440

PROGRAM

UNIVERSITY TOTAL

FUND: 1421

EXPENDITURE CATEGORY	ACTUAL FY 2021	ESTIMATED FY 2022	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2023
INSTRUCTION	113,468,600	142,176,300	10,100,000	6,400,000	158,676,300
ORGANIZED RESEARCH	10,415,900	8,935,100	-	-	8,935,100
PUBLIC SERVICE	5,311,300	5,600,200	-	-	5,600,200
ACADEMIC SUPPORT	27,134,200	24,809,400	-	-	24,809,400
STUDENT SERVICES & ADMIN.	22,449,480	24,792,400	-	-	24,792,400
INSTITUTIONAL SUPPORT	48,560,150	39,091,500	-	-	39,091,500
NAU YUMA	3,106,900	3,076,700	-	-	3,076,700
TOTAL OPERATING BUDGET	230,446,530	248,481,600	10,100,000	6,400,000	264,981,600
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FTE POSITIONS	2,325.7	2,619.5	0.00	150.00	2,769.50
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PERSONAL SERVICES	142,774,400	147,524,700	5,700,000	3,800,000	157,024,700
EMPLOYEE RELATED EXP.	47,684,100	49,026,800	2,053,500	1,333,000	52,413,300
ALL OTHER OPERATING EXP.	33,882,200	45,853,400	2,346,500	1,267,000	49,466,900
SUBTOTAL	224,340,700	242,404,900	10,100,000	6,400,000	258,904,900
NAU YUMA	3,106,900	3,076,700	-	-	3,076,700
TOTAL OPERATING BUDGET	227,447,600	245,481,600	10,100,000	6,400,000	261,981,600

**Northern Arizona University
FY 2023 Operating Budget Request**

Program	Actual FY21	Estimated FY22	CONTINUATION REQUEST						Subtotal Continuation
			Incorporate FY22 One-Time appropriation for NEI into base appropriation						
UNIVERSITY SUMMARY									
FTE	2,325.7	2,607.1	80.00	0.00	0.00	0.00	0.00	0.00	80.00
Personal Services	145,064.0	149,690.7	5,700.0	-	-	-	-	-	5,700.0
ERE	48,404.4	49,722.6	2,053.5	-	-	-	-	-	2,053.5
Operations	33,979.3	71,022.6	-	-	-	-	-	-	-
Prof & Out. Svc.	9,720.0	14,935.8	-	-	-	-	-	-	-
Travel In-State	30.3	427.3	-	-	-	-	-	-	-
Travel Out-of-State	5.4	-	-	-	-	-	-	-	-
Other Operating	22,419.8	50,875.9	2,346.5	-	-	-	-	-	2,346.5
Capital Expenditures	116.9	83.0	-	-	-	-	-	-	-
Library Acquisitions	1,686.9	1,700.6	-	-	-	-	-	-	-
Transfer Out	-	3,000.0	-	-	-	-	-	-	-
Total	227,447.7	270,435.7	10,100.0	-	-	-	-	-	10,100.0

Program	Subtotal FY23 Request	STRATEGIC INVESTMENTS						Subtotal Strategic Investments	TOTAL FY23 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness	New Economy Initiative - NAU Mission Support						
UNIVERSITY SUMMARY									
FTE	2,687.09	50.00	0.00	0.00	0.00	0.00	0.00	50.00	2,737.09
Personal Services	155,390.7	3,800.00	-	-	-	-	-	3,800.0	159,190.7
ERE	51,776.1	1,333.00	-	-	-	-	-	1,333.0	53,109.1
Operations	71,022.6	0.00	-	-	-	-	-	-	71,022.6
Prof & Out. Svc.	14,935.8	0.00	-	-	-	-	-	-	14,935.8
Travel In-State	427.3	0.00	-	-	-	-	-	-	427.3
Travel Out-of-State	-	0.00	-	-	-	-	-	-	-
Other Operating	53,222.4	1,267.00	-	-	-	-	1,267.0	54,489.4	54,489.4
Capital Expenditures	83.0	0.00	-	-	-	-	-	-	83.0
Library Acquisitions	1,700.6	0.00	-	-	-	-	-	-	1,700.6
Transfer Out	3,000.0	-	-	-	-	-	-	-	3,000.0
Total	278,189.4	6,400.0	-	-	-	-	6,400.0	284,589.4	284,589.4

NORTHERN ARIZONA UNIVERSITY
Fiscal Year 2023 State Budget Request

	<u>FY 2023 Request</u>
STATE BUDGET REQUEST ITEMS	
<u>Base Funding Request</u>	
New Economy Initiative - Incorporate One-Time NEI Appropriation Into Base Appropriation	10,100,000
New Economy Initiative - Enhancing Arizona's Workforce Competitiveness - NEI New Programs	6,400,000
TOTAL STATE OPERATING BASE BUDGET REQUEST - Fiscal Year 2023	<u>16,500,000</u>
 Adjustment to Appropriation Levels	
Remove FY22 One-Time Appropriation	-10,100,000
	<u>-10,100,000</u>
 Other Items to report	
Annual Personnel Report Competitive Salary Request	30,384,700
FY 23 Building Renewal Formula	29,214,000

**NORTHERN ARIZONA UNIVERSITY
FY 2023 Strategic Investment**

Initiative **New Economy Initiative - Convert One-Time NEI Funding to Recurring Funding**

Budget Program and Dollar Amount Requested:

<u>\$ 10,100,000</u>	<u>\$ -</u>		<u>\$ -</u>		<u>\$ -</u>	<u>\$ 10,100,000</u>
Instruction	Org Research	Public Service	Acad Support	Student Services	Instit Support	Total

Description of Request

The FY23 State Budget Request from Northern Arizona University is being submitted to request the one-time funds received in FY22 to help advance a New Economy Initiative to ongoing funds. These funds will continue to Enhance Arizona's Competitiveness. This is based on the accelerating the transformation of the universities into adaptive drivers of economic success for Arizona. This will result in more graduates and graduates in critical high demand areas, incentives for universities to compete aggressively for major, national research grants, continuing the Governor's efforts to expand critical career and technical training, and raising the high school graduation rate and college going rate of Arizonans.

This portion of NAU's budget request for 2023 is based on incorporating NAU's one-time appropriation of \$10,100,000 (from FY22) into the FY23 base appropriation. Incorporating this funding into the base appropriation would enable NAU to make investments in support of faculty and staff retention and recruitment, facility maintenance and other operational support which are all recurring expenditures needed to support university programming in the NEI fields.

Expenditures by Line Item:

	FY 2023	
	FTE	Amount
FTE.....	75.00	
Personal Services.....		5,700,000
ERE.....		2,053,500
All Other Operating:		
Professional and Outside Services.....		-
Travel In State.....		-
Travel Out of State.....		-
Other Operating Expenditures.....		2,346,500
Library Acquisitions.....		-
Capital/Equipment.....		-
Subtotal All Other Operating.....		2,346,500
TOTAL REQUEST	75.00	10,100,000

Effect on University if Delayed or Not Funded

Progress toward meeting Enterprise 2025 goals may be compromised as will the universities' ability to help the state achieve its educational attainment goals and maintain its workforce competitiveness.

Alternatives

Arizona's public universities are committed to succeed as an integral part of Arizona's economic development plan, but without a state investment in funding to support the universities' progress the investment in the Arizona's workforce will not be maximized.

FTE Position Detail

	FY2023	
	FTE	Amount
1. Faculty	75.00	5,700,000
2. Clerical	0.00	0
3. Support Staff	0.00	0
4. Graduate Assistants	0.00	0
5. Student Worker	0.00	0
6. Temporary	0.00	0
Total	75.00	5,700,000

**NORTHERN ARIZONA UNIVERSITY
FY 2023 Strategic Investment**

NEI - Incorporate FY22 One-Time Appropriation Into Base Appropriation

		FY 2023
Instruction Expenditures By Line Item		Amount
FTE Positions (See Listing on Next Page)		75.00
Personal Services		5,700,000
ERE		2,053,500
PROFESSIONAL AND OUTSIDE SERVICES		-
TRAVEL IN STATE		-
TRAVEL OUT OF STATE		-
OTHER OPERATING EXPENDITURES		2,346,500
LIBRARY ACQUISITIONS		-
CAPTIAL/EQUIPMENT		0
TOTAL		10,100,000

Incorporate FY22 One-Time Appropriation Into Base Appropriation

NORTHERN ARIZONA UNIVERSITY
FY 2023 Strategic Investment
Incorporate FY22 One-Time Appropriation Into Base Appropriation

INSTRUCTION FTE POSITION DETAIL	FY 2023		
	FTE	SALARY PER FTE	PERSONAL SERVICES
1. Faculty	75.00	76,000	5,700,000
2. Clerical	0.00	43,000	-
3. Staff	0.00	69,000	-
4. Graduate Assistants	0.00	15,000	-
5. Student Worker	0.00	-	-
6. Temporary	0.00	-	-
TOTAL		75.00	5,700,000

	ERE Rate	ERE
1. Faculty	46%	2,053,500
2. Clerical	66%	-
3. Staff	49%	-
4. Graduate Assistants	10%	-
5. Student Worker	2%	-
6. Temporary	11%	-

ERE DETAIL	ERE AMOUNT
Total ERE	2,053,500

**NORTHERN ARIZONA UNIVERSITY
FY 2023 Strategic Investment**

Initiative **New Economy Initiative - Enhancing Arizona's Workforce Competitiveness - NEI New Programs**

Budget Program and Dollar Amount Requested:

<u>\$ 6,400,000</u>							<u>\$ 6,400,000</u>
Instruction	Org Research	Public Service	Acad Support	Student Services	Instit Support		Total

Description of Request

The FY23 State Budget Request from Northern Arizona University is being submitted in to help advance a New Economic Initiative: Enhancing Arizona's Competitiveness. This is based on accelerating the transformation of the universities into adaptive drivers of economic success for Arizona. This will result in more graduates and graduates in critical high demand areas, incentives for universities to compete aggressively for major, national research grants, continuing the Governor's efforts to expand critical career and technical training, and raising the high school graduation rate and college going rate of Arizonans.

NAU plans to expand investment in the following to support this overall initiative: 1) Mental and Physical Health Professions and Land Management and 2) Statewide Education and Innovation. For item 1) Health, NAU will prepare a robust talent pipeline in high demand filed with an emphasis on healthcare, including mental health and behavioral health, as the largest private industry in Arizona. NAU will expand opportunities for students to enter high demand fields in increasing numbers by increasing the educational opportunities available in Arizona through a variety of delivery models and at a variety of locations. For Item 2) Statewide Education and Innovation, NAU will expand and support pathway and expedited degree programs that provide students access to high demand degree programs through continued development of partnerships with CTEDS, community colleges and expedited bachelor to masters programs.

Effect on University if Delayed or Not Funded

Progress toward meeting Enterprise 2025 goals may be compromised as will the universities' ability to help the state achieve its educational attainment goals and maintain its workforce competitiveness.

Alternatives

Arizona's public universities are committed to succeed as an integral part of Arizona's economic development plan, but without a state investment in funding to support the universities' progress the investment in the Arizona's workforce will not be maximized.

Expenditures by Line Item:

	FY 2023	
	FTE	Amount
FTE.....	50.00	
Personal Services.....		3,800,000
ERE.....		1,333,000
All Other Operating:		
Professional and Outside Services.....		-
Travel In State.....		-
Travel Out of State.....		-
Other Operating Expenditures.....		1,267,000
Library Acquisitions.....		-
Capital/Equipment.....		-
Subtotal All Other Operating.....		1,267,000
TOTAL REQUEST	50.00	6,400,000

FTE Position Detail

	FY 2023	
	FTE	Amount
1. Faculty	50.00	3,800,000
2. Clerical		
3. Support Staff		
4. Graduate Assistants		
5. Student Worker		
6. Temporary		
Total	50.00	3,800,000

**NORTHERN ARIZONA UNIVERSITY
FY 2023 Strategic Investment**

New Economy Initiative - Enhancing Arizona's Workforce Competitiveness - NEI New Programs

Instruction Expenditures By Line Item	FY 2023 Amount
FTE Positions (See Listing on Next Page)	50.00
Personal Services	3,800,000
ERE	1,333,000
PROFESSIONAL AND OUTSIDE SERVICES	-
TRAVEL IN STATE	-
TRAVEL OUT OF STATE	-
OTHER OPERATING EXPENDITURES	1,267,000
LIBRARY ACQUISITIONS	-
CAPTIAL/EQUIPMENT	0
TOTAL	6,400,000

NORTHERN ARIZONA UNIVERSITY
FY 2023 Strategic Investment

New Economy Initiative - Enhancing Arizona's Workforce Competitiveness - NEI New Programs

INSTRUCTION FTE POSITION DETAIL	FY 2023		
	FTE	SALARY PER FTE	PERSONAL SERVICES
1. Faculty.....	50.00	76,000	3,800,000
2. Clerical.....	0.00	43,000	-
3. Staff.....	0.00	69,000	-
4. Graduate Assistants.....	0.00	15,000	-
5. Student Worker.....	0.00	-	-
6. Temporary.....	0.00	-	-
TOTAL	50.00		3,800,000

	ERE Rate	ERE
1. Faculty.....	46%	1,333,000
2. Clerical.....	66%	-
3. Staff.....	49%	-
4. Graduate Assistants.....	10%	-
5. Student Worker.....	2%	-
6. Temporary.....	11%	-
ERE DETAIL		ERE AMOUNT
	Total ERE	1,333,000

**NORTHERN ARIZONA UNIVERSITY
HEALTH, DENTAL AND LIFE INSURANCE COSTS**

		Number Enrolled	Monthly Premium	Number Enrolled	Monthly Premium	FY 22	FY 22 Annual NAU
		(State Funds)	7-1-21 to 12-31-21	(State Funds)	1-1-22 to 6-30-22	Annual Premium	Portion of Premium Per Enrollee
HEALTH INSURANCE:							
Employee Only	NAU BLUE CROSS/ BLUE SHIELD	458	652.39	458	652.39	3,585,535	
	NAU HIGH DED	70	635.21	70	635.21	533,576	
	State of AZ HDHP-BCBS	8	395.80	8	395.80	37,997	
	State of AZ Triple Choice-BCBS	16	586.15	16	586.15	112,541	
	State of AZ HDHP-UHC	3	395.80	3	395.80	14,249	
	State of AZ Triple Choice-UHC	8	586.15	8	586.15	56,270	
	Subtotal	563		563		\$ 4,340,169	
Annual Premium per Enrollee						\$ 7,709.00	\$ 7,709.00
Employee + One Adult	NAU BLUE CROSS/ BLUE SHIELD	216	1,308.83	216	1,308.83	3,392,487	
	NAU HIGH DED	25	1,311.67	25	1,311.67	393,501	
	State of AZ HDHP-BCBS	3	820.35	3	820.35	29,533	
	State of AZ Triple Choice-BCBS	9	1,207.10	9	1,207.10	130,367	
	State of AZ HDHP-UHC	-	820.35	-	820.35	-	
	State of AZ Triple Choice-UHC	1	1,207.10	1	1,207.10	14,485	
	Subtotal	254		254		\$ 3,960,373	
Annual Premium per Enrollee						\$ 15,592.02	\$ 15,592.02
Employee + One Child	NAU BLUE CROSS/ BLUE SHIELD	95	935.26	95	935.26	1,066,196	
	NAU HIGH DED	12	934.05	12	934.05	134,503	
	State of AZ HDHP-BCBS	1	536.24	1	536.24	6,435	
	State of AZ Triple Choice-BCBS	8	785.06	8	785.06	75,366	
	State of AZ HDHP-UHC	-	536.24	-	536.24	-	
	State of AZ Triple Choice-UHC	-	785.06	-	785.06	-	
	Subtotal	116		116		\$ 1,282,500	
Annual Premium per Enrollee						\$ 11,056.04	\$ 11,056.04
Family	NAU BLUE CROSS/ BLUE SHIELD	404	1,630.54	404	1,630.54	7,904,858	
	NAU HIGH DED	114	1,643.07	114	1,643.07	2,247,720	
	State of AZ HDHP-BCBS	3	913.37	3	913.37	32,881	
	State of AZ Triple Choice-BCBS	39	1,366.79	39	1,366.79	639,658	
	State of AZ HDHP-UHC	2	913.37	2	913.37	21,921	
	State of AZ Triple Choice-UHC	6	1,366.79	6	1,366.79	98,409	
	Subtotal	568		568		\$ 10,945,446	
Annual Premium per Enrollee						\$ 19,270.15	\$ 19,270.15
DENTAL INSURANCE:							
Employee Only	DELTA DENTAL	556	4.96	556	4.96	33,093	
	Subtotal	556		556		\$ 33,093	
Annual Premium per Enrollee						\$ 59.52	\$ 59.52
Employee + One Dependent	DELTA DENTAL	364	9.92	364	9.92	43,331	
	Subtotal	364		364		\$ 43,331	
Annual Premium per Enrollee						\$ 119.04	\$ 119.04
Family	DELTA DENTAL	524	13.70	524	13.70	86,146	
	Subtotal	524		524		\$ 86,146	
Annual Premium per Enrollee						\$ 164.40	\$ 164.40
HEALTH INSURANCE:				Number Enrolled	Annual Premium per Enrollee	Annual Amount	
Employee Only				563	7,709.00	\$ 4,340,200	
Employee + One Adult				254	15,592.02	\$ 3,960,400	
Employee + One Child				116	11,056.04	\$ 1,282,500	
Family				568	19,270.15	\$ 10,945,400	
DENTAL INSURANCE:							
Employee Only				556	59.52	\$ 33,100	
Employee + One Dependent				364	119.04	\$ 43,300	
Family				524	164.40	\$ 86,100	
LIFE		1,660		1,660	8.00	\$ 13,300	
TOTAL HEALTH, DENTAL, & LIFE INSURANCE						\$ 20,704,300	
DIVIDED BY TOTAL FY2022 BENEFIT ELIGIBLE EMPLOYEE HEADCOUNT						1,660	
AVERAGE HEALTH, DENTAL, & LIFE INSURANCE PREMIUM ADJUSTED HEADCOUNT						\$ 12,472	
Workers Comp Rate 0.37%							
Unemployment Rate 2.00%							

NORTHERN ARIZONA UNIVERSITY

Northern Arizona University including Yuma					
FY 2021 Retirement System/Plan Expense					
	State Funds			Non-appropriated Funds	
	FTE	General Fund	Collections Fund	FTE	Expense
Arizona State Retirement System	903.13	2,485,578	4,763,859	1,066.72	7,008,108
University Optional Retirement Plan	632.22	2,309,189	1,808,961	321.65	2,140,431
Public Safety Personnel Retirement System	14.00		812,865		21,688
FY 2022 Retirement System/Plan Personal Services					
Arizona State Retirement System	991.62	24,349,377	43,948,504	1,071.37	61,518,760
University Optional Retirement Plan	628.65	32,546,195	27,706,403	283.07	22,828,947
Public Safety Personnel Retirement System	12.00	-	997,997		-
	FTE	State Funds			
FTE and Personal Services Exceeding the FICA Max	90.00	3,536,147			
FTE Positions Not Eligible for Health, Dental & Life	-	13,687,894			

Northern Arizona University - Yuma Only					
FY 2021 Retirement System/Plan Expense					
	State Funds			Non-appropriated Funds	
	FTE	General Fund	Collections Fund	FTE	Expense
Arizona State Retirement System	19.50	175,337		-	-
University Optional Retirement Plan	7.00	41,030		-	-
Public Safety Personnel Retirement System	-	-		-	-
FY 2022 Retirement System/Plan Personal Services					
Arizona State Retirement System	19.00	1,474,106		-	-
University Optional Retirement Plan	6.50	502,333		-	-
Public Safety Personnel Retirement System	-	-		-	-
	FTE	State Funds			
FTE and Personal Services Exceeding the FICA Max	2.00	38,955			
FTE Positions Not Eligible for Health, Dental & Life	-	239,600			

**STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2023**

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

INSTRUCTION 4530

Expenditure Category	ACTUAL FY2021	ESTIMATED FY2022	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2023
FTE POSITIONS	1,270.85	1,490.25	80.00	50.00	1,620.25
PERSONAL SERVICES	79,267,900	85,160,382	5,700,000	3,800,000	94,660,382
EMPLOYEE RELATED EXP.	24,823,100	39,011,693	2,053,500	1,333,000	42,398,193
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	2,550,800	2,984,977	-	-	2,984,977
Travel In-State	4,700	7,551	-	-	7,551
Travel Out-of-State	-	-	-	-	-
Other Operating Expenditures	6,725,500	12,072,237	2,346,500	1,267,000	15,685,737
Library Acquisitions	-	-	-	-	-
Capital Expenditures	96,600	-	-	-	-
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	9,377,600	15,064,765	2,346,500	1,267,000	18,678,265
TOTAL APPROPRIATED	113,468,600	139,236,840	10,100,000	6,400,000	155,736,840
Collections	29,622,070	25,414,443	-	-	25,414,443
Summer Sessions and Misc. Collections	2,700,000	3,700,000	-	-	3,700,000
Land Grant Endowment	725,000	1,025,000	-	-	1,025,000
Misc. Receipts	200,000	210,000	-	-	210,000
Balance Forward	-	-	-	-	-
Total Revenues & Receipts	33,247,070	30,349,443	-	-	30,349,443
TOTAL GENERAL FUND	80,221,530	108,887,397	10,100,000	6,400,000	125,387,397
FEDERAL FUNDS	2,159,727	2,181,300	21,900	-	2,181,300
OTHER FUNDS (Est.)	43,428,504	46,472,556	4,911,257	-	51,383,814
TOTAL NON-APPROPRIATED	45,588,231	40,659,812	4,933,157	-	53,565,114
TOTAL PROGRAM	159,056,831	179,896,652	15,033,157	6,400,000	209,301,954

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

**Northern Arizona University
FY 2023 Operating Budget Request**

Program	Actual FY21	Estimated FY22	CONTINUATION REQUEST						
			Incorporate FY22 One-Time appropriation for NEI into base appropriation						Subtotal Continuation
INSTRUCTION									
FTE	1,270.85	1,490.25	80.00	0.00	0.00	0.00	0.00	0.00	80.00
Personal Services	79,267.9	85,160.4	5,700.0						5,700.0
ERE	24,823.1	39,011.6	2,053.5						2,053.5
Operations	9,377.6	15,064.8	-	-	-	-	-	-	-
Prof & Out. Svc.	2,550.8	2,985.0	-						-
Travel In-State	4.7	7.6	-						-
Travel Out-of-State	-	-	-						-
Other Operating	6,725.5	12,072.2	2,346.5						2,346.5
Capital Expenditures	96.6	-	-						-
Library Acquisitions	-	-	-						-
Transfer Out	-	-	-						-
Total	113,468.6	139,236.8	10,100.0	-	-	-	-	-	10,100.0

Program	Subtotal FY23 Request	STRATEGIC INVESTMENTS							TOTAL FY23 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness						Subtotal Strategic Investments	
INSTRUCTION									
FTE	1,570.25	50.00	0.00	0.00	0.00	0.00	0.00	50.00	1,620.25
Personal Services	90,860.4	3,800.0	-					3,800.0	94,660.4
ERE	41,065.1	1,333.0	-					1,333.0	42,398.1
Operations	15,064.8	-	-	-	-	-	-	1,267.0	16,331.8
Prof & Out. Svc.	2,985.0	-						-	2,985.0
Travel In-State	7.6	-						-	7.6
Travel Out-of-State	-	-						-	-
Other Operating	14,418.7	1,267.0	-					1,267.0	15,685.7
Capital Expenditures	-	-						-	-
Library Acquisitions	-	-						-	-
Transfer Out	-	-						-	-
Total	146,990.3	6,400.0	-	-	-	-	-	6,400.0	153,390.3

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2023

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

ORGANIZED RESEARCH 4531

Expenditure Category	ACTUAL FY2021	ESTIMATED FY2022	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2023
FTE POSITIONS	86.4	82.9	0.00	0.00	82.89
PERSONAL SERVICES	5,389,200	4,736,885	-	-	4,736,885
EMPLOYEE RELATED EXP.	1,528,800	941,042	-	-	941,042
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	148,200	79,681	-	-	79,681
Travel In-State	1,600	23,500	-	-	23,500
Travel Out-of-State	500	-	-	-	-
Other Operating Expenditures	347,600	121,000	-	-	121,000
Library Acquisitions	-	-	-	-	-
Capital Expenditures	-	33,000	-	-	33,000
Transfer Out**	3,000,000	3,000,000	-	-	3,000,000
Subtotal Other Operating Expenditures	3,497,900	3,257,181	-	-	3,257,181
TOTAL APPROPRIATED	10,415,900	8,935,108	-	-	8,935,108
Collections	7,027,823	5,099,866			5,099,866
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	7,027,823	5,099,866	-	-	5,099,866
TOTAL GENERAL FUND	3,388,077	3,835,242	-	-	3,835,242
FEDERAL FUNDS	41,697,990	42,114,900	421,100	-	42,536,000
OTHER FUNDS (Est.)	25,142,893	27,044,107	3,681,127	-	30,725,234
TOTAL NON-APPROPRIATED	66,840,883	69,159,007	4,102,227	-	73,261,234
TOTAL PROGRAM	77,256,783	78,094,115	4,102,227	-	82,196,342

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

**Reflects \$3,000,000 Appropriation transferred to TGEN.

**Northern Arizona University
FY 2023 Operating Budget Request**

Program	Actual FY21	Estimated FY22	CONTINUATION REQUEST							Subtotal Continuation
			Incorporate FY22 One-Time appropriation into base appropriation							
ORGANIZED RESEARCH										
FTE	86.40	82.89	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	5,389.2	4,736.9								-
ERE	1,528.8	941.0								-
Operations	497.9	3,257.2								-
Prof & Out. Svc.	148.2	79.7								-
Travel In-State	1.6	23.5								-
Travel Out-of-State	0.5	-								-
Other Operating	347.6	121.0								-
Capital Expenditures	-	33.0								-
Library Acquisitions	-	-								-
Transfer Out	-	3,000.0	-							-
Total	7,415.9	8,935.1	-	-	-	-	-	-	-	-

Program	Subtotal FY23 Request	STRATEGIC INVESTMENTS							TOTAL FY23 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness						Subtotal Strategic Investments	
ORGANIZED RESEARCH									
FTE	82.89	0.00	0.00	0.00	0.00	0.00	0.00	0.00	82.89
Personal Services	4,736.9							-	4,736.9
ERE	941.0							-	941.0
Operations	3,257.2	-	-	-	-	-	-	-	3,257.2
Prof & Out. Svc.	79.7							-	79.7
Travel In-State	23.5							-	23.5
Travel Out-of-State	-							-	-
Other Operating	121.0							-	121.0
Capital Expenditures	33.0							-	33.0
Library Acquisitions	-							-	-
Transfer Out	3,000.0							-	3,000.0
Total	8,935.1	-	-	-	-	-	-	-	8,935.1

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2023

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

PUBLIC SERVICE 4532

Expenditure Category	ACTUAL FY2021	ESTIMATED FY2022	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2023
FTE POSITIONS	38.00	40.55	0.00	0.00	40.55
PERSONAL SERVICES	2,370,100	2,317,270	-	-	2,317,270
EMPLOYEE RELATED EXP.	804,000	790,514	-	-	790,514
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	1,901,700	2,298,627	-	-	2,298,627
Travel In-State	1,200	20,000	-	-	20,000
Travel Out-of-State	-	-	-	-	-
Other Operating Expenditures	221,200	173,771	-	-	173,771
Library Acquisitions	-	-	-	-	-
Capital Expenditures	13,100	-	-	-	-
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	2,137,200	2,492,398	-	-	2,492,398
TOTAL APPROPRIATED	5,311,300	5,600,182	-	-	5,600,182
Collections	2,270,793	2,526,727			2,526,727
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	2,270,793	2,526,727	-	-	2,526,727
TOTAL GENERAL FUND	3,040,507	3,073,455	-	-	3,073,455
FEDERAL FUNDS	17,214,964	17,387,200	173,900	-	17,561,100
OTHER FUNDS (Est.)	7,578,719	13,143,093	959,487	-	14,102,580
TOTAL NON-APPROPRIATED	24,793,683	30,530,293	1,133,387	-	31,663,680
TOTAL PROGRAM	30,104,983	36,130,475	1,133,387	-	37,263,862

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

**Northern Arizona University
FY 2023 Operating Budget Request**

Program	Actual FY21	Estimated FY22	CONTINUATION REQUEST						
			Incorporate FY22 One-Time appropriation into base appropriation						Subtotal Continuation
PUBLIC SERVICE									
FTE	38.00	40.55	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	2,370.1	2,317.3							-
ERE	804.0	790.5							-
Operations	2,137.2	2,492.4							-
Prof & Out. Svc.	1,901.7	2,298.6							-
Travel In-State	1.2	20.0							-
Travel Out-of-State	-	-							-
Other Operating	221.2	173.8	-						-
Capital Expenditures	13.1	-							-
Library Acquisitions	-	-							-
Transfer Out	-	-							-
Total	5,311.3	5,600.2	-	-	-	-	-	-	-

Program	Subtotal FY23 Request	STRATEGIC INVESTMENTS							TOTAL FY23 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness						Subtotal Strategic Investments	
PUBLIC SERVICE									
FTE	40.55	0.00	0.00	0.00	0.00	0.00	0.00	0.00	40.55
Personal Services	2,317.3							-	2,317.3
ERE	790.5							-	790.5
Operations	2,492.4	-	-	-	-	-	-	-	2,492.4
Prof & Out. Svc.	2,298.6							-	2,298.6
Travel In-State	20.0							-	20.0
Travel Out-of-State	-							-	-
Other Operating	173.8							-	173.8
Capital Expenditures	-							-	-
Library Acquisitions	-							-	-
Transfer Out	-							-	-
Total	5,600.2	-	-	-	-	-	-	-	5,600.2

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2023

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

ACADEMIC SUPPORT 4533

Expenditure Category	ACTUAL FY2021	ESTIMATED FY2022	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2023
FTE POSITIONS	286.39	340.56	0.00	0.00	340.56
PERSONAL SERVICES	17,863,600	19,461,385	-	-	19,461,385
EMPLOYEE RELATED EXP.	6,266,500	2,000,000	-	-	2,000,000
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	409,800	595,210	-	-	595,210
Travel In-State	1,500	103,390	-	-	103,390
Travel Out-of-State	4,300	-	-	-	-
Other Operating Expenditures	1,003,900	1,078,828	-	-	1,078,828
Library Acquisitions	1,584,600	1,570,596	-	-	1,570,596
Capital Expenditures	-	-	-	-	-
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	3,004,100	3,348,024	-	-	3,348,024
TOTAL APPROPRIATED	27,134,200	24,809,409	-	-	24,809,409
Collections	27,133,696	24,809,409			24,809,409
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	27,133,696	24,809,409	-	-	24,809,409
TOTAL GENERAL FUND	504	-	-	-	-
FEDERAL FUNDS	-	-	-	-	-
OTHER FUNDS (Est.)	11,522	2,764,601	1,917,060	-	4,681,661
TOTAL NON-APPROPRIATED	11,522	2,764,601	1,917,060	-	4,681,661
TOTAL PROGRAM	27,145,722	27,574,010	1,917,060	-	29,491,070

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

**Northern Arizona University
FY 2023 Operating Budget Request**

Program	Actual FY21	Estimated FY22	CONTINUATION REQUEST						Subtotal Continuation
			Incorporate FY21 One-Time appropriation into base appropriation						
ACADEMIC SUPPORT									
FTE	286.39	340.56	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	17,863.6	19,461.4							-
ERE	6,266.5	2,000.0							-
Operations	3,004.1	3,348.0							-
Prof & Out. Svc.	409.8	595.2							-
Travel In-State	1.5	103.4							-
Travel Out-of-State	4.3	-							-
Other Operating	1,003.9	1,078.8							-
Capital Expenditures	-	-							-
Library Acquisitions	1,584.6	1,570.6							-
Transfer Out	-	-							-
Total	27,134.2	24,809.4	-	-	-	-	-	-	-

Program	Subtotal FY23 Request	STRATEGIC INVESTMENTS						Subtotal Strategic Investments	TOTAL FY23 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness							
ACADEMIC SUPPORT									
FTE	340.56	0.00	0.00	0.00	0.00	0.00	0.00	0.00	340.56
Personal Services	19,461.4							-	19,461.4
ERE	2,000.0							-	2,000.0
Operations	3,348.0		-	-	-	-	-	-	3,348.0
Prof & Out. Svc.	595.2							-	595.2
Travel In-State	103.4							-	103.4
Travel Out-of-State	-							-	-
Other Operating	1,078.8							-	1,078.8
Capital Expenditures	-							-	-
Library Acquisitions	1,570.6							-	1,570.6
Transfer Out	-							-	-
Total	24,809.4		-	-	-	-	-	-	24,809.4

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2023

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

STUDENT SERVICES 4534

Expenditure Category	ACTUAL FY2021	ESTIMATED FY2022	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2023
FTE POSITIONS	171.35	191.74	0.00	0.00	191.74
PERSONAL SERVICES	10,687,600	10,956,727	-	-	10,956,727
EMPLOYEE RELATED EXP.	3,738,400	1,000,000	-	-	1,000,000
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	1,106,800	5,086,852	-	-	5,086,852
Travel In-State	70	193,468	-	-	193,468
Travel Out-of-State	10	-	-	-	-
Other Operating Expenditures	6,916,600	6,229,312	-	-	6,229,312
Library Acquisitions	-	-	-	-	-
Capital Expenditures	-	-	-	-	-
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	8,023,480	11,509,632	-	-	11,509,632
TOTAL APPROPRIATED	22,449,480	23,466,359	-	-	23,466,359
Collections	10,789,647	18,666,359			18,666,359
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	10,789,647	18,666,359	-	-	18,666,359
TOTAL GENERAL FUND	11,659,833	4,800,000	-	-	4,800,000
FEDERAL FUNDS	58,204,050	58,786,000	588,000	-	59,374,000
OTHER FUNDS (Est.)	95,930,786	99,555,202	4,888,840	-	104,444,042
TOTAL NON-APPROPRIATED	154,134,836	158,341,202	5,476,840	-	163,818,042
TOTAL PROGRAM	176,584,316	181,807,561	5,476,840	-	187,284,401

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

** Student Support Includes scholarships and fellowships

**Northern Arizona University
FY 2023 Operating Budget Request**

Program	Actual FY21	Estimated FY22	CONTINUATION REQUEST						
			Incorporate FY22 One-Time appropriation into base appropriation						Subtotal Continuation
STUDENT SERVICES									
FTE	171.35	191.74	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	10,687.6	10,956.7							-
ERE	3,738.4	1,000.0							-
Operations	8,024.1	11,509.7							-
Prof & Out. Svc.	1,106.8	5,086.9							-
Travel In-State	0.7	193.5							-
Travel Out-of-State	0.1	-							-
Other Operating	6,916.6	6,229.3							-
Capital Expenditures	-	-							-
Library Acquisitions	-	-							-
Transfer Out	-	-							-
Total	22,450.1	23,466.4	-	-	-	-	-	-	-

Program	Subtotal FY23 Request	STRATEGIC INVESTMENTS							TOTAL FY23 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness						Subtotal Strategic Investments	
STUDENT SERVICES									
FTE	191.74	0.00	0.00	0.00	0.00	0.00	0.00	0.00	191.74
Personal Services	10,956.7							-	10,956.7
ERE	1,000.0							-	1,000.0
Operations	11,509.7	-	-	-	-	-	-	-	11,509.7
Prof & Out. Svc.	5,086.9							-	5,086.9
Travel In-State	193.5							-	193.5
Travel Out-of-State	-							-	-
Other Operating	6,229.3							-	6,229.3
Capital Expenditures	-							-	-
Library Acquisitions	-							-	-
Transfer Out	-							-	-
Total	23,466.4	-	-	-	-	-	-	-	23,466.4

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2023

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

INSTITUTIONAL SPT 4535

Expenditure Category	ACTUAL FY2021	ESTIMATED FY2022	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2023
FTE POSITIONS	436.0	435.6	0.00	0.00	435.59
PERSONAL SERVICES	27,196,000	24,892,020	-	-	24,892,020
EMPLOYEE RELATED EXP.	10,523,300	5,283,825	-	-	5,283,825
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	3,578,500	3,795,992	-	-	3,795,992
Travel In-State	19,600	49,900	-	-	49,900
Travel Out-of-State	50	-	-	-	-
Other Operating Expenditures	7,153,300	31,139,465	-	-	31,139,465
Library Acquisitions	82,200	100,000	-	-	100,000
Capital Expenditures	7,200	50,000	-	-	50,000
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	10,840,850	35,135,357	-	-	35,135,357
TOTAL APPROPRIATED	48,560,150	65,311,202	-	-	65,311,202
Collections	58,451,705	53,531,496			53,531,496
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	58,451,705	53,531,496	-	-	53,531,496
TOTAL GENERAL FUND	-	11,779,706	-	-	11,779,706
FEDERAL FUNDS	2,740,113	2,767,500	27,700	-	2,795,200
OTHER FUNDS (Est.)	44,872,339	33,169,033	7,280,097	-	40,449,129
TOTAL NON-APPROPRIATED	47,612,452	35,936,533	7,307,797	-	43,244,329
TOTAL PROGRAM	96,172,602	101,247,735	7,307,797	-	108,555,531

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

** Institutional support includes operations and maintenance.

**Northern Arizona University
FY 2023 Operating Budget Request**

Program	Actual FY21	Estimated FY22	CONTINUATION REQUEST							Subtotal Continuation
			Incorporate FY22 One-Time appropriation into base appropriation							
INSTITUTIONAL SUPPORT										
FTE	436.02	435.59	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	27,196.0	24,892.0								-
ERE	10,523.3	5,283.8								-
Operations	10,841.3	35,135.5								-
Prof & Out. Svc.	3,578.5	3,796.0								-
Travel In-State	19.6	50.0								-
Travel Out-of-State	0.5	-								-
Other Operating	7,153.3	31,139.5								-
Capital Expenditures	7.2	50.0								-
Library Acquisitions	82.2	100.0								-
Transfer Out	-	-								-
Total	48,560.6	65,311.3	-	-	-	-	-	-	-	-

Program	Subtotal FY23 Request	STRATEGIC INVESTMENTS							Subtotal Strategic Investments	TOTAL FY23 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness								
INSTITUTIONAL SUPPORT										
FTE	435.59	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	435.59
Personal Services	24,892.0								-	24,892.0
ERE	5,283.8								-	5,283.8
Operations	35,135.5	-	-	-	-	-	-	-	-	35,135.5
Prof & Out. Svc.	3,796.0								-	3,796.0
Travel In-State	50.0								-	50.0
Travel Out-of-State	-								-	-
Other Operating	31,139.5								-	31,139.5
Capital Expenditures	50.0								-	50.0
Library Acquisitions	100.0								-	100.0
Transfer Out	-								-	-
Total	65,311.3	-	-	-	-	-	-	-	-	65,311.3

**STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2023**

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

NAU YUMA

Expenditure Category	ACTUAL FY2021	ESTIMATED FY2022	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2023
FTE POSITIONS	36.71	25.50	0.00	0.00	25.50
PERSONAL SERVICES	2,289,600	2,166,008	-	-	2,166,008
EMPLOYEE RELATED EXP.	720,300	695,652	-	-	695,652
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	24,200	94,359	-	-	94,359
Travel In-State	1,000	29,250	-	-	29,250
Travel Out-of-State	-	-	-	-	-
Other Operating Expenditures	51,700	61,331	-	-	61,331
Library Acquisitions	20,100	30,000	-	-	30,000
Capital Expenditures	-	-	-	-	-
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	97,000	214,940	-	-	214,940
TOTAL APPROPRIATED	<u>3,106,900</u>	<u>3,076,600</u>	<u>-</u>	<u>-</u>	<u>3,076,600</u>
Collections					-
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	-	-	-	-	-
TOTAL GENERAL FUND	<u>3,106,900</u>	<u>3,076,600</u>	<u>-</u>	<u>-</u>	<u>3,076,600</u>
FEDERAL FUNDS	-	-	-	-	-
OTHER FUNDS (Est.)	-	-	-	-	-
TOTAL NON-APPROPRIATED	-	-	-	-	-
TOTAL PROGRAM	<u>3,106,900</u>	<u>3,076,600</u>	<u>-</u>	<u>-</u>	<u>3,076,600</u>

**Northern Arizona University
FY 2023 Operating Budget Request**

Program	Actual FY20	Estimated FY21	CONTINUATION REQUEST						
			Incorporate FY22 One-Time appropriation into base appropriation						Subtotal Continuation
NAU YUMA									
FTE	36.71	25.50	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	2,289.6	2,166.0							-
ERE	720.3	695.7							-
Operations	97.0	215.0							-
Prof & Out. Svc.	24.2	94.4							-
Travel In-State	1.0	29.3							-
Travel Out-of-State	-	-							-
Other Operating	51.7	61.3							-
Capital Expenditures	-	-							-
Library Acquisitions	20.1	30.0							-
Transfer Out	-	-							-
Total	3,106.9	3,076.7	-	-	-	-	-	-	-

Program	Subtotal FY22 Request	STRATEGIC INVESTMENTS							TOTAL FY23 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness						Subtotal Strategic Investments	
NAU YUMA									
FTE	25.50	0.00	0.00	0.00	0.00	0.00	0.00	0.00	25.50
Personal Services	2,166.0							-	2,166.0
ERE	695.7							-	695.7
Operations	215.0	-	-	-	-	-	-	-	215.0
Prof & Out. Svc.	94.4							-	94.4
Travel In-State	29.3							-	29.3
Travel Out-of-State	-							-	-
Other Operating	61.3							-	61.3
Capital Expenditures	-							-	-
Library Acquisitions	30.0							-	30.0
Transfer Out	-							-	-
Total	3,076.7	-	-	-	-	-	-	-	3,076.7

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2023

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 8906

Program

AUXILIARY

Expenditure Category	ACTUAL FY2021	ESTIMATED FY2022	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2023
FTE POSITIONS	0.0	0.0	0.00	0.00	0
PERSONAL SERVICES	-	-	-	-	-
EMPLOYEE RELATED EXP.	-	-	-	-	-
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	-	-	-	-	-
Travel In-State	-	-	-	-	-
Travel Out-of-State	-	-	-	-	-
Other Operating Expenditures	-	-	-	-	-
Library Acquisitions	-	-	-	-	-
Capital Expenditures	-	-	-	-	-
Transfer Out	-	-	-	-	-
TOTAL APPROPRIATED	-	-	-	-	-
Collections					-
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	-	-	-	-	-
TOTAL GENERAL FUND	-	-	-	-	-
FEDERAL FUNDS	-	-	-	-	-
OTHER FUNDS (Est.)	76,743,906	97,362,694	4,847,346	-	102,210,040
TOTAL NON-APPROPRIATED	76,743,906	97,362,694	4,847,346	-	102,210,040
TOTAL PROGRAM	76,743,906	97,362,694	4,847,346	-	102,210,040

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

SUMMARY OF FEDERAL PROJECTS FY 2023

NORTHERN ARIZONA UNIVERSITY 0440
Fund 2000

PROGRAM: UNIVERSITY TOTAL

PROJECT TITLE	ACTUAL FY 2021	ESTIMATED FY 2022	INCREASE (DECREASE)	ESTIMATED FY 2023
INSTRUCTION	2,159,727	2,181,300	21,900	2,203,200
RESEARCH	41,697,990	42,114,900	421,100	42,536,000
PUBLIC SERVICE	17,214,964	17,387,200	173,900	17,561,100
ACADEMIC SUPPORT	0	0	0	0
STUDENT SERVICES	2,216,412	2,238,500	22,400	2,260,900
SCHOLARSHIPS AND FELLOWSHIPS	55,987,638	56,547,500	565,600	57,113,100
INSTITUTIONAL SUPPORT	2,740,113	2,767,500	27,700	2,795,200
OPERATIONS AND MAINT OF PLANT	0	0	0	0
UNIVERSITY TOTAL	122,016,844	123,236,900	1,232,600	124,469,500

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2023**

SUMMARY

Expenditure Category	Actual FY2021	Estimated FY2022	Increase/ Decrease	Request FY2023
FTE Positions	289.7	289.7	-	289.7
Personal Services	20,610,152	20,816,300	208,200	21,024,500
Employee Related Expenditures	5,977,856	6,037,600	60,400	6,098,000
All Other Operating Expenditures				
Professional and Outside Services	11,991,852	12,111,700	121,200	12,232,900
Travel In State	172,608	174,300	1,800	176,100
Travel Out of State	16,734	16,900	200	17,100
Other Operating	59,778,814	60,376,600	603,800	60,980,400
Equipment	956,665	966,300	9,600	975,900
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	99,504,681	100,499,700	1,005,200	101,504,900
Indirect Costs	12,887,044	13,015,800	130,100	13,145,900
TOTAL EXPENDITURES	112,391,725	113,515,500	1,135,300	114,650,800
Pass Through Funds - State Agencies	2,500,222	2,525,300	25,300	2,550,600
Pass Through Funds - Non State Agencies	7,124,897	7,196,100	72,000	7,268,100
SUBTOTAL	9,625,119	9,721,400	97,300	9,818,700
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	122,016,844	123,236,900	1,232,600	124,469,500

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2023

Summary by Agency	No. of Projects	Actual FY2021	Estimated FY2022	Estimated FY2023
Department of Health and Human Services	8	1,504,694	1,519,700	1,534,900
Health Resources & Services Admin	4	1,552,415	1,567,900	1,583,500
National Science Foundation	122	8,901,164	8,991,700	9,081,600
U.S. Department of Education	33	66,612,899	67,279,200	67,952,400
NASA	41	3,068,564	3,099,200	3,130,200
Environmental Protection Agency	22	2,530,277	2,555,600	2,581,200
U.S. Department of Commerce	1	90,992	91,900	92,800
U.S. Department of Energy	3	1,128,828	1,140,100	1,151,500
U.S. Department of Agriculture	7	552,275	557,700	563,300
Bureau of Reclamation	2	83,160	83,900	84,700
National Park Service	18	450,027	454,500	459,100
Bureau of Land Management	9	152,070	153,500	155,000
Department of Justice	1	(128)	(100)	(100)
U.S. Fish and Wildlife	3	72,881	73,600	74,300
U.S. Geological Survey	25	1,064,558	1,075,200	1,086,000
U.S. Department of Defense	1	180,697	182,500	184,300
U.S. Department of the Army	1	120,209	121,400	122,600
U.S. Army Corp of Engineers	1	501,862	506,800	511,900
National Renewable Energy Laboratory	1	11,103	11,200	11,300
National Endowment for the Humanities	1	13,913	14,100	14,200
Defense Threat Reduction Agency	5	1,835,560	1,853,900	1,872,400
Jet Propulsion Laboratory	3	187,232	189,100	191,000
Oak Ridge National Laboratory	1	123,970	125,200	126,500
National Institute of Food and Agriculture	8	506,561	511,500	516,600
Los Alamos National Laboratory	1	137,620	138,900	140,300
Department of Homeland Security	2	202,520	204,500	206,500
Bureau of Indian Affairs	2	475,979	480,700	485,500
Forest Service	53	2,771,550	2,799,200	2,827,200
National Institutes of Health	59	7,886,154	7,964,900	8,044,600
Corp for National Community Service	10	1,535,584	1,550,900	1,566,400
Natural Resource Conservation Service	1	55,836	56,300	56,900
U.S. Department of Transportation	1	4,855	4,900	4,900
Centers for Disease Control	4	118,752	119,900	121,100
Lawrence Livermore National Laboratory	2	211,742	213,800	215,900
Indian Health Service	3	40,618	41,000	41,400
Air Force Office of Scientific Research	1	36,164	36,500	36,900
Air Force Research Laboratory	4	3,149,763	3,181,200	3,213,000
Department of the Navy	1	6,599	6,600	6,700
US Department of Interior	2	6,834	6,900	7,000
Army Contracting Command - New Jersey	1	2,385,874	2,409,700	2,433,800
National Cancer Institute	16	1,348,091	1,361,500	1,375,100
National Institute of Standards & Technology	2	204,614	206,600	208,700
National Oceanic & Atmosphere Admin	2	92,055	92,900	93,800
Administration for Community Living	3	93,269	94,100	95,000
Intelligence Advance Research Projects	1	73,969	74,700	75,400
USGS Southwest Biological Science Center	1	171,150	172,800	174,500
Economic Development Administration	3	136,352	137,700	139,100
Federal thru State Agency	54	2,500,222	2,525,300	2,550,600
Federal thru Local Agency	72	4,215,885	4,258,000	4,300,600
Federal thru Misc. Private	67	2,909,010	2,938,100	2,967,400
TOTAL	689	122,016,844	123,236,900	124,469,500

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2023**

INSTRUCTION

Expenditure Category	Actual FY2021	Estimated FY2022	Increase/ Decrease	Request FY2023
FTE Positions	5.00	5.00	-	5.00
Personal Services	689,290	696,200	7,000	703,200
Employee Related Expenditures	168,167	169,800	1,700	171,500
All Other Operating Expenditures				
Professional and Outside Services	324,543	327,800	3,300	331,100
Travel In State	-	-	-	-
Travel Out of State	-	-	-	-
Other Operating	673,112	679,800	6,800	686,600
Equipment	-	-	-	-
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	1,855,112	1,873,600	18,800	1,892,400
Indirect Costs	220,629	222,800	2,200	225,000
TOTAL EXPENDITURES	2,075,741	2,096,400	21,000	2,117,400
Pass Through Funds - State Agencies	45,996	46,500	500	47,000
Pass Through Funds - Non State Agencies	37,990	38,400	400	38,800
SUBTOTAL	83,986	84,900	900	85,800
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	2,159,727	2,181,300	21,900	2,203,200

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2023

INSTRUCTION	No. of Projects	Actual FY2021	Estimated FY2022	Estimated FY2023
National Science Foundation	2	402,393	406,400	410,500
National Park Service	1	5,946	6,000	6,100
U.S. Department of Education	4	818,569	826,900	835,200
Forest Service	1	25,274	25,500	25,800
National Institutes of Health	4	560,041	565,600	571,300
Health Resoures & Services Admin	1	252,416	254,900	257,400
National Renewable Energy Laboratory	1	11,103	11,200	11,300
Federal thru State Agency	2	45,996	46,500	47,000
Federal thru Local Agency	1	33,595	33,900	34,200
Federal thru Misc. Private	2	4,394	4,400	4,400
Total Instruction	19	2,159,727	2,181,300	2,203,200

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2023

RESEARCH	No. of Projects	Actual FY2021	Estimated FY2022	Estimated FY2023
U.S. Department of Health and Human Services	1	1,123	1,100	1,100
National Science Foundation	114	7,923,097	8,003,900	8,083,900
U.S. Department of Agriculture	6	503,155	508,100	513,200
Bureau of Reclamation	2	83,160	83,900	84,700
National Park Service	16	424,213	428,400	432,700
NASA	39	2,671,520	2,698,200	2,725,200
Bureau of Land Management	9	152,070	153,500	155,000
U.S. Fish and Wildlife	3	72,881	73,600	74,300
U.S. Department of Energy	3	1,128,828	1,140,100	1,151,500
U.S. Geological Survey	25	1,064,558	1,075,200	1,086,000
U.S. Department of Defense	1	180,697	182,500	184,300
Los Alamos National Laboratory	1	137,620	138,900	140,300
Defense Threat Reduction Agency	5	1,835,560	1,853,900	1,872,400
Department of the Army	1	120,209	121,400	122,600
Jet Propulsion Laboratory	3	187,232	189,100	191,000
Forest Service	52	2,746,276	2,773,700	2,801,400
National Institutes of Health	54	7,322,138	7,395,300	7,469,300
National Institute of Food and Agriculture	7	483,561	488,300	493,200
Oak Ridge National Laboratory	1	123,970	125,200	126,500
US Army Corp of Engineers	1	501,862	506,800	511,900
U.S. Department of Homeland Security	2	202,520	204,500	206,500
Natural Resource Conservation Service	1	55,836	56,300	56,900
Bureau of Indian Affairs	1	328	300	300
Lawrence Livermore National Laboratory	2	211,742	213,800	215,900
Air Force Office of Scientific Research	1	36,164	36,500	36,900
Air Force Research Laboratory	4	3,149,763	3,181,200	3,213,000
Department of the Navy	1	6,599	6,600	6,700
U.S. Department of Interior	2	6,834	6,900	7,000
Army Contracting Command - New Jersey	1	2,385,874	2,409,700	2,433,800
National Cancer Institute	16	1,348,091	1,361,500	1,375,100
National Institute of Standards & Technology	2	204,614	206,600	208,700
National Oceanic & Atmosphere Admin	2	92,055	92,900	93,800
Administration for Community Living	1	50,355	50,800	51,300
Intelligence Advance Research Projects	1	73,969	74,700	75,400
USGS Southwest Biological Science Center	1	171,150	172,800	174,500
Federal Thru State Agency	23	1,110,509	1,121,600	1,132,800
Federal Thru Local Agency	54	2,294,084	2,317,000	2,340,200
Federal Thru Misc. Private	59	2,633,773	2,660,100	2,686,700
Total Research	518	41,697,990	42,114,900	42,536,000

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2023**

RESEARCH

Expenditure Category	Actual FY2021	Estimated FY2022	Increase/ Decrease	Request FY2023
FTE Positions	152.90	152.90	-	152.90
Personal Services	13,875,846	14,014,600	140,100	14,154,700
Employee Related Expenditures	3,914,116	3,953,300	39,500	3,992,800
All Other Operating Expenditures				
Professional and Outside Services	6,127,375	6,188,600	61,900	6,250,500
Travel In State	163,675	165,300	1,700	167,000
Travel Out of State	16,734	16,900	200	17,100
Other Operating	2,189,477	2,211,400	22,100	2,233,500
Equipment	942,752	952,200	9,500	961,700
Risk Management		-	-	-
Utilities		-	-	-
Library Acquisitions		-	-	-
Total Direct Costs	27,229,975	27,502,300	275,000	27,777,300
Indirect Costs	8,429,648	8,513,900	85,100	8,599,000
TOTAL EXPENDITURES	35,659,623	36,016,200	360,100	36,376,300
Pass Through Funds - State Agencies	1,110,509	1,121,600	11,200	1,132,800
Pass Through Funds - Non State Agencies	4,927,858	4,977,100	49,800	5,026,900
SUBTOTAL	6,038,367	6,098,700	61,000	6,159,700
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	41,697,990	42,114,900	421,100	42,536,000

**Northern Arizona University
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Program Summary of Federal Funds FY 2023**

PUBLIC SERVICE

Expenditure Category	Actual FY2021	Estimated FY2022	Increase/ Decrease	Request FY2023
FTE Positions	112.90	112.90	-	112.90
Personal Services	4,315,506	4,358,700	43,600	4,402,300
Employee Related Expenditures	1,600,309	1,616,300	16,200	1,632,500
All Other Operating Expenditures				
Professional and Outside Services	5,286,936	5,339,800	53,400	5,393,200
Travel In State	8,602	8,700	100	8,800
Travel Out of State	-	-	-	-
Other Operating	1,098,393	1,109,400	11,100	1,120,500
Equipment	13,913	14,100	100	14,200
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	12,323,659	12,447,000	124,500	12,571,500
Indirect Costs	1,388,539	1,402,400	14,000	1,416,400
TOTAL EXPENDITURES	13,712,198	13,849,400	138,500	13,987,900
Pass Through Funds - State Agencies	1,343,717	1,357,200	13,600	1,370,800
Pass Through Funds - Non State Agencies	2,159,049	2,180,600	21,800	2,202,400
SUBTOTAL	3,502,766	3,537,800	35,400	3,573,200
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	17,214,964	17,387,200	173,900	17,561,100

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2023

PUBLIC SERVICE	No. of Projects	Actual FY2021	Estimated FY2022	Estimated FY2023
Department of Health and Human Services	7	1,503,571	1,518,600	1,533,800
National Science Foundation	2	165,178	166,800	168,500
U.S. Department of Education	12	6,592,493	6,658,500	6,725,100
U.S. Department of Agriculture	1	49,120	49,600	50,100
Environmental Protection Agency	22	2,530,277	2,555,600	2,581,200
National Park Service	1	19,868	20,100	20,300
U.S. Department of Commerce	1	90,992	91,900	92,800
National Endowment for the Humanities	1	13,913	14,100	14,200
Department of Justice	1	(128)	(100)	(100)
Bureau of Indian Affairs	1	475,651	480,400	485,200
Corp for National Community Service	10	1,535,584	1,550,900	1,566,400
Health Resources and Services Admin	1	(1)	-	-
Indian Health Service	3	40,618	41,000	41,400
NASA	2	397,044	401,000	405,000
Centers for Disease Control & Prevention	4	118,752	119,900	121,100
Administration for Community Living	2	42,914	43,300	43,700
Economic Development Administration	3	136,352	137,700	139,100
Federal Thru State Agency	29	1,343,717	1,357,200	1,370,800
Federal Thru Local Agency	17	1,888,206	1,907,100	1,926,200
Federal Thru Misc. Private	6	270,843	273,600	276,300
Total Public Service	126	17,214,964	17,387,200	17,561,100

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2023**

STUDENT SERVICES

Expenditure Category	Actual FY2021	Estimated FY2022	Increase/ Decrease	Request FY2023
FTE Positions	18.90	18.90	-	18.90
Personal Services	1,689,914	1,706,800	17,100	1,723,900
Employee Related Expenditures	285,083	287,900	2,900	290,800
All Other Operating Expenditures				
Professional and Outside Services	49,089	49,600	500	50,100
Travel In State	331	300	-	300
Travel Out of State	-	-	-	-
Other Operating	98,960	99,900	1,000	100,900
Equipment	-	-	-	-
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	2,123,377	2,144,500	21,500	2,166,000
Indirect Costs	93,035	94,000	900	94,900
TOTAL EXPENDITURES	2,216,412	2,238,500	22,400	2,260,900
Pass Through Funds - State Agencies	-	-	-	-
Pass Through Funds - Non State Agencies	-	-	-	-
SUBTOTAL	-	-	-	-
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	2,216,412	2,238,500	22,400	2,260,900

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2023

STUDENT SERVICES	No. of Projects	Actual FY2021	Estimated FY2022	Estimated FY2023
U.S. Department of Education	7	2,216,412	2,238,500	2,260,900
Total Student Services	7	2,216,412	2,238,500	2,260,900

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2023**

INSTITUTIONAL SUPPORT

Expenditure Category	Actual FY2021	Estimated FY2022	Increase/ Decrease	Request FY2023
FTE Positions	-	-	-	-
Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
All Other Operating Expenditures				
Professional and Outside Services	-	-	-	-
Travel In State	-	-	-	-
Travel Out of State	-	-	-	-
Other Operating	-	-	-	-
Equipment	-	-	-	-
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	-	-	-	-
Indirect Costs	2,740,113	2,767,500	27,700	2,795,200
TOTAL EXPENDITURES	2,740,113	2,767,500	27,700	2,795,200
Pass Through Funds - State Agencies	-	-	-	-
Pass Through Funds - Non State Agencies	-	-	-	-
SUBTOTAL	-	-	-	-
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	2,740,113	2,767,500	27,700	2,795,200

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2023

INSTITUTIONAL SUPPORT	No. of Projects	Actual FY2021	Estimated FY2022	Estimated FY2023
U.S. Department of Education	1	2,740,113	2,767,500	2,795,200
Total Institutional Support	1	2,740,113	2,767,500	2,795,200

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2023**

SCHOLARSHIPS AND FELLOWSHIPS

Expenditure Category	Actual FY2021	Estimated FY2022	Increase/ Decrease	Request FY2023
FTE Positions	-	-	-	-
Personal Services	39,596	40,000	400	40,400
Employee Related Expenditures	10,181	10,300	100	10,400
All Other Operating Expenditures				
Professional and Outside Services	203,909	205,900	2,100	208,000
Travel In State	-	-	-	-
Travel Out of State	-	-	-	-
Other Operating	55,718,872	56,276,100	562,800	56,838,900
Equipment	-	-	-	-
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	55,972,558	56,532,300	565,400	57,097,700
Indirect Costs	15,080	15,200	200	15,400
TOTAL EXPENDITURES	55,987,638	56,547,500	565,600	57,113,100
Pass Through Funds - State Agencies	-	-	-	-
Pass Through Funds - Non State Agencies	-	-	-	-
SUBTOTAL	-	-	-	-
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	55,987,638	56,547,500	565,600	57,113,100

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2023

SCHOLARSHIPS AND FELLOWSHIPS	No. of Projects	Actual FY2021	Estimated FY2022	Estimated FY2023
National Science Foundation	4	410,496	414,600	418,700
U.S. Department of Education	9	54,245,312	54,787,800	55,336,000
U.S. Department of Transportation	1	4,855	4,900	4,900
Health Resources and Services Admin	2	1,300,000	1,313,000	1,326,100
National Institutes of Health	1	3,975	4,000	4,000
National Institute of Food & Agriculture	1	23,000	23,200	23,400
Total Scholarships and Fellowships	18	55,987,638	56,547,500	57,113,100

