

A wide-angle photograph of a university campus. In the foreground, a large green lawn is intersected by a winding concrete path. Two people are walking on the path, one pushing a bicycle. To the left, a tall blue spruce tree stands near a brick building with a covered patio area featuring tables and umbrellas. In the center, a long, single-story brick building with a grey roof and large windows is visible. To the right, another brick building with a covered picnic area and picnic tables is situated. The background is filled with lush green trees, and in the distance, a range of rugged, forested mountains rises under a sky with scattered white clouds.

STATE BUDGET REQUEST

FY22

NAU
NORTHERN
ARIZONA
UNIVERSITY



September 15, 2020

Governor Ducey and Members of the Arizona State Legislature:

This document summarizes Northern Arizona University's request for state appropriated resources to meet anticipated needs of our institution during Fiscal Year 2022. It represents budget requirements necessary to maintain the level of educational excellence previously provided by this university with the support of the state of Arizona. The NAU request was prepared under guidelines endorsed by the Governor's Office of Strategic Planning and Budgeting and the Arizona Board of Regents.

I look forward to reviewing Northern Arizona University's budget request with you and your staff.

Sincerely,


Rita Cheng
President

**NORTHERN ARIZONA UNIVERSITY
FY 2022 STATE OPERATING BUDGET REQUEST**

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STATE OF ARIZONA
BUDGET REQUEST
TRANSMITTAL STATEMENT

AGENCY NORTHERN ARIZONA UNIVERSITY 0440
A.R.S. CITATION 15-1601

	FY 2022 Request
TOTAL STATE BUDGET REQUEST	<u>\$702,416,600</u>
GENERAL FUND	<u>138,454,600</u>
OTHER APPROPRIATED FUNDS	<u>156,298,700</u>
FEDERAL FUNDS	<u>113,396,100</u>
OTHER NON-APPROPRIATED FUNDS	<u>294,267,200</u>

GOVERNOR DUCEY:

In accordance with A.R.S. 35-113, this and the accompanying budget schedules, statements, and explanatory information constitute the operating budget estimates of this agency for approved expenditures in fiscal year 2022.

All statements and explanations contained in the estimates submitted herewith are true and correct to the best of my knowledge.

Agency Head Rita Cheng

Title President

Signature 

Request Prepared by Bjorn Flugstad

Phone (928) 523-4240

Date Prepared September 15, 2020

TRANSMITTAL STATEMENT

**NORTHERN ARIZONA UNIVERSITY
MISSION, VISION, VALUES, AND GOALS**

Mission

Our academic programs, research, public service, and creative endeavors enrich lives and create opportunities in Arizona and beyond. We develop solutions to challenges and drive innovation in a supportive, inclusive, and diverse environment.

Vision

NAU leads the way to a better Arizona and a sustainable world through personalized attention to student success and scholarly excellence

Values

- Student centered: We place student success at the center of our academic and service planning, policies, and programs.
- Integrity: We operate with fairness, honesty, and the highest ethical standards.
- Diversity: We strengthen our community through diversity of cultures, experiences, and perspectives.
- Service: We partner with our communities, in the spirit of collaboration, to provide services and expertise to support Arizona, the nation, and the world.
- Discovery: We engage in innovation to create, share, and apply new knowledge, scholarship, and artistry.
- Excellence: We commit to the highest quality in all endeavors.

Northern Arizona University Goals

Student Success and Access

Educate students to serve, lead, and achieve

Research and Discovery

Expand the boundaries of knowledge and creativity

Commitment to Native Americans

Become the nation's leading university serving Native Americans

Engagement

Strengthen university, community, regional, national, and global ties for the mutually beneficial exchange of knowledge and resources

Stewardship

Ensure long-term viability of the university



AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B
COMBINED FUNDS SUMMARY - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2020 Actual	2021 Estimate	2022 Estimate
Balance Forward	133,769.7	163,359.2	178,926.2
Receipts	432,756.9	413,826.2	431,433.8
TOTAL FUNDS AVAILABLE	566,526.6	577,185.4	610,359.9
DISPOSITION OF FUNDS			
FTE Positions	1,778.8	1,546.8	1,546.8
Personal Services	99,117.8	97,008.9	98,311.3
ERE	31,319.8	33,784.8	34,134.9
All Other Operating Expenditures:			
Professional and Outside Services	67,761.4	65,561.0	66,822.9
Travel: In State	1,402.8	1,371.4	1,396.4
Travel: Out of State	4,954.9	4,874.2	4,975.4
Other Operating Expenditures	168,537.7	165,534.0	168,426.9
Library Acquisitions	30.4	28.6	29.1
Equipment	4,730.3	4,606.8	4,716.3
All Other Operating Subtotal	247,417.5	241,976.0	246,367.0
TOTAL FUNDS EXPENDED	377,855.1	372,769.7	378,813.2
Net Transfers Out (In)	25,312.3	25,489.5	24,541.3
TOTAL DISPOSITION OF FUNDS	403,167.4	398,259.2	403,354.5
BALANCE FORWARD TO NEXT YEAR	163,359.2	178,926.2	207,005.4
Operating Expenditure Detail:			
Operating:			
Operating - Federal	99,317.8	100,311.0	101,314.2
Operating - Other Non Appropriated	278,537.3	272,458.7	277,499.0
Total Operating Expenditures	377,855.1	372,769.7	378,813.2
Total Funds Expended	377,855.1	372,769.7	378,813.2

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B
DESIGNATED TUITION AND FEES - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2020 Actual	2021 Estimate	2022 Estimate
Balance Forward	26,873.5	39,366.7	43,238.6
Receipts	116,779.2	102,723.3	117,947.0
TOTAL FUNDS AVAILABLE	143,652.7	142,090.0	161,185.6
DISPOSITION OF FUNDS			
FTE Positions	120.4	93.1	93.1
Personal Services	8,745.8	8,483.4	8,653.1
ERE	2,074.6	2,510.3	2,560.5
All Other Operating Expenditures:			
Professional and Outside Services	5,355.0	5,033.7	5,134.4
Travel: In State	169.5	159.3	162.5
Travel: Out of State	182.2	171.3	174.7
Other Operating Expenditures	7,754.6	7,289.3	7,435.1
Library Acquisitions	0.0	0.0	0.0
Equipment	324.2	304.7	310.8
All Other Operating Subtotal	13,785.5	12,958.4	13,217.5
TOTAL FUNDS EXPENDED	24,605.9	23,952.1	24,431.1
Net Transfers Out (In)	79,680.1	74,899.3	75,648.3
TOTAL DISPOSITION OF FUNDS	104,286.0	98,851.4	100,079.4
BALANCE FORWARD TO NEXT YEAR	39,366.7	43,238.6	61,106.2

Source of Revenue:

Tuition and fees retained by the University and summer session fees. Resources in this fund are designated in use.

Purpose of Fund:

To account for transactions related to academic year tuition and fees retained by the University and the summer session program.
Indirect cost recovery, part of the designated fund group, is presented separately.

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
COST CENTER/PROGRAM NAME: SUMMARY

SCHEDULE 1B
OTHER DESIGNATED (EXCLUDING INDIRECT COST RECOVERY) - SOURCE AND DISPOSITION OF FUNDS

FUNDS AVAILABLE	2020 Actual	2021 Estimate	2022 Estimate
Balance Forward	48,703.0	38,335.9	37,593.6
Receipts	58,045.6	67,107.4	67,107.4
TOTAL FUNDS AVAILABLE	106,748.6	105,443.2	104,701.0
DISPOSITION OF FUNDS			
FTE Positions	604.4	494.4	494.4
Personal Services	28,667.1	27,807.1	27,807.1
ERE	9,932.0	12,017.7	12,258.1
All Other Operating Expenditures:			
Professional and Outside Services	33,401.0	31,396.9	32,024.9
Travel: In State	427.7	402.0	410.1
Travel: Out of State	1,366.4	1,284.4	1,310.1
Other Operating Expenditures	54,645.6	51,366.9	52,394.2
Library Acquisitions	30.4	28.6	29.1
Equipment	1,526.1	1,434.5	1,463.2
All Other Operating Subtotal	91,397.2	85,913.4	87,631.6
TOTAL FUNDS EXPENDED	129,996.3	125,738.2	127,696.8
Net Transfers Out (In)	(61,583.6)	(57,888.6)	(58,467.5)
TOTAL DISPOSITION OF FUNDS	68,412.7	67,849.6	69,229.4
BALANCE FORWARD TO NEXT YEAR	38,335.9	37,593.6	35,471.6

Source of Revenue:

Unrestricted gifts and departmental revenues. Resources in this fund are designated in use.

Purpose of Fund:

To account for transactions related to the use of unrestricted gifts and departmental revenues.
Indirect cost recovery, part of the designated fund group, is presented separately.

Notes:

Indirect Cost Recovery are adjusted out of these totals and are reported on a separate schedule in this report.

154,602.2

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B
AUXILIARY FUNDS - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2020 Actual	2021 Estimate	2022 Estimate
Balance Forward	34,757.7	57,744.1	72,397.4
Receipts	96,775.3	87,097.8	87,968.8
TOTAL FUNDS AVAILABLE	131,533.0	144,841.9	160,366.2
DISPOSITION OF FUNDS			
FTE Positions	524.9	449.6	449.6
Personal Services	23,894.8	22,700.1	23,154.1
ERE	8,259.2	7,846.3	8,003.2
All Other Operating Expenditures:			
Professional and Outside Services	13,200.1	13,292.5	13,558.4
Travel: In State	282.4	284.4	290.1
Travel: Out of State	2,239.5	2,255.2	2,300.3
Other Operating Expenditures	34,690.1	34,932.9	35,631.6
Library Acquisitions	0.0	0.0	0.0
Equipment	629.9	634.3	647.0
All Other Operating Subtotal	51,042.0	51,399.3	52,427.3
TOTAL FUNDS EXPENDED	83,196.0	81,945.7	83,584.6
Net Transfers Out (In)	(9,407.1)	(9,501.2)	(9,596.2)
TOTAL DISPOSITION OF FUNDS	73,788.9	72,444.5	73,988.4
BALANCE FORWARD TO NEXT YEAR	57,744.1	72,397.4	86,377.7
Source of Revenue: Sales and services from substantially self supporting activities.			
Purpose of Fund: To account for transactions of substantially self supporting activities that provide services for students, faculty and staff.			

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
COST CENTER/PROGRAM NAME: SUMMARY

SCHEDULE 1B
DESIGNATED INDIRECT COST RECOVERY - SOURCE AND DISPOSITION OF FUNDS

FUNDS AVAILABLE	2020 Actual	2021 Estimate	2022 Estimate
Balance Forward	13,728.6	12,388.1	10,172.2
Receipts	11,553.4	11,655.6	11,669.0
TOTAL FUNDS AVAILABLE	25,282.0	24,043.7	21,841.2
DISPOSITION OF FUNDS			
FTE Positions	85.6	66.2	66.2
Personal Services	4,247.5	4,120.1	4,459.8
ERE	1,229.6	1,487.8	1,291.1
All Other Operating Expenditures:			
Professional and Outside Services	1,550.0	1,457.0	1,580.5
Travel: In State	40.2	37.8	41.0
Travel: Out of State	215.9	202.9	220.3
Other Operating Expenditures	3,606.7	3,390.3	3,679.0
Library Acquisitions	0.0	0.0	0.0
Equipment	563.2	529.4	574.4
All Other Operating Subtotal	5,976.0	5,617.4	2,699.1
TOTAL FUNDS EXPENDED	11,453.1	11,225.3	8,450.0
Net Transfers Out (In)	1,440.8	2,646.2	1,469.6
TOTAL DISPOSITION OF FUNDS	12,893.9	13,871.5	9,919.6
BALANCE FORWARD TO NEXT YEAR	12,388.1	10,172.2	11,921.6
Source of Revenue:			
Indirect costs recovered from sponsored research programs.			
Purpose of Fund:			
To account for transactions designated for various activities that assist or promote sponsored research at the University.			

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B
CAPITAL INFRASTRUCTURE FUND - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2020 Actual	2021 Estimate	2022 Estimate
Balance Forward	0.0	0.0	0.0
Receipts	4,611.3	4,692.9	4,786.7
TOTAL FUNDS AVAILABLE	4,611.3	4,692.9	4,786.7
DISPOSITION OF FUNDS			
FTE Positions	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0
ERE	0.0	0.0	0.0
All Other Operating Expenditures:			
Professional and Outside Services	0.0	0.0	0.0
Travel: In State	0.0	0.0	0.0
Travel: Out of State	0.0	0.0	0.0
Other Operating Expenditures	4,611.3	4,692.9	4,786.7
Library Acquisitions	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
All Other Operating Subtotal	4,611.3	4,692.9	4,786.7
TOTAL FUNDS EXPENDED	4,611.3	4,692.9	4,786.7
Net Transfers Out (In)	0.0	0.0	0.0
TOTAL DISPOSITION OF FUNDS	4,611.3	4,692.9	4,786.7
BALANCE FORWARD TO NEXT YEAR	0.0	0.0	0.0
Source of Revenue:			
Unrestricted gifts and departmental revenue			
Purpose of Fund:			
To account for capital infrastructure appropriation match			

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B
RESTRICTED NON FEDERAL FUNDS - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2020 Actual	2021 Estimate	2022 Estimate
Balance Forward	9,706.9	15,524.4	15,524.4
Receipts	33,830.3	28,276.0	28,558.8
TOTAL FUNDS AVAILABLE	43,537.2	43,800.4	44,083.2
DISPOSITION OF FUNDS			
FTE Positions	153.8	153.8	153.8
Personal Services	9,969.8	10,069.5	10,170.2
ERE	3,016.5	3,046.7	3,077.2
Professional and Outside Services	2,457.8	2,465.4	2,490.1
Travel: In State	120.7	121.9	123.1
Travel: Out of State	214.4	216.5	218.7
Other Operating Expenditures	7,499.0	7,574.0	7,649.7
Library Acquisitions	0.0	0.0	0.0
Equipment	1,396.5	1,410.5	1,424.6
All Other Operating Subtotal	11,688.4	11,788.3	11,906.2
TOTAL FUNDS EXPENDED	24,674.7	24,904.5	25,153.6
Net Transfers Out (In)	3,338.1	3,371.5	3,405.2
TOTAL DISPOSITION OF FUNDS	28,012.8	28,276.0	28,558.8
BALANCE FORWARD TO NEXT YEAR	15,524.4	15,524.4	15,524.4
Source of Revenue:			
State appropriated match for the Financial Aid Trust Fund and nonfederal grants and contracts.			
Purpose of Fund:			
To account for current operating funds restricted in use by nonfederal grants and contracts. Revenue and operating expenditures exclude indirect cost recovery.			

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B
RESTRICTED FEDERAL - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2020 Actual	2021 Estimate	2022 Estimate
Balance Forward	0.0	0.0	0.0
Receipts	111,161.8	112,273.3	113,396.1
TOTAL FUNDS AVAILABLE	111,161.8	112,273.3	113,396.1
DISPOSITION OF FUNDS			
FTE Positions	289.7	289.7	289.7
Personal Services	23,592.8	23,828.7	24,067.0
ERE	6,807.9	6,876.0	6,944.8
All Other Operating Expenditures:			
Professional and Outside Services	11,797.5	11,915.5	12,034.7
Travel: In State	362.3	365.9	369.6
Travel: Out of State	736.5	743.9	751.3
Other Operating Expenditures	55,730.4	56,287.7	56,850.6
Library Acquisitions	0.0	0.0	0.0
Equipment	290.4	293.3	296.2
All Other Operating Subtotal	68,917.1	69,606.3	70,302.4
TOTAL FUNDS EXPENDED	99,317.8	100,311.0	101,314.2
Net Transfers Out (In)	11,844.0	11,962.3	12,081.9
TOTAL DISPOSITION OF FUNDS	111,161.8	112,273.3	113,396.1
BALANCE FORWARD TO NEXT YEAR	0.0	0.0	0.0
Source of Revenue: Grants and contracts from the federal government.			
Purpose of Fund: To account for current operating funds restricted in use by the federal government. Revenue and operating expenditures exclude indirect cost recovery.			

**STATE OF ARIZONA
SCHEDULE 1D
STATE COLLECTIONS REVENUE**

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

			Actual FY2018	Actual FY2019	Actual FY2020	Budgeted FY2021	INCREASE/ (DECREASE)	Request FY2022
University: NORTHERN ARIZONA								
RESIDENT AND NON RESIDENT TUITION								
NON RESIDENT TUITION RECEIPTS								
Undergraduate	Non Fixed		5,329,000	7,801,100	9,439,000	11,580,800	1,064,400	12,645,200
	Fixed		27,383,900	24,552,000	27,824,700	21,417,800	1,246,600	22,664,400
WUE	Non Fixed		292,300	432,300	540,700	10,300	13,500	23,800
	Fixed		73,268,200	76,383,800	71,687,800	57,771,300	178,500	57,949,800
Graduate			6,286,900	8,338,000	9,494,900	9,511,500	468,800	9,980,300
RESIDENT TUITION RECEIPTS								
Undergraduate - Other Sites			28,505,500	27,719,600	23,492,300	20,708,700	(39,000)	20,669,700
Undergraduate Flagstaff	Non Fixed		85,300	138,900	289,900	43,500	(13,100)	30,400
	Fixed		60,325,200	60,030,700	55,058,400	43,744,600	(1,992,400)	41,752,200
Graduate			15,844,100	18,027,000	19,483,500	19,410,900	757,600	20,168,500
SPECIAL PROGRAM FEES			4,224,000	4,248,500	4,608,000	5,706,000	-	5,706,000
INSTITUTIONAL FINANCIAL AID (VALUE OF WAIVERS*)			86,966,600	98,966,600	112,136,400	114,870,600	13,100,000	127,970,600
TOTAL STUDENT FEE TUITION RECEIPTS			308,511,000	326,638,500	334,055,600	304,776,000	14,784,900	319,560,900
Less Financial Aid Awards			87,891,400	99,894,800	113,039,100	115,811,900	13,100,000	128,911,900
Less Debt Service/Plant Fund			15,500,000	17,000,000	18,000,000	7,200,000	1,000,000	8,200,000
Less Regents' Financial Aid Set-Aside			32,250,000	33,000,000	33,000,000	33,000,000	-	33,000,000
Less All Other Local Retention			17,988,500	18,534,800	16,987,000	13,467,800	684,900	14,152,700
Total Local Retention (from Schedule 1D-4)			153,629,900	168,429,600	181,026,100	169,479,700	14,784,900	184,264,600
SUBTOTAL NET TUITION TO STATE BUDGET			154,881,100	158,208,900	153,029,500	135,296,300	-	135,296,300

STATE OF ARIZONA
SCHEDULE 1D
STATE COLLECTIONS REVENUE

NORTHERN ARIZONA UNIVERSITY 0440

FUND: 1421

	Actual FY2018	Actual FY2019	Actual FY2020	Budgeted FY2021	INCREASE/ (DECREASE)	Request FY2022
University: NORTHERN ARIZONA						
OTHER REVENUES/RECEIPTS						
Miscellaneous Student Receipts	125,000	125,000	200,000	200,000		200,000
Summer Sessions Fees	1,700,000	1,900,000	2,200,000	2,700,000		2,700,000
State Land Grant Endowment (incl Prop 123 - Eminent Scholars)	725,000	725,000	725,000	725,000		725,000
Actual Balance Forward	-	-	-	-		-
TOTAL OTHER RECEIPTS	2,550,000	2,750,000	3,125,000	3,625,000	-	3,625,000
TOTAL APPROVED TO SUPPORT STATE EXPENDITURE AUTHORITY	157,431,100	160,958,900	156,154,500	138,921,300	-	138,921,300

**STATE OF ARIZONA
 SCHEDULE 1D
 STATE COLLECTIONS REVENUE**

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

			Actual FY2018	Actual FY2019	Actual FY2020	Budgeted FY2021	INCREASE/ (DECREASE)	Request FY2022
University: NORTHERN ARIZONA								
FULL TIME EQUIVALENT STUDENTS (FALL SEMESTER 21 Day)								
Under 15/12/10 Methodology			29,524	29,384	29,175	26,799	-	26,799
FULL PAY EQUIVALENT STUDENTS								
NON RESIDENT FPE STUDENTS			6,707	6,510	6,148	5,584	148	5,732
Undergraduate	Non Fixed		313	484	616	888	96	984
	Fixed		1,138	937	716	599	(6)	593
WUE	Non Fixed		24	32	39	1	1	2
	Fixed		4,818	4,576	4,241	3,535	23	3,558
Graduate			414	481	536	561	34	595
As a percent of Full Time Equivalent Students			22.7%	22.2%	21.1%	20.8%		21.4%
RESIDENT FPE STUDENTS			10,641	9,550	8,547	7,650	5	7,655
Undergraduate Flag	Non Fixed		10	16	12	5	(1)	4
	Fixed		5,937	5,396	4,741	4,018	(174)	3,844
Undergraduate Other Campuses			3,103	2,512	2,090	1,848	65	1,913
Graduate			1,591	1,626	1,704	1,779	115	1,894
As a percent of Full Time Equivalent Students			36.0%	32.5%	29.3%	28.5%		28.6%

**STATE OF ARIZONA
SCHEDULE 1D
STATE COLLECTIONS REVENUE**

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

			Actual FY2018	Actual FY2019	Actual FY2020	Budgeted FY2021	INCREASE/ (DECREASE)	Request FY2022
University: NORTHERN ARIZONA								
ACADEMIC YEAR TUITION								
RESIDENT AND NON RESIDENT TUITION RATES								
Flagstaff								
Academic Year Nonresident Tuition								
Undergraduate								
	Non Fixed		20,604	21,324	21,964	22,624	-	22,624
	Fixed FY17		23,126	23,126	23,126	23,126	-	23,126
	Fixed FY18		23,820	23,820	23,820	23,820	-	23,820
	Fixed FY19			24,654	24,654	24,654	-	24,654
	Fixed FY20				25,270	25,270	-	25,270
	Fixed FY21					25,396	-	25,396
	Fixed FY22							25,396
WUE								
	Non Fixed		11,814	12,228	12,597	12,597	-	12,597
	Fixed FY17		14,619	14,619	14,619	14,619	-	14,619
	Fixed FY18		15,057	15,057	15,057	15,057	-	15,057
	Fixed FY19			15,585	15,585	15,585	-	15,585
	Fixed FY20				15,975	15,975	-	15,975
	Fixed FY21					15,975	-	15,975
	Fixed FY22							15,975
Graduate			21,588	22,882	24,484	25,708	-	25,708
Academic Year Resident Tuition								
Undergraduate								
	Non Fixed		7,876	8,152	8,398	8,398	-	8,398
	Fixed FY17		9,746	9,746	9,746	9,746	-	9,746
	Fixed FY18		10,038	10,038	10,038	10,038	-	10,038
	Fixed FY19			10,390	10,390	10,390	-	10,390
	Fixed FY20				10,650	10,650	-	10,650
	Fixed FY21					10,650	-	10,650
	Fixed FY22							10,650
Graduate			9,240	9,796	10,480	10,480	-	10,480
Statewide								
Academic Year Nonresident Tuition								
Undergraduate								
	Yuma		20,604	21,324	21,964	7,950	-	7,950
	Yavapai		20,604	21,324	21,964	7,950	-	7,950
	Graduate		17,508	18,382	21,964	7,950	-	7,950
Academic Year Resident Tuition								
Undergraduate								
	Yuma		21,588	22,450	23,574	25,754	-	25,754
	Yavapai							
	Graduate							
	Undergraduate		7,456	7,718	7,950	7,950	-	7,950
	Yuma		7,456	7,718	7,950	7,950	-	7,950
	Yavapai		5,920	6,216	7,950	7,950	-	7,950
	Graduate		9,240	9,610	10,090	10,090	-	10,090

**STATE OF ARIZONA
SCHEDULE 1D
STATE COLLECTIONS REVENUE**

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

University: NORTHERN ARIZONA	Actual FY2018	Actual FY2019	Actual FY2020	Budgeted FY2021	INCREASE/ (DECREASE)	Request FY2022
SPECIAL PROGRAM FEES						
Master of Business Administration (MBA) Special Program Fee	7,000	7,000	7,000	7,000	-	7,000
Doctorate of Nursing Practice (DNP) Special Program Fee	1,800	1,800	1,800	1,800	-	1,800
Doctorate of Physical Therapy (DPT) Special Program Fee - Flagstaff (per year)	5,000	5,000	6,000	6,000	-	6,000
Doctorate of Physical Therapy (DPT) Special Program Fee - Phoenix (per year)	7,000	7,000	8,000	8,000	-	8,000
Doctorate of Occupational Therapy (OTD) Special Program Fee (per year)	11,000	11,000	11,000	11,000	-	11,000
Master of Administration (MA) Special Program Fee	2,500	-	-	-	-	-
Master of Science in Nursing Special Program Fee	1,800	1,800	1,800	1,800	-	1,800
Master of Science in Physician Assistant Studies Special Program Fee (per year)	14,000	14,000	20,000	20,000	-	20,000
Master of Science in Clinical Speech-Language Pathology Special Program Fee	2,400	2,400	2,400	2,400	-	2,400
Bachelor in Business Administration Special Program Fee (per year)	580	580	700	700	-	700
Bachelor of Science in Dental Hygiene Special Program Fee (per year)	800	800	2,000	2,000	-	2,000
Bachelor of Science in Nursing Special Program Fee (per year)	1,200	1,200	1,200	1,200	-	1,200
Bachelor of Science in Nursing Accelerated Option Special Program Fee (per year)	1,600	1,600	1,600	1,600	-	1,600
Bachelor of Science in Nursing - Registered Nurse Option Special Program Fee (per year)	150	150	150	150	-	150
Bachelor of Science in Forestry Program Fee (per year)	400	400	400	400	-	400
Bachelor of Science in Geology Special Program Fee (per term)	700	700	700	700	-	700
Bachelor of Science in Hotel Restaurant Management Special Program Fee (per year)	580	580	700	700	-	700
Undergrad Engineering /Construction Special Program Fee (per year)	500	500	850	850	-	850
Undergrad Honors Special Program Fee (per year)	500	700	700	700	-	700
Bachelor of Science in Fitness Wellness (per year)		150	150	150	-	150
Bachelor of Science in Nutrition and Foods (per year)		300	300	300	-	300
Bachelor of Science in Public Health (per year)		60	60	60	-	60
Master of Science in Climate Science and Solutions Special Program Fee (per year)	3,000	3,000	3,000	3,000	-	3,000
Master of Science in Athletic Training Special Program Fee - Flagstaff (per year)	2,000	2,000	2,000	2,000	-	2,000
Master of Science in Athletic Training Special Program Fee - Phoenix (per year)	3,000	3,000	3,000	3,000	-	3,000
Master of Public Administration Special Program Fee (per year)	1,500	1,500	1,500	1,500	-	1,500
Master in Global Business Administration Special Program Fee (per year)	6,000	6,000	6,000	6,000	-	6,000
Master of Public Health - Health Promotion (per year)		520	520	520	-	520
Master of Public Health - Nutrition (per year)		870	870	870	-	870
Master of Social Work		1,200	1,200	1,200	-	1,200
Bachelor of Fine Arts in Visual Communication (per year)			500	500	-	500
Bachelor of Science in Creative Media and Film (per year)			800	800	-	800
Master of Science in Engineering and Master of Engineering (per year)			900	900	-	900
Master of Arts in Clinical Mental Health Counseling (per year)			1,000	1,000	-	1,000
Educational Specialist in School Psychology (per year)			1,500	1,500	-	1,500
Bachelor of Science in Cybersecurity (per year)				2,000	-	2,000
Master of Science in Cybersecurity (per year)				5,500	-	5,500
Doctor of Psychology in Clinical Psychology (per year)				7,000	-	7,000
Combined Counseling/School Psychology, Doctor of Philosophy (per year)				1,500	-	1,500
Master of Education in Counseling - School Counseling (per year)				1,000	-	1,000
Master of Education in PK-12 College and Career Counseling (per year)				600	-	600
				-	-	-

STATE OF ARIZONA
SCHEDULE 1D
STATE COLLECTIONS REVENUE

NORTHERN ARIZONA UNIVERSITY 0440

FUND: 1421

	Actual FY2018	Actual FY2019	Actual FY2020	Budgeted FY2021	INCREASE/ (DECREASE)	Request FY2022
University: NORTHERN ARIZONA						
ACADEMIC YEAR MANDATORY FEES						
Financial Aid Trust	79	82	84	84	-	84
ASNAU/Green Fee	56	56	76	76	-	76
Information Technology (changed to flat tiered rate eff FY21, rates reflect 12 crhrs)	14	336	336	336	-	336
Student Wellness	500	500	550	550	-	550
Student Activity Fee	50	50	50	50	-	50
Athletic Fee		150	150	150	-	150

NORTHERN ARIZONA UNIVERSITY
Fiscal Year 2021-2022
Locally Retained Tuition

	REQUESTED ALLOCATION 2019/20	REQUESTED ALLOCATION 2020/21	INCREASE/ (DECREASE)	REQUESTED ALLOCATION 2021/22
DESIGNATED				
American Disabilities Act (ADA) Services	750,000	-		-
Art Gallery	10,900	-		-
Child Care	43,900	43,900		43,900
Graduate Assistant Tuition Remission	2,400,000	2,400,000		2,400,000
Peer Mentoring and Retention	502,000	-		-
Performing Arts (including Music)	106,000	93,400		93,400
School of Comm Student Radio, Cable & Forensics	27,000	2,800		2,800
Student Activities	90,400	-		-
Student Financial Aid Operations	337,300	-		-
Online and Educational Innovation and Partnership Investment	11,578,700	9,540,400	684,900	10,225,300
Doctorate of Physical Therapy (DPT) Program Fee (86%)	458,500	345,000		345,000
Physician Assistant (PA) Program Fee (86%)	75,000	435,000		435,000
Occupational Therapy (OT) Program Fee (86%)	45,000	5,000		5,000
Athletic Training (AT) Program Fee (86%)	10,000	50,000		50,000
Employee Benefit Contingency	50,000	50,000		50,000
Operations: Collections	502,300	502,300		502,300
Sub-Total Designated	16,987,000	13,467,800	684,900	14,152,700
AUXILIARY				
Sub-Total Auxiliary	-	-	-	-
TOTAL OPERATING FUNDS	16,987,000	13,467,800	684,900	14,152,700
FINANCIAL AID				
Regent's Financial Aid Set-Aside	33,000,000	33,000,000		33,000,000
Set-Aside for Acad Meritorious AZ Residents	15,000	-		-
Student Financial Aid Match (SSIG, SEOG, and etc.)	242,500	142,500		142,500
Institutional Financial Aid - (formerly tuition waivers)	112,136,400	114,870,600	13,100,000	127,970,600
Doctorate of Physical Therapy (DPT): Scholarship (14%)	212,100	229,200		229,200
Physician Assistant (PA) Scholarship (14%)	210,700	280,000		280,000
Occupational Therapy (OT) Scholarships (14%)	206,400	206,400		206,400
Athletic Training (AT) Scholarships (14%)	16,000	14,700		14,700
Doctor of Clinical Psychology Scholarships (14%)		68,500		68,500
Sub-Total Set Aside	146,039,100	148,811,900	13,100,000	161,911,900
Plant Fund/Minor Capital Projects	1,000,000	1,000,000		1,000,000
Debt Service	17,000,000	6,200,000	1,000,000	7,200,000
Total Locally Retained	181,026,100	169,479,700	14,784,900	184,264,600

**ADMINISTRATIVE COST MATRIX
NORTHERN ARIZONA UNIVERSITY**

Activity	Administrative Costs	Program Costs	DOA Related Costs (1)
Executive Management			
Affirmative Action Office	x		x
Legal Services	x		x
President's Office	x		
Office of Communication & Media Relations	x		
Vice President for Capital Planning & Campus Operations	x		
Human Resources			
Human Resources	x		x
Information Technology			
Computing Technology Services	x		x
Business and Finance			
Facility Services	x		x
Comptroller's Office & Student/Departmental Acct Office	x		x
Internal Audit	x		x
Postal Services	x		x
Purchasing	x		x
State Insurance		x	
University Budget Office	x		

Other Central Administration

Development & Alumni Engagement		x	
Diversity		x	
Environmental Health & Safety		x	
NAU Online Administration	x		
General Administration	x		
Government Affairs	x		
Office of Regulatory Compliance		x	
Institutional Research & Analysis	x		
University Central Administration	x		
University Police and Emergency Management	x		x
Utilities	x		x

(1) Activities identified in this column reflect university functions that directly relate to services provided by the Department of Administration to state government. Legal Services, Affirmative Action, and University Ombudsman's Office directly relate to services provided by the Attorney General and Governor's Office. Amounts for these functions are **not** included on the "Agency Summary of Administrative Costs" form.

**AGENCY SUMMARY OF ADMINISTRATIVE COSTS ⁽¹⁾
NORTHERN ARIZONA UNIVERSITY**

CATEGORY	ACTUAL FY2020	EXPENDITURE PLAN - FY2021 ⁽²⁾	REQUEST FY2022 ⁽²⁾
ADMINISTRATIVE COST FUNCTION:			
Executive Management	2,925,374	2,598,405	2,598,405
Human Resources	-	-	-
Information Technology	-	-	-
Business and Finance	526,314	514,221	514,221
Other Central Administration	1,888,947	1,741,414	1,741,414
TOTAL EXPENDITURES BY ADMINISTRATIVE COST COMPONENT	5,340,635	4,854,040	4,854,040
FUND SOURCE DETAIL			
GENERAL FUND			
OTHER APPROPRIATED FUNDS	5,340,635	4,854,040	4,854,040
TOTAL EXPENDITURES BY FUND	5,340,635	4,854,040	4,854,040
TOTAL UNIVERSITY EXPENDITURE AUTHORITY	253,067,301	223,592,959	230,243,100
ADMINISTRATIVE COST AS A PERCENT OF TOTAL BUDGET	2.11%	2.17%	2.11%
(1) Administrative function costs exclude those Institutional Support activities that directly relate to services provided by the Department of			
(2) Amounts in these columns do not include ERE which is budgeted at the program level.			

ASSUMPTIONS FOR ADMINISTRATIVE COST SUMMARY NORTHERN ARIZONA UNIVERSITY

Northern Arizona University provided information on requested funding for administrative costs in accordance with A.R.S. 35-115. The Agency Summary of Administrative Cost includes our best estimate of administrative costs in the State Operating Budget.

The Administrative Cost Matrix identifies subprograms in the Institutional Support program by the five major administrative functions: Executive Management, Human Resources, Information Technology, Business and Finance, and Other Central Administration.

The "Administrative Costs" column identifies the central administrative cost functions.

The second column identifies "Program Costs", consisting of units which do not have an administrative function. Many of these units support the university through community outreach efforts. The "Program Costs" also include the Risk Management Insurance Premium since these are pass through funds which support program activities.

Finally, we identified the "DOA Related Costs." Activities in this column reflect the university functions that directly relate to the types of services provided by the Department of Administration (DOA) to other state government agencies. The universities do not receive the same set of services from DOA and therefore must maintain administrative units to provide comparable services at each campus.

In order to facilitate comparisons across state agencies, we excluded the "DOA Related Costs" from the Agency Summary of Administrative Cost.

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2022

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

	Program				UNIVERSITY TOTAL
Expenditure Category	ACTUAL FY2020	ESTIMATED FY2021	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2022
FTE POSITIONS *	2,565.33	2,599.80	0.00	90.00	2,689.80
PERSONAL SERVICES	175,777,634	178,296,926	4,000,000	6,475,000	188,771,926
EMPLOYEE RELATED EXP.	53,649,397	48,850,260	1,400,000	3,074,900	53,325,160
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	11,791,549	10,727,821	-	-	10,727,821
Travel In-State	231,925	211,573	-	225,000	436,573
Travel Out-of-State	297,003	-	-	-	-
Other Operating Expenditures	17,866,866	22,673,056	1,250,000	2,975,100	26,898,156
Library Acquisitions	2,893,557	1,700,596	-	250,000	1,950,596
Capital Expenditures	66,382	85,524	-	9,000,000	9,085,524
Transfer Out***	-	-	-	-	-
Subtotal Other Operating Expenditures	33,147,282	35,398,570	1,250,000	12,450,100	49,098,670
BELOW THE LINE ITEM: NAU-YUMA	3,071,400	3,071,400	-	-	3,071,400
TOTAL APPROPRIATED	265,645,713	265,617,156	6,650,000	22,000,000	294,267,156
Collections	153,029,500	152,673,700	-	-	152,673,700
Summer Sessions and Misc. Collections	2,200,000	2,700,000	-	-	2,700,000
Land Grant Endowment	725,000	725,000	-	-	725,000
Misc. Receipts	200,000	200,000	-	-	200,000
Balance Forward	-	-	-	-	-
Total Revenues & Receipts	156,154,500	156,298,700	-	-	156,298,700
TOTAL GENERAL FUND	109,491,213	109,804,600	6,650,000	22,000,000	138,454,600
FEDERAL FUNDS	111,161,750	112,273,300	1,122,800	-	113,396,100
OTHER FUNDS (Est.)	361,791,302	307,680,844	(12,927,500)	-	294,753,344
TOTAL NON-APPROPRIATED	472,953,052	419,954,144	(11,804,700)	-	408,149,444
TOTAL PROGRAM	738,598,765	685,571,300	(5,154,700)	22,000,000	702,416,600

* University totals include the appropriated FTE and below the line allocations or expenditures for NAU Yuma.

** Actual General Fund appropriation expenditures include prior year encumbrance carry forward less encumbrance carry forward to next FY.

***Reflects \$3,000,000 Appropriation transferred to TGEN.

**STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FY 2022**

<u>NORTHERN ARIZONA UNIVERSITY 0440</u>	<u>PROGRAM</u>				<u>UNIVERSITY TOTAL</u>
FUND: 1421					
EXPENDITURE CATEGORY	ACTUAL FY 2020	ESTIMATED FY 2021	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2022
INSTRUCTION	141,375,252	114,273,021	6,650,000	11,022,000	131,945,021
ORGANIZED RESEARCH	7,664,394	4,980,528	-	-	4,980,528
PUBLIC SERVICE	5,733,203	5,651,403	-	-	5,651,403
ACADEMIC SUPPORT	29,537,385	29,288,006	-	10,978,000	40,266,006
STUDENT SERVICES & ADMIN.	23,034,834	12,031,817	-	-	12,031,817
INSTITUTIONAL SUPPORT	42,711,956	55,012,979	-	-	55,012,979
NAU YUMA	3,010,277	2,355,204	-	-	2,355,204
TOTAL OPERATING BUDGET	253,067,301	223,592,958	6,650,000	22,000,000	252,242,958
FTE POSITIONS	2,485.22	2,354.06	0.00	150.00	2,504.06
PERSONAL SERVICES	164,051,331	141,203,328	4,000,000	6,475,000	151,678,328
EMPLOYEE RELATED EXP.	52,991,638	44,850,261	1,400,000	3,074,900	49,325,161
ALL OTHER OPERATING EXP.	33,014,055	35,184,166	1,250,000	12,450,100	48,884,266
SUBTOTAL	250,057,023	221,237,754	6,650,000	22,000,000	249,887,754
NAU YUMA	3,010,277	2,355,204	-	-	2,355,204
TOTAL OPERATING BUDGET	253,067,301	223,592,958	6,650,000	22,000,000	252,242,958

Northern Arizona University
FY 2022 Operating Budget Request

Program	Actual FY20	Estimated FY21	CONTINUATION REQUEST						Subtotal Continuation
			NAU FY21 One Time Appropriation Adjustment	Incorporate FY21 One- Time appropriation into base appropriation					
UNIVERSITY SUMMARY									
FTE	2,485.22	2,354.06	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	168,489.8	145,485.0	4,000.0	-	-	-	-	-	4,000.0
ERE	54,307.2	44,850.3	1,400.0	-	-	-	-	-	1,400.0
Operations	33,280.3	35,612.8	1,250.0	-	-	-	-	-	1,250.0
Prof & Out. Svc.	11,823.3	10,821.8	-	-	-	-	-	-	-
Travel In-State	239.2	240.9	-	-	-	-	-	-	-
Travel Out-of-State	300.2	-	-	-	-	-	-	-	-
Other Operating	17,935.8	22,734.0	1,250.0	-	-	-	-	-	1,250.0
Capital Expenditures	66.3	85.5	-	-	-	-	-	-	-
Library Acquisitions	2,915.5	1,730.6	-	-	-	-	-	-	-
Transfer Out	-	-	-	-	-	-	-	-	-
Total	256,077.3	225,948.1	6,650.0	-	-	-	-	-	6,650.0

Program	Subtotal FY22 Request	STRATEGIC INVESTMENTS						Subtotal Strategic Investments	TOTAL FY22 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness	New Economy Initiative - NAU Mission Support						
UNIVERSITY SUMMARY									
FTE	2,354.06	90.00	0.00	0.00	0.00	0.00	0.00	90.00	2,444.06
Personal Services	149,485.0	6,475.0	-	-	-	-	-	6,475.0	155,960.0
ERE	46,250.3	3,074.9	-	-	-	-	-	3,074.9	49,325.2
Operations	36,862.8	12,450.1	-	-	-	-	-	12,450.1	49,312.9
Prof & Out. Svc.	10,821.8	-	-	-	-	-	-	-	10,821.8
Travel In-State	240.9	225.0	-	-	-	-	-	225.0	465.9
Travel Out-of-State	-	-	-	-	-	-	-	-	-
Other Operating	23,984.0	2,975.1	-	-	-	-	-	2,975.1	26,959.1
Capital Expenditures	85.5	9,000.0	-	-	-	-	-	9,000.0	9,085.5
Library Acquisitions	1,730.6	250.0	-	-	-	-	-	250.0	1,980.6
Transfer Out	-	-	-	-	-	-	-	-	-
Total	232,598.1	22,000.0	-	-	-	-	-	22,000.0	254,598.1

NORTHERN ARIZONA UNIVERSITY Fiscal Year 2022 State Budget Request

	<u>FY 2022 Request</u>
STATE BUDGET REQUEST ITEMS	
<u>Base Funding Request</u>	
New Economy Initiative - Enhancing Arizona's Workforce Competitiveness	22,000,000
Incorporate One-Time Appropriation Into Base Appropriation	6,650,000
TOTAL STATE OPERATING BASE BUDGET REQUEST - Fiscal Year 2022	<u>28,650,000</u>
Adjustment to Appropriation Levels	
<u>FY21 Supplemental Request</u>	
Incorporate One-Time Appropriation Into Base Appropriation	6,650,000
Mitigate Pandemic Costs and Support Resident Students	45,300,000
	<u>51,950,000</u>
Other Items to report	
Annual Personnel Report Competitive Salary Request	46,305,700
FY 22 Building Renewal Formula	26,973,300

**NORTHERN ARIZONA UNIVERSITY
FY 2022 Strategic Investment**

Initiative **New Economy Initiative - Enhancing Arizona's Workforce Competitiveness**

Budget Program and Dollar Amount Requested:

<u>\$ 11,022,000</u>			<u>\$ 10,978,000</u>			<u>\$ 22,000,000</u>
Instruction	Org Research	Public Service	Acad Support	Student Services	Instit Support	Total

Description of Request

The FY22 State Budget Request from Northern Arizona University is being submitted in to help advance a New Economic Initiative: Enhancing Arizona's Competitiveness. This is based on the accelerating the transformation of the universities into adaptive drivers of economic success for Arizona. This will result in more graduates and graduates in critical high demand areas, incentives for universities to compete aggressively for major, national research grants, continuing the Governor's efforts to expand critical career and technical training, and raising the high school graduation rate and college going rate of Arizonans.

NAU plans to invest in the following to support this overall initiative: 1) Health Care and 2) Statewide Education and Innovation. For item 1) Health Care, NAU will prepare a robust talent pipeline in high demand field with an emphasis on healthcare, including mental health and behavioral health, as the largest private industry in Arizona. NAU will expand opportunities for students to enter high demand fields in increasing numbers by increasing the educational opportunities available in Arizona through a variety of delivery models and at a variety of locations. For Item 2) Statewide Education and Innovation, NAU will expand and support pathway and expedited degree programs that provide students access to high demand degree programs through continued development of partnerships with CTEDS, community colleges and expedited bachelor to masters programs.

Effect on University if Delayed or Not Funded

Progress toward meeting Enterprise 2025 goals may be compromised as will the universities' ability to help the state achieve its educational attainment goals and maintain its workforce competitiveness.

Alternatives

Arizona's public universities are committed to succeed as an integral part of Arizona's economic development plan, but without a state investment in funding to support the universities' progress the investment in the Arizona's workforce will not be maximized.

Expenditures by Line Item:

	FY 2022	
	FTE	Amount
FTE.....	90.00	
Personal Services.....		6,475,000
ERE.....		3,074,900
All Other Operating:		
Professional and Outside Services.....		-
Travel In State.....		225,000
Travel Out of State.....		-
Other Operating Expenditures.....		2,975,100
Library Acquisitions.....		250,000
Capital/Equipment.....		9,000,000
Subtotal All Other Operating.....		12,450,100
TOTAL REQUEST	90.00	22,000,000

FTE Position Detail

	FY 2022	
	FTE	Amount
1. Faculty	75.00	5,700,000
2. Clerical	10.00	430,000
3. Support Staff	5.00	345,000
4. Graduate Assistants	0.00	0
5. Student Worker	0.00	0
6. Temporary	0.00	0
Total	90.00	6,475,000

**NORTHERN ARIZONA UNIVERSITY
FY 2022 Strategic Investment**

New Economy Initiative - Enhancing Arizona's Workforce Competitiveness

Instruction Expenditures By Line Item	FY 2022
	Amount
FTE Positions (See Listing on Next Page)	75.00
Personal Services	5,700,000
ERE	2,622,000
PROFESSIONAL AND OUTSIDE SERVICES	-
TRAVEL IN STATE	200,000
TRAVEL OUT OF STATE	-
OTHER OPERATING EXPENDITURES	2,500,000
LIBRARY ACQUISITIONS	-
CAPTIAL/EQUIPMENT	0
TOTAL	11,022,000

New Economy Initiative - Enhancing Arizona's Workforce Competitiveness

**NORTHERN ARIZONA UNIVERSITY
FY 2022 Strategic Investment**

New Economy Initiative - Enhancing Arizona's Workforce Competitiveness

INSTRUCTION FTE POSITION DETAIL	FY 2022		
	FTE	SALARY PER FTE	PERSONAL SERVICES
1. Faculty	75.00	76,000	5,700,000
2. Clerical	0.00	43,000	-
3. Staff	0.00	69,000	-
4. Graduate Assistants	0.00	15,000	-
5. Student Worker	0.00	-	-
6. Temporary	0.00	-	-
TOTAL	75.00		5,700,000

	ERE Rate	ERE
1. Faculty	46%	2,622,000
2. Clerical	66%	-
3. Staff	49%	-
4. Graduate Assistants	10%	-
5. Student Worker	2%	-
6. Temporary	11%	-
ERE DETAIL		ERE AMOUNT
	Total ERE	2,622,000

FY 2022 Strategic Investment

New Economy Initiative - Enhancing Arizona's Workforce Competitiveness

Academic Support Expenditures By Line Item	FY 2022
	Amount
FTE Positions (See Listing on Next Page)	15.00
Personal Services	775,000
ERE	452,900
PROFESSIONAL AND OUTSIDE SERVICES	-
TRAVEL IN STATE	25,000
TRAVEL OUT OF STATE	-
OTHER OPERATING EXPENDITURES	475,100
LIBRARY ACQUISITIONS	250,000
CAPTIAL/EQUIPMENT	9,000,000
TOTAL	10,978,000

**NORTHERN ARIZONA UNIVERSITY
FY 2022 Strategic Investment**

New Economy Initiative - Enhancing Arizona's Workforce Competitiveness

ACADEMIC SUPPORT FTE POSITION DETAIL	FY 2022		
	FTE	SALARY PER FTE	PERSONAL SERVICES
1. Faculty	0.00	76,000	-
2. Clerical	10.00	43,000	430,000
3. Staff	5.00	69,000	345,000
4. Graduate Assistants	0.00	15,000	-
5. Student Workers	0.00	-	-
6. Temporary	0.00	-	-
TOTAL	<u>15.00</u>		<u>775,000</u>

	ERE Rate	ERE
1. Faculty	46%	-
2. Clerical	66%	283,800
3. Staff	49%	169,100
4. Graduate Assistants	10%	-
5. Student Workers	2%	-
6. Temporary	11%	-
ERE DETAIL		ERE AMOUNT
Total ERE		<u>452,900</u>

**NORTHERN ARIZONA UNIVERSITY
FY 2022 Strategic Investment**

Initiative **Incorporate One-Time Appropriation Into Base Appropriation**

Budget Program and Dollar Amount Requested:

\$ 6,650,000	\$ -		\$ -		\$ -	\$ 6,650,000
Instruction	Org Research	Public Service	Acad Support	Student Services	Instit Support	Total

Description of Request

The FY22 State Budget Request from Northern Arizona University is being submitted in to help advance a New Economy Initiative: Enhancing Arizona's Competitiveness. This is based on the accelerating the transformation of the universities into adaptive drivers of economic success for Arizona. This will result in more graduates and graduates in critical high demand areas, incentives for universities to compete aggressively for major, national research grants, continuing the Governor's efforts to expand critical career and technical training, and raising the high school graduation rate and college going rate of Arizonans.

This portion of NAU's budget request for 2022 is based on incorporating NAU's one-time appropriation of \$6,650,000 (from FY20) into the FY21 base appropriation. Incorporating this funding into the base appropriation would enable NAU to make investments in support of faculty and staff retention and recruitment, facility maintenance and other operational support which are all recurring expenditures needed to support university operations

Effect on University if Delayed or Not Funded

Progress toward meeting Enterprise 2025 goals may be compromised as will the universities' ability to help the state achieve its educational attainment goals and maintain its workforce competitiveness.

Alternatives

Arizona's public universities are committed to succeed as an integral part of Arizona's economic development plan, but without a state investment in funding to support the universities' progress the investment in the Arizona's workforce will not be maximized.

Expenditures by Line Item:

	FY 2022	
	FTE	Amount
FTE.....	0.00	
Personal Services.....		4,000,000
ERE.....		1,400,000
All Other Operating:		
Professional and Outside Services.....		-
Travel In State.....		-
Travel Out of State.....		-
Other Operating Expenditures.....		1,250,000
Library Acquisitions.....		-
Capital/Equipment.....		-
Subtotal All Other Operating.....		1,250,000
TOTAL REQUEST	0.00	6,650,000

FTE Position Detail

	FY2022	
	FTE	Amount
1. Faculty	0.00	0
2. Clerical	0.00	0
3. Support Staff	0.00	0
4. Graduate Assistants	0.00	0
5. Student Worker	0.00	0
6. Temporary	0.00	0
Total	0.00	0

**NORTHERN ARIZONA UNIVERSITY
FY 2022 Strategic Investment**

Incorporate One-Time Appropriation Into Base Appropriation

Instruction Expenditures By Line Item	FY 2022
	Amount
FTE Positions (See Listing on Next Page)	0.00
Personal Services	4,000,000
ERE	1,400,000
PROFESSIONAL AND OUTSIDE SERVICES	-
TRAVEL IN STATE	-
TRAVEL OUT OF STATE	-
OTHER OPERATING EXPENDITURES	1,250,000
LIBRARY ACQUISITIONS	-
CAPTIAL/EQUIPMENT	0
TOTAL	6,650,000

Incorporate FY20 One-Time Appropriation Into Base Appropriation

FY 2022 State Operating Budget Request:
 The New Economy Initiative



Enhancing Arizona's Competitiveness	
New Economy Workforce	\$22,000,000
One – Time state appropriation incorporated into base appropriation	\$6,650,000
Total Request	\$28,650,000

Northern Arizona University requests state investment for Fiscal Year 2022 to enhance Arizona's competitiveness in the new economy through developing a highly skilled workforce that is accessible for more Arizonans and through maintaining the long term sustainability of our public universities. NAU's component of the new economy workforce will further build upon its strong Allied Health Care programs in both physical and mental health. The incorporation of the previous one time state investment into recurring university funding will enable investments in faculty and staff retention and recruitment as well as key infrastructure investments to support health and safety for campus operations.

The Fiscal Year 2022 request complements the Fiscal Year 2021 Supplemental request that includes the restoration of the one time state appropriation and additional funding to help mitigate the significant infrastructure and public safety investments being made for campus operations in the current fiscal year to address the impact from the COVID-19 pandemic even as overall university funding has decreased. The amounts in the Fiscal Year 2021 Supplemental request amounts are \$6.65 million for one time funding restoration and \$45.3 million in mitigation funding and Arizona resident student support.

FY 2022 State Budget Request:

New Economy Workforce/Health Care—Prepare a robust talent pipeline in high demand fields, with an emphasis on healthcare, including mental and behavioral health, as the largest private industry in Arizona and a high demand field that has been particularly highlighted throughout the COVID-19 pandemic. Expand opportunities for students to enter high demand fields in increasing numbers by increasing the educational opportunities available in Arizona through a variety of delivery models and at a variety of locations.

New Economy Workforce/Statewide Education and Innovation - Expand and support pathway and expedited degree programs that provide students access to high demand programs through continued development of partnerships with CTEDS, community colleges and expedited bachelor to masters programs.

Request to incorporate One-Time State Appropriation into base appropriation — NAU's one-time FY20 appropriation of \$6.65 million supported capital projects and operations. Incorporating this into the recurring base appropriation would enable NAU to make investments in campus operations to support a safe and healthy environment as well as retain and recruit faculty and staff. Recurring investments in support of enhanced IT infrastructure and campus cleaning protocols are critical as well as ongoing investments in personnel and capital infrastructure.

Statutory Building Renewal Request —Funding required for annual building renewal amounts to \$26.973 million as presented in the University's Capital Improvement Plan.

**NORTHERN ARIZONA UNIVERSITY
HEALTH, DENTAL AND LIFE INSURANCE COSTS**

		Number Enrolled	Monthly Premium	Number Enrolled	Monthly Premium	FY 21	FY 21 Annual NAU
		(State Funds)	7-1-20 to 12-31-20	(State Funds)	1-1-21 to 6-30-21	Annual Premium	Portion of Premium Per Enrollee
HEALTH INSURANCE:							
Employee Only	BLUE CROSS/ BLUE SHIELD	490	672.16	490	652.39	3,894,177	
	AETNA HDHP	16	394.06	16	394.06	75,660	
	AETNA EPO	1	605.50	1	605.50	7,266	
	AETNA PPO	2	605.50	2	605.50	14,532	
	BCBSAZ EPO	12	605.50	12	605.50	87,192	
	BCBSAZ PPO	16	605.50	16	605.50	116,256	
	CIGNA EPO	1	605.50	1	605.50	7,266	
	UNITED HEALTHCARE EPO	6	605.50	6	605.50	43,596	
	NAU HIGH DED	41	633.86	41	635.21	312,191	
	Subtotal	585		585		\$ 4,558,136	
	Annual Premium per Enrollee					\$ 7,791.69	\$ 7,791.69
Employee + One Adult	BLUE CROSS/ BLUE SHIELD	236	1,351.94	236	1,308.83	3,767,650	
	AETNA HDHP	9	816.72	9	816.72	88,206	
	AETNA EPO	-	1,249.61	-	1,249.61	-	
	AETNA PPO	2	1,249.61	2	1,249.61	29,991	
	BCBSAZ EPO	8	1,249.61	8	1,249.61	119,963	
	BCBSAZ PPO	3	1,249.61	3	1,249.61	44,986	
	UNITED HEALTHCARE EPO	1	1,249.61	1	1,249.61	14,995	
	UNITED HEALTHCARE PPO	1	1,249.61	1	1,249.61	14,995	
	NAU HIGH DED	19	1,310.32	19	1,311.67	298,907	
	Subtotal	279		279		\$ 4,379,693	
	Annual Premium per Enrollee					\$ 15,697.82	\$ 15,697.82
Employee + One Child	BLUE CROSS/ BLUE SHIELD	109	965.60	109	935.26	1,243,162	
	AETNA PPO	2	816.47	2	816.47	19,595	
	AETNA HDHP	1	816.47	1	816.47	9,798	
	BCBSAZ EPO	4	816.47	4	816.47	39,191	
	BCBSAZ PPO	6	816.47	6	816.47	58,786	
	CIGNA EPO	2	816.47	2	816.47	19,595	
	NAU HIGH DED	7	932.70	7	934.05	78,404	
	Subtotal	131		131		\$ 1,468,531	
	Annual Premium per Enrollee					\$ 11,210.16	\$ 11,210.16
Family	BLUE CROSS/ BLUE SHIELD	435	1,686.23	435	1,630.54	8,656,770	
	AETNA EPO	2	1,383.53	2	1,383.53	33,205	
	AETNA PPO	1	1,383.53	1	1,383.53	16,602	
	AETNA HDHP	31	909.94	31	909.94	338,498	
	BCBSAZ EPO	31	1,383.53	31	1,383.53	514,673	
	BCBSAZ PPO	23	1,383.53	23	1,383.53	381,854	
	CIGNA EPO	4	1,383.53	4	1,383.53	66,409	
	UNITED HEALTHCARE EPO	3	1,383.53	3	1,383.53	49,807	
	UNITED HEALTHCARE PPO	5	1,383.53	5	1,383.53	83,012	
	NAU HIGH DED	58	1,641.72	58	1,643.07	1,143,107	
	Subtotal	593		593		\$ 11,283,937	
	Annual Premium per Enrollee					\$ 19,028.56	\$ 19,028.56
DENTAL INSURANCE:							
Employee Only	DELTA DENTAL	570	4.96	570	4.96	33,926	
	Subtotal	570		570		\$ 33,926	
	Annual Premium per Enrollee					\$ 59.52	\$ 59.52
Employee + One Dependent	DELTA DENTAL	406	9.92	406	9.92	48,330	
	Subtotal	406		406		\$ 48,330	
	Annual Premium per Enrollee					\$ 119.04	\$ 119.04
Family	DELTA DENTAL	542	13.70	542	13.70	89,105	
	Subtotal	542		542		\$ 89,105	
	Annual Premium per Enrollee					\$ 164.40	\$ 164.40
HEALTH INSURANCE:				Number Enrolled	Annual Premium	Annual Amount	
Employee Only				585	7,791.69	\$ 4,558,100	
Employee + One Adult				279	15,697.82	\$ 4,379,700	
Employee + One Child				131	11,210.16	\$ 1,468,500	
Family				593	19,028.56	\$ 11,283,900	
DENTAL INSURANCE:							
Employee Only				570	59.52	\$ 33,900	
Employee + One Dependent				406	119.04	\$ 48,300	
Family				542	164.40	\$ 89,100	
LIFE		1,717		1,717	8.00	\$ 13,700	
TOTAL HEALTH, DENTAL, & LIFE INSURANCE						\$ 21,875,200	
DIVIDED BY TOTAL FY2021 BENEFIT ELIGIBLE EMPLOYEE HEADCOUNT						1,717	
AVERAGE HEALTH, DENTAL, & LIFE INSURANCE PREMIUM ADJUSTED HEADCOUNT						\$ 12,740	
Workers Comp Rate 0.37% Unemployment Rate 2.00%							

NORTHERN ARIZONA UNIVERSITY

Northern Arizona University including Yuma					
FY 2020 Retirement System/Plan Expense					
	State Funds			Non-appropriated Funds	
	FTE	General Fund	Collections Fund	FTE	Expense
Arizona State Retirement System	1,157.19	2,882,421	5,456,890	1,035.37	6,236,946
University Optional Retirement Plan	790.64	2,593,822	2,165,388	275.10	1,803,134
Public Safety Personnel Retirement System	16.00		974,163	1.00	78,854
FY 2021 Retirement System/Plan Personal Services					
Arizona State Retirement System	968.55	23,642,793	40,868,500	1,079.18	56,957,832
University Optional Retirement Plan	645.18	33,395,256	26,616,953	322.19	23,811,006
Public Safety Personnel Retirement System	14.00	-	1,034,609	1.00	60,810.00
	FTE	State Funds			
FTE and Personal Services Exceeding the FICA Max	87.52	3,424,050			
FTE Positions Not Eligible for Health, Dental & Life	-	12,281,911			

Northern Arizona University - Yuma Only					
FY 2020 Retirement System/Plan Expense					
	State Funds			Non-appropriated Funds	
	FTE	General Fund	Collections Fund	FTE	Expense
Arizona State Retirement System	20.50	171,390		0.50	5,686
University Optional Retirement Plan	5.70	34,419		1.30	7,486
Public Safety Personnel Retirement System	-	-		-	-
FY 2021 Retirement System/Plan Personal Services					
Arizona State Retirement System	19.50	1,439,981		-	-
University Optional Retirement Plan	7.00	581,990		-	-
Public Safety Personnel Retirement System	-	-		-	-
	FTE	State Funds			
FTE and Personal Services Exceeding the FICA Max	2.00	39,324			
FTE Positions Not Eligible for Health, Dental & Life	-	229,600			

**STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2022**

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

INSTRUCTION 4530

Expenditure Category	ACTUAL FY2020	ESTIMATED FY2021	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2022
FTE POSITIONS	1,561.30	1,553.20	0.00	75.00	1,628.20
PERSONAL SERVICES	110,239,200	122,691,300	4,000,000	5,700,000	132,391,300
EMPLOYEE RELATED EXP.	30,950,370	23,051,584	1,400,000	2,622,000	27,073,584
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	3,599,109	3,090,697	-	-	3,090,697
Travel In-State	73,800	24,551	-	200,000	224,551
Travel Out-of-State	96,892	-	-	-	-
Other Operating Expenditures	8,285,826	1,680,549	1,250,000	2,500,000	5,430,549
Library Acquisitions	-	-	-	-	-
Capital Expenditures	50,608	35,524	-	-	35,524
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	12,106,235	4,831,321	1,250,000	2,700,000	8,781,321
TOTAL APPROPRIATED	153,295,805	150,574,205	6,650,000	11,022,000	168,246,205
Collections	50,133,180	51,137,586	-	-	51,137,586
Summer Sessions and Misc. Collections	2,200,000	2,700,000	-	-	2,700,000
Land Grant Endowment	725,000	725,000	-	-	725,000
Misc. Receipts	200,000	200,000	-	-	200,000
Balance Forward	-	-	-	-	-
Total Revenues & Receipts	53,258,180	54,762,586	-	-	54,762,586
TOTAL GENERAL FUND	100,037,625	95,811,619	6,650,000	11,022,000	113,483,619
FEDERAL FUNDS	1,701,055	1,718,000	17,200	-	1,735,200
OTHER FUNDS (Est.)	71,516,200	58,629,644	(15,980,670)	-	42,648,974
TOTAL NON-APPROPRIATED	73,217,255	60,347,644	(15,963,470)	-	44,384,174
TOTAL PROGRAM	226,513,060	210,921,849	(9,313,470)	11,022,000	212,630,379

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

**Northern Arizona University
FY 2022 Operating Budget Request**

Program	Actual FY20	Estimated FY21	CONTINUATION REQUEST						
			Incorporate FY21 One-Time appropriation into base appropriation						Subtotal Continuation
INSTRUCTION									
FTE	1,538.40	1,274.91	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	100,732.1	88,262.2	4,000.0						4,000.0
ERE	30,950.4	23,051.6	1,400.0						1,400.0
Operations	12,106.3	4,831.3	1,250.0	-	-	-	-	-	1,250.0
Prof & Out. Svc.	3,599.1	3,090.7							-
Travel In-State	73.9	24.6							-
Travel Out-of-State	96.9	-							-
Other Operating	8,285.8	1,680.5	1,250.0						1,250.0
Capital Expenditures	50.6	35.5							-
Library Acquisitions	-	-							-
Transfer Out	-	-							-
Total	143,788.8	116,145.1	6,650.0	-	-	-	-	-	6,650.0

Program	Subtotal FY22 Request	STRATEGIC INVESTMENTS							TOTAL FY22 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness						Subtotal Strategic Investments	
INSTRUCTION									
FTE	1,274.91	75.00	0.00	0.00	0.00	0.00	0.00	75.00	1,349.91
Personal Services	92,262.2	5,700.0	-					5,700.0	97,962.2
ERE	24,451.6	2,622.0	-					2,622.0	27,073.6
Operations	6,081.3	2,700.0	-	-	-	-	-	2,700.0	8,781.3
Prof & Out. Svc.	3,090.7							-	3,090.7
Travel In-State	24.6	200.0						200.0	224.6
Travel Out-of-State	-							-	-
Other Operating	2,930.5	2,500.0	-					2,500.0	5,430.5
Capital Expenditures	35.5							-	35.5
Library Acquisitions	-							-	-
Transfer Out	-							-	-
Total	122,795.1	11,022.0	-	-	-	-	-	11,022.0	133,817.1

**STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2022**

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

ORGANIZED RESEARCH 4531

Expenditure Category	ACTUAL FY2020	ESTIMATED FY2021	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2022
FTE POSITIONS	55.2	32.5	0.00	0.00	32.50
PERSONAL SERVICES	5,516,958	4,037,091	-	-	4,037,091
EMPLOYEE RELATED EXP.	1,517,951	769,000	-	-	769,000
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	47,945	21,271	-	-	21,271
Travel In-State	5,467	37,610	-	-	37,610
Travel Out-of-State	36,828	-	-	-	-
Other Operating Expenditures	539,244	115,556	-	-	115,556
Library Acquisitions	-	-	-	-	-
Capital Expenditures	-	-	-	-	-
Transfer Out**	-	-	-	-	-
Subtotal Other Operating Expenditures	629,484	174,437	-	-	174,437
TOTAL APPROPRIATED	<u>7,664,393</u>	<u>4,980,528</u>	<u>-</u>	<u>-</u>	<u>4,980,528</u>
Collections	7,260,634	4,480,228			4,480,228
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	7,260,634	4,480,228	-	-	4,480,228
TOTAL GENERAL FUND	<u>403,759</u>	<u>500,300</u>	<u>-</u>	<u>-</u>	<u>500,300</u>
FEDERAL FUNDS	38,948,668	39,338,100	393,500	-	39,731,600
OTHER FUNDS (Est.)	41,912,900	42,232,100	446,990	-	42,679,090
TOTAL NON-APPROPRIATED	80,861,568	81,570,200	840,490	-	82,410,690
TOTAL PROGRAM	<u>88,525,961</u>	<u>86,550,728</u>	<u>840,490</u>	<u>-</u>	<u>87,391,218</u>

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

**Reflects \$3,000,000 Appropriation transferred to TGEN.

Northern Arizona University
FY 2022 Operating Budget Request

Program	Actual FY20	Estimated FY21	CONTINUATION REQUEST							Subtotal Continuation
			Incorporate FY21 One-Time appropriation into base appropriation							
ORGANIZED RESEARCH										
FTE	27.66	32.53	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	5,517.0	4,037.1								-
ERE	1,518.0	769.0								-
Operations	629.4	174.3	-	-	-	-	-	-	-	-
Prof & Out. Svc.	47.9	21.2								-
Travel In-State	5.5	37.6								-
Travel Out-of-State	36.8	-								-
Other Operating	539.2	115.5								-
Capital Expenditures	-	-								-
Library Acquisitions	-	-								-
Transfer Out	-	-	-							-
Total	7,664.4	4,980.4	-	-	-	-	-	-	-	-

Program	Subtotal FY22 Request	STRATEGIC INVESTMENTS							Subtotal Strategic Investments	TOTAL FY22 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness								
ORGANIZED RESEARCH										
FTE	32.53	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	32.53
Personal Services	4,037.1								-	4,037.1
ERE	769.0								-	769.0
Operations	174.3	-	-	-	-	-	-	-	-	174.3
Prof & Out. Svc.	21.2								-	21.2
Travel In-State	37.6								-	37.6
Travel Out-of-State	-								-	-
Other Operating	115.5								-	115.5
Capital Expenditures	-								-	-
Library Acquisitions	-								-	-
Transfer Out	-								-	-
Total	4,980.4	-	-	-	-	-	-	-	-	4,980.4

**STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2022**

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

PUBLIC SERVICE 4532

Expenditure Category	ACTUAL FY2020	ESTIMATED FY2021	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2022
FTE POSITIONS	37.40	32.30	0.00	0.00	32.30
PERSONAL SERVICES	2,175,690	2,204,682	-	-	2,204,682
EMPLOYEE RELATED EXP.	748,735	899,000	-	-	899,000
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	2,559,554	2,355,052	-	-	2,355,052
Travel In-State	28,113	20,000	-	-	20,000
Travel Out-of-State	22,749	-	-	-	-
Other Operating Expenditures	182,589	172,670	-	-	172,670
Library Acquisitions	-	-	-	-	-
Capital Expenditures	15,774	-	-	-	-
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	2,808,779	2,547,722	-	-	2,547,722
TOTAL APPROPRIATED	5,733,204	5,651,404	-	-	5,651,404
Collections	2,552,782	2,831,433			2,831,433
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	2,552,782	2,831,433	-	-	2,831,433
TOTAL GENERAL FUND	3,180,422	2,819,971	-	-	2,819,971
FEDERAL FUNDS	16,404,875	16,568,900	165,600	-	16,734,500
OTHER FUNDS (Est.)	25,106,600	24,947,100	335,520	-	25,282,620
TOTAL NON-APPROPRIATED	41,511,475	41,516,000	501,120	-	42,017,120
TOTAL PROGRAM	47,244,679	47,167,404	501,120	-	47,668,524

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

**Northern Arizona University
FY 2022 Operating Budget Request**

Program	Actual FY20	Estimated FY21	CONTINUATION REQUEST						
			Incorporate FY21 One-Time appropriation into base appropriation						Subtotal Continuation
PUBLIC SERVICE									
FTE	30.12	32.32	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	2,175.7	2,204.7							-
ERE	748.7	899.0							-
Operations	2,808.6	2,547.8	-	-	-	-	-	-	-
Prof & Out. Svc.	2,559.6	2,355.1							-
Travel In-State	28.1	20.0							-
Travel Out-of-State	22.7	-							-
Other Operating	182.5	172.7	-						-
Capital Expenditures	15.7	-							-
Library Acquisitions	-	-							-
Transfer Out	-	-							-
Total	5,733.0	5,651.5	-	-	-	-	-	-	-

Program	Subtotal FY22 Request	STRATEGIC INVESTMENTS							Subtotal Strategic Investments	TOTAL FY22 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness								
PUBLIC SERVICE										
FTE	32.32	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	32.32
Personal Services	2,204.7								-	2,204.7
ERE	899.0								-	899.0
Operations	2,547.8	-	-	-	-	-	-	-	-	2,547.8
Prof & Out. Svc.	2,355.1								-	2,355.1
Travel In-State	20.0								-	20.0
Travel Out-of-State	-								-	-
Other Operating	172.7								-	172.7
Capital Expenditures	-								-	-
Library Acquisitions	-								-	-
Transfer Out	-								-	-
Total	5,651.5	-	-	-	-	-	-	-	-	5,651.5

**STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2022**

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

ACADEMIC SUPPORT 4533

Expenditure Category	ACTUAL FY2020	ESTIMATED FY2021	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2022
FTE POSITIONS	382.70	275.70	0.00	15.00	290.70
PERSONAL SERVICES	18,369,509	18,092,000	-	775,000	18,867,000
EMPLOYEE RELATED EXP.	6,104,140	7,766,709	-	452,900	8,219,609
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	1,082,721	759,533	-	-	759,533
Travel In-State	68,363	77,640	-	25,000	102,640
Travel Out-of-State	98,333	-	-	-	-
Other Operating Expenditures	1,402,500	793,730	-	475,100	1,268,830
Library Acquisitions	2,786,184	1,600,596	-	250,000	1,850,596
Capital Expenditures	-	-	-	9,000,000	9,000,000
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	5,438,101	3,231,499	-	9,750,100	12,981,599
TOTAL APPROPRIATED	29,911,750	29,090,208	-	10,978,000	40,068,208
Collections	29,311,134	29,193,161			29,193,161
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	29,311,134	29,193,161	-	-	29,193,161
TOTAL GENERAL FUND	600,616	(102,953)	-	10,978,000	10,875,047
FEDERAL FUNDS	-	-	-	-	-
OTHER FUNDS (Est.)	12,794,700	12,590,900	245,300	-	12,836,200
TOTAL NON-APPROPRIATED	12,794,700	12,590,900	245,300	-	12,836,200
TOTAL PROGRAM	42,706,450	41,681,108	245,300	10,978,000	52,904,408

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

**Northern Arizona University
FY 2022 Operating Budget Request**

Program	Actual FY20	Estimated FY21	CONTINUATION REQUEST						Subtotal Continuation
			Incorporate FY21 One-Time appropriation into base appropriation						
ACADEMIC SUPPORT									
FTE	307.84	307.84	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	18,369.5	18,606.9							-
ERE	6,104.1	7,766.7							-
Operations	5,438.1	3,231.4	-	-	-	-	-	-	-
Prof & Out. Svc.	1,082.7	759.5							-
Travel In-State	68.4	77.6							-
Travel Out-of-State	98.3	-							-
Other Operating	1,402.5	793.7							-
Capital Expenditures	-	-							-
Library Acquisitions	2,786.2	1,600.6							-
Transfer Out	-	-							-
Total	29,911.7	29,605.0	-	-	-	-	-	-	-

Program	Subtotal FY22 Request	STRATEGIC INVESTMENTS							TOTAL FY22 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness						Subtotal Strategic Investments	
ACADEMIC SUPPORT									
FTE	307.84	15.00	0.00	0.00	0.00	0.00	0.00	15.00	322.84
Personal Services	18,606.9	775.0						775.0	19,381.9
ERE	7,766.7	452.9						452.9	8,219.6
Operations	3,231.4	9,750.1	-	-	-	-	-	9,750.1	12,981.5
Prof & Out. Svc.	759.5							-	759.5
Travel In-State	77.6	25.0						25.0	102.6
Travel Out-of-State	-							-	-
Other Operating	793.7	475.1						475.1	1,268.8
Capital Expenditures	-	9,000.0						9,000.0	9,000.0
Library Acquisitions	1,600.6	250.0						250.0	1,850.6
Transfer Out	-								-
Total	29,605.0	10,978.0	-	-	-	-	-	10,978.0	40,583.0

**STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2022**

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

STUDENT SERVICES 4534

Expenditure Category	ACTUAL FY2020	ESTIMATED FY2021	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2022
FTE POSITIONS	156.30	109.20	0.00	0.00	109.20
PERSONAL SERVICES	14,907,822	15,103,553	-	-	15,103,553
EMPLOYEE RELATED EXP.	4,972,237	6,573,967	-	-	6,573,967
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	775,440	815,721	-	-	815,721
Travel In-State	25,282	21,272	-	-	21,272
Travel Out-of-State	10,367	-	-	-	-
Other Operating Expenditures	2,566,057	1,683,339	-	-	1,683,339
Library Acquisitions	-	-	-	-	-
Capital Expenditures	-	-	-	-	-
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	3,377,146	2,520,332	-	-	2,520,332
TOTAL APPROPRIATED	<u>23,257,205</u>	<u>24,197,852</u>	<u>-</u>	<u>-</u>	<u>24,197,852</u>
Collections	21,708,834	10,630,850			10,630,850
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	21,708,834	10,630,850	-	-	10,630,850
TOTAL GENERAL FUND	<u>1,548,371</u>	<u>13,567,002</u>	<u>-</u>	<u>-</u>	<u>13,567,002</u>
FEDERAL FUNDS	54,107,152	54,648,300	546,500	-	55,194,800
OTHER FUNDS (Est.)	98,471,400	68,748,900	1,463,660	-	70,212,560
TOTAL NON-APPROPRIATED	152,578,552	123,397,200	2,010,160	-	125,407,360
TOTAL PROGRAM	<u>175,835,757</u>	<u>147,595,052</u>	<u>2,010,160</u>	<u>-</u>	<u>149,605,212</u>

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

** Student Support Includes scholarships and fellowships

Northern Arizona University
FY 2022 Operating Budget Request

Program	Actual FY20	Estimated FY21	CONTINUATION REQUEST							Subtotal Continuation
			Incorporate FY21 One-Time appropriation into base appropriation							
STUDENT SERVICES										
FTE	237.70	109.17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	14,907.8	7,103.6								-
ERE	4,972.2	2,574.0								-
Operations	3,377.2	2,520.3	-	-	-	-	-	-	-	-
Prof & Out. Svc.	775.4	815.7								-
Travel In-State	25.3	21.3								-
Travel Out-of-State	10.4	-								-
Other Operating	2,566.1	1,683.3								-
Capital Expenditures	-	-								-
Library Acquisitions	-	-								-
Transfer Out	-	-								-
Total	23,257.2	12,197.9	-	-	-	-	-	-	-	-

Program	Subtotal FY22 Request	STRATEGIC INVESTMENTS							Subtotal Strategic Investments	TOTAL FY22 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness								
STUDENT SERVICES										
FTE	109.17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	109.17
Personal Services	7,103.6								-	7,103.6
ERE	2,574.0								-	2,574.0
Operations	2,520.3	-	-	-	-	-	-	-	-	2,520.3
Prof & Out. Svc.	815.7								-	815.7
Travel In-State	21.3								-	21.3
Travel Out-of-State	-								-	-
Other Operating	1,683.3								-	1,683.3
Capital Expenditures	-								-	-
Library Acquisitions	-								-	-
Transfer Out	-								-	-
Total	12,197.9	-	-	-	-	-	-	-	-	12,197.9

**STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2022**

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

INSTITUTIONAL SPT 4535

Expenditure Category	ACTUAL FY2020	ESTIMATED FY2021	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2022
FTE POSITIONS	336.2	571.4	0.00	0.00	571.40
PERSONAL SERVICES	24,568,455	16,168,300	-	-	16,168,300
EMPLOYEE RELATED EXP.	9,355,964	9,790,000	-	-	9,790,000
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	3,726,780	3,685,547	-	-	3,685,547
Travel In-State	30,900	30,500	-	-	30,500
Travel Out-of-State	31,834	-	-	-	-
Other Operating Expenditures	4,890,650	18,227,212	-	-	18,227,212
Library Acquisitions	107,373	100,000	-	-	100,000
Capital Expenditures	-	50,000	-	-	50,000
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	8,787,537	22,093,259	-	-	22,093,259
TOTAL APPROPRIATED	42,711,956	48,051,559	-	-	48,051,559
Collections	42,062,936	54,400,442			54,400,442
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	42,062,936	54,400,442	-	-	54,400,442
TOTAL GENERAL FUND	649,020	(6,348,883)	-	-	(6,348,883)
FEDERAL FUNDS	-	-	-	-	-
OTHER FUNDS (Est.)	28,793,400	28,087,800	561,700	-	28,649,500
TOTAL NON-APPROPRIATED	28,793,400	28,087,800	561,700	-	28,649,500
TOTAL PROGRAM	71,505,356	76,139,359	561,700	-	76,701,059

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

** Institutional support includes operations and maintenance.

**Northern Arizona University
FY 2022 Operating Budget Request**

Program	Actual FY20	Estimated FY21	CONTINUATION REQUEST						Subtotal Continuation
			Incorporate FY21 One-Time appropriation into base appropriation						
INSTITUTIONAL SUPPORT									
FTE	317.60	571.39	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	24,568.5	23,129.7							-
ERE	9,356.0	9,790.0							-
Operations	8,787.4	22,093.2	-	-	-	-	-	-	-
Prof & Out. Svc.	3,726.8	3,685.5							-
Travel In-State	30.9	30.5							-
Travel Out-of-State	31.8	-							-
Other Operating	4,890.6	18,227.2							-
Capital Expenditures	-	50.0							-
Library Acquisitions	107.3	100.0							-
Transfer Out	-	-							-
Total	42,711.9	55,012.9	-	-	-	-	-	-	-

Program	Subtotal FY22 Request	STRATEGIC INVESTMENTS							TOTAL FY22 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness						Subtotal Strategic Investments	
INSTITUTIONAL SUPPORT									
FTE	571.39	0.00	0.00	0.00	0.00	0.00	0.00	0.00	571.39
Personal Services	23,129.7							-	23,129.7
ERE	9,790.0							-	9,790.0
Operations	22,093.2	-	-	-	-	-	-	-	22,093.2
Prof & Out. Svc.	3,685.5							-	3,685.5
Travel In-State	30.5							-	30.5
Travel Out-of-State	-							-	-
Other Operating	18,227.2							-	18,227.2
Capital Expenditures	50.0							-	50.0
Library Acquisitions	100.0							-	100.0
Transfer Out	-							-	-
Total	55,012.9	-	-	-	-	-	-	-	55,012.9

**STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2022**

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

NAU YUMA

<u>Expenditure Category</u>	<u>ACTUAL FY2020</u>	<u>ESTIMATED FY2021</u>	<u>CONTINUATION REQUEST</u>	<u>STRATEGIC INVESTMENTS</u>	<u>TOTAL REQ FY 2022</u>
FTE POSITIONS	36.20	25.50	0.00	0.00	25.50
PERSONAL SERVICES	2,219,232	2,140,801	-	-	2,140,801
EMPLOYEE RELATED EXP.	657,760	716,196	-	-	716,196
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	92,885	94,074	-	-	94,074
Travel In-State	7,091	29,250	-	-	29,250
Travel Out-of-State	3,346	-	-	-	-
Other Operating Expenditures	69,116	61,079	-	-	61,079
Library Acquisitions	21,970	30,000	-	-	30,000
Capital Expenditures	-	-	-	-	-
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	194,408	214,403	-	-	214,403
TOTAL APPROPRIATED	<u>3,071,400</u>	<u>3,071,400</u>	<u>-</u>	<u>-</u>	<u>3,071,400</u>
Collections					-
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	-	-	-	-	-
TOTAL GENERAL FUND	<u>3,071,400</u>	<u>3,071,400</u>	<u>-</u>	<u>-</u>	<u>3,071,400</u>
FEDERAL FUNDS	-	-	-	-	-
OTHER FUNDS (Est.)	-	-	-	-	-
TOTAL NON-APPROPRIATED	-	-	-	-	-
TOTAL PROGRAM	<u>3,071,400</u>	<u>3,071,400</u>	<u>-</u>	<u>-</u>	<u>3,071,400</u>

Northern Arizona University
FY 2022 Operating Budget Request

Program	Actual FY20	Estimated FY21	CONTINUATION REQUEST						
			NAU FY21 One Time Appropriation Adjustment	Incorporate FY21 One- Time appropriation into base appropriation					Subtotal Continuation
NAU YUMA									
FTE	25.90	25.90	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	2,219.2	2,140.8							-
ERE	657.8	-							-
Operations	133.3	214.5	-	-	-	-	-	-	-
Prof & Out. Svc.	31.8	94.1							-
Travel In-State	7.1	29.3							-
Travel Out-of-State	3.3	-							-
Other Operating	69.1	61.1							-
Capital Expenditures	-	-							-
Library Acquisitions	22.0	30.0							-
Transfer Out	-	-							-
Total	3,010.3	2,355.3	-	-	-	-	-	-	-

Program	Subtotal FY22 Request	STRATEGIC INVESTMENTS							TOTAL FY22 Request
		New Economy Initiative - Enhancing Arizona's Workforce Competitiveness	New Economy Initiative - NAU Mission Support					Subtotal Strategic Investments	
NAU YUMA									
FTE	25.90	0.00	0.00	0.00	0.00	0.00	0.00	0.00	25.90
Personal Services	2,140.8							-	2,140.8
ERE	-							-	-
Operations	214.5	-	-	-	-	-	-	-	214.5
Prof & Out. Svc.	94.1							-	94.1
Travel In-State	29.3							-	29.3
Travel Out-of-State	-							-	-
Other Operating	61.1							-	61.1
Capital Expenditures	-							-	-
Library Acquisitions	30.0							-	30.0
Transfer Out	-							-	-
Total	2,355.3	-	-	-	-	-	-	-	2,355.3

**STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2022**

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 8906

Program

AUXILIARY

Expenditure Category	ACTUAL FY2020	ESTIMATED FY2021	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2022
FTE POSITIONS	0.00	0.00	0.00	0.00	0
PERSONAL SERVICES	-	-	-	-	-
EMPLOYEE RELATED EXP.	-	-	-	-	-
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	-	-	-	-	-
Travel In-State	-	-	-	-	-
Travel Out-of-State	-	-	-	-	-
Other Operating Expenditures	-	-	-	-	-
Library Acquisitions	-	-	-	-	-
Capital Expenditures	-	-	-	-	-
Transfer Out	-	-	-	-	-
TOTAL APPROPRIATED	-	-	-	-	-
Collections					-
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	-	-	-	-	-
TOTAL GENERAL FUND	-	-	-	-	-
FEDERAL FUNDS	-	-	-	-	-
OTHER FUNDS (Est.)	83,196,102	72,444,400	-	-	72,444,400
TOTAL NON-APPROPRIATED	83,196,102	72,444,400	-	-	72,444,400
TOTAL PROGRAM	83,196,102	72,444,400	-	-	72,444,400

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

SUMMARY OF FEDERAL PROJECTS FY 2022

NORTHERN ARIZONA UNIVERSITY 0440
Fund 2000

PROGRAM: UNIVERSITY TOTAL

PROJECT TITLE	ACTUAL FY 2020	ESTIMATED FY 2021	INCREASE (DECREASE)	ESTIMATED FY 2022
INSTRUCTION	1,701,055	1,718,000	17,200	1,735,200
RESEARCH	38,948,668	39,338,100	393,500	39,731,600
PUBLIC SERVICE	16,404,875	16,568,900	165,600	16,734,500
ACADEMIC SUPPORT	0	0	0	0
STUDENT SERVICES	2,342,042	2,365,400	23,600	2,389,000
SCHOLARSHIPS AND FELLOWSHIPS	51,765,110	52,282,900	522,900	52,805,800
INSTITUTIONAL SUPPORT	0	0	0	0
OPERATIONS AND MAINT OF PLANT	0	0	0	0
UNIVERSITY TOTAL	111,161,750	112,273,300	1,122,800	113,396,100

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2022**

SUMMARY

Expenditure Category	Actual FY2020	Estimated FY2021	Increase/ Decrease	Request FY2022
FTE Positions	289.7	289.7	-	289.7
Personal Services	18,860,772	19,049,300	190,500	19,239,800
Employee Related Expenditures	5,427,730	5,482,100	55,000	5,537,100
All Other Operating Expenditures				
Professional and Outside Services	10,663,193	10,769,900	107,600	10,877,500
Travel In State	250,005	252,400	2,500	254,900
Travel Out of State	636,992	643,300	6,300	649,600
Other Operating	55,260,218	55,812,800	558,200	56,371,000
Equipment	207,674	209,700	2,100	211,800
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	91,306,584	92,219,500	922,200	93,141,700
Indirect Costs	9,811,738	9,909,800	99,100	10,008,900
TOTAL EXPENDITURES	101,118,322	102,129,300	1,021,300	103,150,600
Pass Through Funds - State Agencies	2,732,186	2,759,500	27,600	2,787,100
Pass Through Funds - Non State Agencies	7,311,242	7,384,500	73,900	7,458,400
SUBTOTAL	10,043,428	10,144,000	101,500	10,245,500
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	111,161,750	112,273,300	1,122,800	113,396,100

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2022

Summary by Agency	No. of Projects	Actual FY2020	Estimated FY2021	Estimated FY2022
Department of Health and Human Services	17	2,540,987	2,566,300	2,592,000
Health Resources & Services Admin	3	125,345	126,600	127,900
National Science Foundation	119	8,352,118	8,437,100	8,521,600
U.S. Department of Education	30	56,909,830	57,478,900	58,053,600
NASA	29	2,667,238	2,693,900	2,720,800
Environmental Protection Agency	25	3,342,244	3,375,700	3,409,400
U.S. Department of Commerce	1	98,198	99,200	100,200
U.S. Department of Energy	4	749,740	757,200	764,800
U.S. Department of Agriculture	7	470,527	475,200	480,000
Bureau of Reclamation	3	147,614	149,000	150,500
National Park Service	27	579,224	584,900	590,700
Bureau of Land Management	14	262,322	264,900	267,500
Department of Justice	2	515,022	520,200	525,400
U.S. Fish and Wildlife	3	154,916	156,400	158,000
U.S. Geological Survey	30	1,265,437	1,278,000	1,290,800
U.S. Department of Defense	2	537,385	542,700	548,100
U.S. Department of the Army	1	120,172	121,300	122,500
U.S. Army Corp of Engineers	1	465,504	470,100	474,800
National Renewable Energy Laboratory	1	11,366	11,500	11,600
Defense Threat Reduction Agency	5	1,757,722	1,775,200	1,793,000
Jet Propulsion Laboratory	6	237,001	239,300	241,700
Oak Ridge National Laboratory	1	78,522	79,300	80,100
National Institute of Food and Agriculture	7	813,322	821,400	829,600
Pacific Northwest National Laboratory	2	613,296	619,400	625,600
Los Alamos National Laboratory	1	27,170	27,400	27,700
Department of Homeland Security	3	834,682	843,000	851,400
Bureau of Indian Affairs	3	433,438	437,700	442,100
Forest Service	53	2,626,029	2,652,200	2,678,700
National Institutes of Health	45	7,122,570	7,193,700	7,265,600
Corp for National Community Service	6	1,533,421	1,548,800	1,564,300
Natural Resource Conservation Service	2	122,269	123,400	124,600
Centers for Disease Control	4	712,618	719,700	726,900
Lawrence Livermore National Laboratory	1	146,416	147,800	149,300
Indian Health Service	4	57,021	57,600	58,200
Air Force Research Laboratory	4	1,576,062	1,591,800	1,607,700
Department of the Navy	1	22,909	23,100	23,300
US Department of Interior	2	20,409	20,600	20,800
Army Contracting Command - New Jersey	1	1,851,305	1,869,800	1,888,500
National Cancer Institute	8	943,123	952,500	962,000
National Institute of Standards & Technology	2	178,046	179,800	181,600
National Oceanic & Atmosphere Admin	1	55,072	55,600	56,200
USDA Animal & Plant Health Inspection Service, Fort	1	40,710	41,100	41,500
Federal thru State Agency	53	2,732,186	2,759,500	2,787,100
Federal thru Local Agency	70	4,311,811	4,355,000	4,398,600
Federal thru Misc. Private	69	2,999,431	3,029,500	3,059,800
TOTAL	674	111,161,750	112,273,300	113,396,100

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2022**

INSTRUCTION

Expenditure Category	Actual FY2020	Estimated FY2021	Increase/ Decrease	Request FY2022
FTE Positions	5.00	5.00	-	5.00
Personal Services	395,376	399,300	4,000	403,300
Employee Related Expenditures	124,589	125,800	1,300	127,100
All Other Operating Expenditures				
Professional and Outside Services	330,806	334,100	3,300	337,400
Travel In State	11,176	11,300	100	11,400
Travel Out of State	32,279	32,600	300	32,900
Other Operating	623,807	630,000	6,300	636,300
Equipment	-	-	-	-
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	1,518,033	1,533,100	15,300	1,548,400
Indirect Costs	108,855	109,900	1,100	111,000
TOTAL EXPENDITURES	1,626,888	1,643,000	16,400	1,659,400
Pass Through Funds - State Agencies	(47)	-	-	-
Pass Through Funds - Non State Agencies	74,214	75,000	800	75,800
SUBTOTAL	74,167	75,000	800	75,800
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	1,701,055	1,718,000	17,200	1,735,200

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2022

INSTRUCTION	No. of Projects	Actual FY2020	Estimated FY2021	Estimated FY2022
National Science Foundation	2	226,548	228,800	231,100
National Park Service	1	3,508	3,500	3,500
U.S. Department of Education	5	1,129,367	1,140,600	1,152,000
Forest Service	1	523	500	500
National Institutes of Health	2	226,865	229,100	231,400
Health Resoures & Services Admin	2	28,711	29,000	29,300
National Renewable Energy Laboratory	1	11,366	11,500	11,600
Federal thru State Agency	1	(47)	-	-
Federal thru Local Agency	2	74,214	75,000	75,800
Total Instruction	17	1,701,055	1,718,000	1,735,200

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2022**

RESEARCH

Expenditure Category	Actual FY2020	Estimated FY2021	Increase/ Decrease	Request FY2022
FTE Positions	152.90	152.90	-	152.90
Personal Services	12,387,941	12,511,800	125,100	12,636,900
Employee Related Expenditures	3,401,455	3,435,500	34,400	3,469,900
All Other Operating Expenditures				
Professional and Outside Services	6,839,397	6,907,800	69,100	6,976,900
Travel In State	177,361	179,100	1,800	180,900
Travel Out of State	380,405	384,200	3,800	388,000
Other Operating	2,124,347	2,145,600	21,500	2,167,100
Equipment	160,439	162,000	1,600	163,600
Risk Management		-	-	-
Utilities		-	-	-
Library Acquisitions		-	-	-
Total Direct Costs	25,471,345	25,726,000	257,300	25,983,300
Indirect Costs	7,948,533	8,028,000	80,300	8,108,300
TOTAL EXPENDITURES	33,419,878	33,754,000	337,600	34,091,600
Pass Through Funds - State Agencies	956,744	966,300	9,700	976,000
Pass Through Funds - Non State Agencies	4,572,046	4,617,800	46,200	4,664,000
SUBTOTAL	5,528,790	5,584,100	55,900	5,640,000
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	38,948,668	39,338,100	393,500	39,731,600

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2022

RESEARCH	No. of Projects	Actual FY2020	Estimated FY2021	Estimated FY2022
U.S. Department of Health and Human Services	9	368,753	372,400	376,100
National Science Foundation	110	7,476,466	7,552,700	7,628,300
U.S. Department of Agriculture	4	403,578	407,600	411,700
Bureau of Reclamation	3	147,614	149,000	150,500
National Park Service	26	575,716	581,400	587,200
NASA	27	2,188,021	2,209,900	2,232,000
Bureau of Land Management	13	259,091	261,600	264,200
U.S. Fish and Wildlife	3	154,916	156,400	158,000
U.S. Department of Energy	4	749,740	757,200	764,800
U.S. Geological Survey	30	1,265,437	1,278,000	1,290,800
U.S. Department of Defense	2	537,385	542,700	548,100
Los Alamos National Laboratory	1	27,170	27,400	27,700
Defense Threat Reduction Agency	5	1,757,722	1,775,200	1,793,000
Department of the Army	1	120,172	121,300	122,500
Jet Propulsion Laboratory	6	237,001	239,300	241,700
Forest Service	51	2,525,566	2,550,800	2,576,300
National Institutes of Health	43	6,895,705	6,964,600	7,034,200
National Institute of Food and Agriculture	6	775,989	783,700	791,500
Oak Ridge National Laboratory	1	78,522	79,300	80,100
Pacific Northwest National Laboratory	2	613,296	619,400	625,600
US Army Corp of Engineers	1	465,504	470,100	474,800
U.S. Department of Homeland Security	3	834,682	843,000	851,400
Natural Resource Conservation Service	2	122,269	123,400	124,600
Bureau of Indian Affairs	1	5,511	5,500	5,600
Lawrence Livermore National Laboratory	1	146,416	147,800	149,300
Air Force Research Laboratory	4	1,576,062	1,591,800	1,607,700
Department of the Navy	1	22,909	23,100	23,300
U.S. Department of Interior	2	20,409	20,600	20,800
Army Contracting Command - New Jersey	1	1,851,305	1,869,800	1,888,500
National Cancer Institute	8	943,123	952,500	962,000
National Institute of Standards & Technology	2	178,046	179,800	181,600
National Oceanic & Atmosphere Admin	1	55,072	55,600	56,200
USDA Animal & Plant Health Inspection Service, Fort	1	40,710	41,100	41,500
Federal Thru State Agency	21	956,744	966,300	976,000
Federal Thru Local Agency	47	2,051,215	2,071,700	2,092,400
Federal Thru Misc. Private	61	2,520,831	2,546,100	2,571,600
Total Research	504	38,948,668	39,338,100	39,731,600

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2022**

PUBLIC SERVICE

Expenditure Category	Actual FY2020	Estimated FY2021	Increase/ Decrease	Request FY2022
FTE Positions	112.90	112.90	-	112.90
Personal Services	4,355,474	4,399,000	44,000	4,443,000
Employee Related Expenditures	1,591,243	1,607,200	16,100	1,623,300
All Other Operating Expenditures				
Professional and Outside Services	3,081,457	3,112,300	31,100	3,143,400
Travel In State	57,174	57,700	600	58,300
Travel Out of State	209,740	211,800	2,100	213,900
Other Operating	983,825	993,700	9,900	1,003,600
Equipment	47,235	47,700	500	48,200
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	10,326,148	10,429,400	104,300	10,533,700
Indirect Costs	1,638,214	1,654,600	16,500	1,671,100
TOTAL EXPENDITURES	11,964,362	12,084,000	120,800	12,204,800
Pass Through Funds - State Agencies	1,775,489	1,793,200	17,900	1,811,100
Pass Through Funds - Non State Agencies	2,665,024	2,691,700	26,900	2,718,600
SUBTOTAL	4,440,513	4,484,900	44,800	4,529,700
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	16,404,875	16,568,900	165,600	16,734,500

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2022

PUBLIC SERVICE	No. of Projects	Actual FY2020	Estimated FY2021	Estimated FY2022
Department of Health and Human Services	7	1,551,234	1,566,700	1,582,400
National Science Foundation	1	45,580	46,000	46,500
U.S. Department of Education	9	2,935,126	2,964,500	2,994,100
U.S. Department of Agriculture	3	66,949	67,600	68,300
Bureau of Land Management	1	3,231	3,300	3,300
Environmental Protection Agency	25	3,342,244	3,375,700	3,409,400
U.S. Department of Commerce	1	98,198	99,200	100,200
Department of Justice	2	515,022	520,200	525,400
Bureau of Indian Affairs	2	427,927	432,200	436,500
Corp for National Community Service	6	1,533,421	1,548,800	1,564,300
Forest Service	1	99,940	100,900	101,900
Health Resources and Services Admin	1	96,634	97,600	98,600
Indian Health Service	4	57,021	57,600	58,200
NASA	2	479,217	484,000	488,800
Centers for Disease Control & Prevention	4	712,618	719,700	726,900
Federal Thru State Agency	31	1,775,489	1,793,200	1,811,100
Federal Thru Local Agency	20	2,186,424	2,208,300	2,230,400
Federal Thru Misc. Private	8	478,600	483,400	488,200
Total Public Service	128	16,404,875	16,568,900	16,734,500

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2022**

STUDENT SERVICES

Expenditure Category	Actual FY2020	Estimated FY2021	Increase/ Decrease	Request FY2022
FTE Positions	18.90	18.90	-	18.90
Personal Services	1,686,276	1,703,100	17,000	1,720,100
Employee Related Expenditures	303,458	306,500	3,100	309,600
All Other Operating Expenditures				
Professional and Outside Services	179,361	181,200	1,800	183,000
Travel In State	3,483	3,500	-	3,500
Travel Out of State	12,097	12,200	100	12,300
Other Operating	57,452	58,000	600	58,600
Equipment	-	-	-	-
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	2,242,127	2,264,500	22,600	2,287,100
Indirect Costs	99,915	100,900	1,000	101,900
TOTAL EXPENDITURES	2,342,042	2,365,400	23,600	2,389,000
Pass Through Funds - State Agencies	-	-	-	-
Pass Through Funds - Non State Agencies	-	-	-	-
SUBTOTAL	-	-	-	-
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	2,342,042	2,365,400	23,600	2,389,000

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2022

STUDENT SERVICES	No. of Projects	Actual FY2020	Estimated FY2021	Estimated FY2022
U.S. Department of Education	8	2,342,042	2,365,400	2,389,000
Total Student Services	8	2,342,042	2,365,400	2,389,000

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2022**

SCHOLARSHIPS AND FELLOWSHIPS

Expenditure Category	Actual FY2020	Estimated FY2021	Increase/ Decrease	Request FY2022
FTE Positions	-	-	-	-
Personal Services	35,705	36,100	400	36,500
Employee Related Expenditures	6,985	7,100	100	7,200
All Other Operating Expenditures				
Professional and Outside Services	232,172	234,500	2,300	236,800
Travel In State	811	800	-	800
Travel Out of State	2,471	2,500	-	2,500
Other Operating	51,470,787	51,985,500	519,900	52,505,400
Equipment	-	-	-	-
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	51,748,931	52,266,500	522,700	52,789,200
Indirect Costs	16,221	16,400	200	16,600
TOTAL EXPENDITURES	51,765,152	52,282,900	522,900	52,805,800
Pass Through Funds - State Agencies	-	-	-	-
Pass Through Funds - Non State Agencies	(42)	-	-	-
SUBTOTAL	(42)	-	-	-
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	51,765,110	52,282,900	522,900	52,805,800

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2022

SCHOLARSHIPS AND FELLOWSHIPS	No. of Projects	Actual FY2020	Estimated FY2021	Estimated FY2022
U.S. Department of Health and Human Services	1	621,000	627,200	633,500
National Science Foundation	6	603,524	609,600	615,700
U.S. Department of Education	8	50,503,295	51,008,400	51,518,500
National Institute of Food & Agriculture	1	37,333	37,700	38,100
Federal Thru Local Agency	1	(42)	-	-
Total Scholarships and Fellowships	17	51,765,110	52,282,900	52,805,800



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