

STATE BUDGET
REQUEST

FY20



August 31, 2018

Governor Ducey and Members of the Arizona State Legislature:

This document summarizes Northern Arizona University's request for state appropriated resources to meet anticipated needs of our institution during Fiscal Year 2020. It represents budget requirements necessary to maintain the level of educational excellence previously provided by this university with the support of the state of Arizona. The NAU request was prepared under guidelines endorsed by the Governor's Office of Strategic Planning and Budgeting and the Arizona Board of Regents.

I look forward to reviewing Northern Arizona University's budget request with you and your staff.

Sincerely,

A handwritten signature in blue ink, which appears to read "Rita Cheng", is written over a faint, larger version of the same signature.

Rita Cheng
President

**NORTHERN ARIZONA UNIVERSITY
FY 2020 STATE OPERATING BUDGET REQUEST**

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STATE OF ARIZONA
 BUDGET REQUEST
 TRANSMITTAL STATEMENT

AGENCY NORTHERN ARIZONA UNIVERSITY 0440
A.R.S. CITATION 15-1601

	<u>FY 2020 Request</u>
TOTAL STATE BUDGET REQUEST	<u>\$717,143,500</u>
GENERAL FUND	<u>127,553,700</u>
OTHER APPROPRIATED FUNDS	<u>160,958,900</u>
FEDERAL FUNDS	<u>103,299,500</u>
OTHER NON-APPROPRIATED FUNDS	<u>325,331,400</u>

GOVERNOR DUCEY:

In accordance with A.R.S. 35-113, this and the accompanying budget schedules, statements, and explanatory information constitute the operating budget estimates of this agency for approved expenditures in fiscal year 2020.

All statements and explanations contained in the estimates submitted herewith are true and correct to the best of my knowledge.

Agency Head	<u>Rita Cheng</u>	Request Prepared by	<u>Bjorn Flugstad</u>
Title	<u>President</u>	Phone	<u>(928) 523-4240</u>
Signature			

Date Prepared August 31, 2018

TRANSMITTAL STATEMENT

NORTHERN ARIZONA UNIVERSITY MISSION, VISION, VALUES, AND GOALS

Mission

Our academic programs, research, public service, and creative endeavors enrich lives and create opportunities in Arizona and beyond. We develop solutions to challenges and drive innovation in a supportive, inclusive, and diverse environment.

Vision

Rigorous programs and pioneering research with substantial community impact provide the foundation for transformational student opportunities that prepare graduates to excel in creating a sustainable future, nationally and globally.

- NAU is distinguished for its quality of teaching, focusing on student-centered learning experiences in creative environments and for its dynamic research. We challenge students to adapt and respond to evolving social pressures and global issues.
- NAU is celebrated for its personally transformational relationships that enhance educational opportunities. We empower students to succeed by ensuring accessibility and inclusiveness of diverse experiences and backgrounds.
- NAU is renowned for its national and global leadership and service as our graduates guide the world toward a vibrant future, creating cultural vitality, superior education, improved public health, and positive economic outcomes throughout our communities.

Our Values

- Excellence in Education Offer a rigorous, high quality education to all students
- Student Success Place learner needs at the center of our academic and service planning, policies, and programs
- Educational Access Provide all qualified students with access to higher education
- Diversity Achieve multicultural understanding as a priority of educational and civic life
- Integrity Operate with fairness, honesty, and the highest ethical standards to sustain a community of trust
- Civility Support a civil, engaging, and respectful campus climate

Northern Arizona University Goals

Student Success

Promote high levels of student access, engagement, achievement, and affordability

Nationally Recognized Research Excellence

Expand the boundaries of knowledge to improve lives

Global Engagement

Advance the internationalization of the university to prepare students for global citizenship

Diversity, Civic Engagement, and Community Building

Promote issues of diversity, civility, democracy, citizenship, and community engagement and collaboration

Commitment to Native Americans

Become one of the nation's leading universities serving Native Americans

Sustainability and Effectiveness

Exemplify a sustainable, innovative, and effective university community



Exec. VP & Chief of Staff Joanne Keene	Assistant VP for Community Relations Emily Allen	Chief Human Resources Officer Josh Mackey	Assistant Vice President Equity & Access Office Priscilla Mills	Director University Events Krista Allen	Director Policy and Spec. Projects Mark Grisham		
Chief Marketing Officer Ashley Chitwood							
Asst. to the Pres. for Exec. Communication & Media Relations Kimberly Ott							
Int Provost & VP for Academic Affairs Brian Levin - Stankevich	Vice Provost Academic Affairs Pauline Entin	Vice Provost Academic Personnel Roger Bounds	Vice Provost - Teaching, Learning Design & Assessmt Laurie Dickson	Exec. Dir., Ctr for Int'l Ed., & Special Adv. to Pres. for Global Initiatives Daniel Palm	Arts & Letters Valerio Ferme, Dean	Education Ramona Mellott, Dean	
	Environment, Forestry & Natural Sciences Paul Jagodzinski, Dean	Health & Human Services Lynda Ransdell, Dean	Honors College Kevin Gustafson, Dean	Social & Behavioral Sciences Karen Pugliesi, Dean	The W.A. Franke College of Business Daniel Goebel, Dean	Graduate College Maribeth Watwood, Dean	
Faculty Athletics Representative Alisse Ali-Joseph	NAU Online & Innovative Educational Initiatives Meredith Curley, Dean	Assoc. VP & Campus Executive Officer, NAU - Yuma Mike Sabath	Cline Library Cynthia Childrey, Dean & University Librarian	Engineering, Informatics, & Applied Sciences Diane Stearns, Int Dean			
VP for Enrollment Mgmt. & Student Affairs Jane Kuhn	AVP Student Affairs Erin Grisham	AVP EMSA Margot Saltonstall	Exec. Director Housing & Residence Life Rich Payne	Exec. Director Campus Health Services Julie Ryan	Exec. Director CSA Administration TC Eberly	Exec. Director, Office of Scholarships & Financial Aid Nydia Nittmann	
Int VP for Development & Alumni Engagement Pam Hillman	Assoc. VP Development Bonnie Baker	Chief Foundation Officer Cheryl Heitz					
VP for Native American Initiatives Chad Hamill	Assoc. VP Nat. Am. Cultural Ctr. Ron Lee	Executive Director Nat. Am. Cultural Ctr. Ora Marek-Martinez	Executive Director Inst. for Tribal Env. Prof. Ann Marie Chischilly				
VP for Intercollegiate Athletics Mike Marlow	Sr. Assoc. Athletics Director SWA Robyn Sharp	Assoc. Athletics Director Internal Operations Beth Vechinski	Assoc. Athletics Director Matt Howdeshell				
General Counsel Michelle Parker	Assoc. General Counsel MJ Vuinovich						
VP for Capital Planning & Campus Operation Dan Okoli	Director Business Operations Jessica Fitchett	University Police Chief Kelli Smith	Planning, Design, Construction Director Stephen Vedral	Exec. Director Contracting & Purchasing Svcs Becky McGaugh	Director Postal Services Susan Maetras	Director Parking & Shuttle Services Erin Stam	
VP for External Affairs & Partnerships Christy Farley	Assoc. VP Government Affairs Katy Yanez	Assoc. VP Educational Partnerships Kathrine Yeager					
VP for Research David R. Schultz	Assoc. VP Vacant	Assoc. VP Sponsored Projects Michael Nichols	Assist. VP Regulatory Compliance David Faguy	Exec. Director NAU Innovations Vacant			
Chief Information Officer Steven Burrell							
VP for Finance, Inst. Planning & Analysis & CFO Bjorn Flugstad	Chief Institutional Data Officer Laura Jones	Chief Audit Executive Mark Ruppert	Assoc. VP Financial Services Wendy Swartz	Director Siri Mullaney			



AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

SCHEDULE 1B
 COMBINED FUNDS SUMMARY - SOURCE AND DISPOSITION OF FUNDS

FUNDS AVAILABLE	2018 Actual	2019 Estimate	2020 Estimate
Balance Forward	175,400.3	179,781.7	173,915.8
Receipts	394,263.2	402,514.1	417,668.3
TOTAL FUNDS AVAILABLE	569,663.5	582,295.8	591,584.1
DISPOSITION OF FUNDS			
FTE Positions	1,526.2	1,562.4	1,619.7
Personal Services	94,331.7	96,609.3	100,205.0
ERE	29,365.4	30,204.2	31,362.7
All Other Operating Expenditures:			
Professional and Outside Services	59,876.2	63,751.6	66,275.7
Travel: In State	1,798.7	1,800.1	1,867.2
Travel: Out of State	6,273.7	6,405.1	6,676.3
Other Operating Expenditures	149,648.6	158,808.3	169,036.3
Library Acquisitions	5.4	5.7	6.0
Equipment	7,098.7	7,314.4	7,546.4
All Other Operating Subtotal	224,701.3	238,085.2	251,407.9
TOTAL FUNDS EXPENDED	348,398.4	364,898.7	382,975.6
Net Transfers Out (In)	41,483.4	43,481.3	45,655.3
TOTAL DISPOSITION OF FUNDS	389,881.8	408,380.0	428,630.9
BALANCE FORWARD TO NEXT YEAR	179,781.7	173,915.8	162,953.2
Operating and Non Operating Expenditure Detail:			
Non Operating (Loan and Endowment)	691.8	700.0	700.0
Operating:			
Operating - Federal	91,744.9	92,662.5	93,589.2
Operating - Other Non Appropriated	255,961.7	271,536.2	288,686.4
Total Operating Expenditures	347,706.6	364,198.7	382,275.6
Total Funds Expended	348,398.4	364,898.7	382,975.6

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

SCHEDULE 1B
 LOCAL FUNDS SUMMARY - SOURCE AND DISPOSITION OF FUNDS

FUNDS AVAILABLE	2018 Actual	2019 Estimate	2020 Estimate
Balance Forward	175,400.3	179,781.7	173,915.8
Receipts	292,999.2	300,237.4	314,368.8
TOTAL FUNDS AVAILABLE	468,399.5	480,019.1	488,284.6
DISPOSITION OF FUNDS			
FTE Positions	1,228.8	1,262.2	1,305.1
Personal Services	73,676.7	75,747.8	79,134.9
ERE	23,594.6	24,375.7	25,475.9
All Other Operating Expenditures:			
Professional and Outside Services	47,592.0	51,344.4	53,744.4
Travel: In State	1,297.8	1,294.2	1,356.3
Travel: Out of State	5,256.8	5,378.0	5,638.9
Other Operating Expenditures	99,508.6	108,166.9	117,888.4
Library Acquisitions	5.4	5.7	6.0
Equipment	5,721.6	5,923.5	6,141.6
All Other Operating Subtotal	159,382.2	172,112.7	184,775.6
TOTAL FUNDS EXPENDED	256,653.5	272,236.2	289,386.4
Net Transfers Out (In)	31,964.3	33,867.1	35,945.0
TOTAL DISPOSITION OF FUNDS	288,617.8	306,103.3	325,331.4
BALANCE FORWARD TO NEXT YEAR	179,781.7	173,915.8	162,953.2

Source of Revenue:

Resources in this fund group are comprised of Designated, Auxiliary, Indirect Cost Recovery Non Federal, Loans, Endowments and Restricted Non Federal.

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B
 DESIGNATED (EXCLUDING INDIRECT COST RECOVERY) - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2018 Actual	2019 Estimate	2020 Estimate
Balance Forward	86,083.9	89,431.2	85,624.6
Receipts	162,288.7	165,740.3	174,027.3
TOTAL FUNDS AVAILABLE	248,372.6	255,171.5	259,651.9
DISPOSITION OF FUNDS			
FTE Positions	614.9	645.4	666.8
Personal Services	36,893.5	38,738.1	40,675.3
ERE	11,223.4	11,784.4	12,373.8
All Other Operating Expenditures:			
Professional and Outside Services	36,001.9	37,802.1	39,692.2
Travel: In State	682.0	716.3	752.1
Travel: Out of State	2,549.8	2,677.1	2,811.1
Other Operating Expenditures	53,526.6	58,861.3	64,729.1
Library Acquisitions	5.4	5.7	6.0
Equipment	2,366.4	2,484.7	2,609.0
All Other Operating Subtotal	95,132.1	102,547.2	110,599.5
TOTAL FUNDS EXPENDED	143,249.0	153,069.7	163,648.6
Net Transfers Out (In)	15,692.4	16,477.2	17,301.0
TOTAL DISPOSITION OF FUNDS	158,941.4	169,546.9	180,949.6
BALANCE FORWARD TO NEXT YEAR	89,431.2	85,624.6	78,702.3

Source of Revenue:

Tuition and fees retained by the University, summer session fees and unrestricted gifts. Resources in this fund are designated in use.

Purpose of Fund:

To account for transactions related to academic year tuition and fees retained by the University, the summer session program and the use of unrestricted gifts. Indirect cost recovery, part of the designated fund group, is presented separately.

Notes:

Indirect Cost Recovery are adjusted out of these totals and are reported on a separate schedule in this report.

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

SCHEDULE 1B
 AUXILIARY FUNDS - SOURCE AND DISPOSITION OF FUNDS

FUNDS AVAILABLE	2018 Actual	2019 Estimate	2020 Estimate
Balance Forward	29,682.4	29,989.5	27,610.8
Receipts	103,677.7	107,824.8	113,216.0
TOTAL FUNDS AVAILABLE	133,360.1	137,814.3	140,826.8
DISPOSITION OF FUNDS			
FTE Positions	422.3	443.4	465.6
Personal Services	25,336.5	26,603.3	27,933.5
ERE	8,829.9	9,271.4	9,735.0
All Other Operating Expenditures:			
Professional and Outside Services	8,470.0	8,893.5	9,338.2
Travel: In State	399.7	419.7	440.7
Travel: Out of State	2,226.1	2,337.4	2,454.3
Other Operating Expenditures	36,385.9	39,869.5	43,693.7
Library Acquisitions	0.0	0.0	0.0
Equipment	1,543.5	1,620.7	1,701.7
All Other Operating Subtotal	49,025.2	53,140.8	57,628.6
TOTAL FUNDS EXPENDED	83,191.6	89,015.5	95,297.1
Net Transfers Out (In)	20,179.0	21,188.0	22,247.3
TOTAL DISPOSITION OF FUNDS	103,370.6	110,203.5	117,544.4
BALANCE FORWARD TO NEXT YEAR	29,989.5	27,610.8	23,282.4

Source of Revenue:
 Sales and services from substantially self supporting activities.

Purpose of Fund:
 To account for transactions of substantially self supporting activities that provide services for students, faculty and staff.

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B
 DESIGNATED INDIRECT COST RECOVERY (NON FEDERAL) - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2018 Actual	2019 Estimate	2020 Estimate
Balance Forward	8,535.7	9,101.4	9,145.8
Receipts	934.6	842.7	1,269.4
TOTAL FUNDS AVAILABLE	9,470.3	9,944.1	10,415.2
DISPOSITION OF FUNDS			
FTE Positions	29.6	31.0	32.2
Personal Services	1,774.8	1,863.4	1,956.5
ERE	557.0	584.9	614.1
All Other Operating Expenditures:			
Professional and Outside Services	1,031.1	1,082.5	1,136.6
Travel: In State	48.7	51.0	53.5
Travel: Out of State	141.0	148.1	155.4
Other Operating Expenditures	726.6	793.6	866.8
Library Acquisitions	0.0	0.0	0.0
Equipment	69.5	72.9	76.7
All Other Operating Subtotal	2,016.9	2,148.1	2,289.0
TOTAL FUNDS EXPENDED	4,348.7	4,596.4	4,859.6
Net Transfers Out (In)	(3,979.8)	(3,798.1)	(3,603.3)
TOTAL DISPOSITION OF FUNDS	368.9	798.3	1,256.3
BALANCE FORWARD TO NEXT YEAR	9,101.4	9,145.8	9,158.9

Source of Revenue:

Indirect costs recovered from sponsored non federal research programs.

Purpose of Fund:

To account for transactions designated for various activities that assist or promote sponsored research at the University.

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

SCHEDULE 1B
 LOAN FUNDS - SOURCE AND DISPOSITION OF FUNDS

FUNDS AVAILABLE	2018 Actual	2019 Estimate	2020 Estimate
Balance Forward	7,436.3	6,998.3	6,623.3
Receipts	326.5	325.0	325.0
TOTAL FUNDS AVAILABLE	7,762.8	7,323.3	6,948.3
DISPOSITION OF FUNDS			
FTE Positions	0.0	0.0	0.0
Personal Services			
ERE			
All Other Operating Expenditures:			
Professional and Outside Services			
Travel: In State			
Travel: Out of State			
Other Operating Expenditures	691.8	700.0	700.0
Library Acquisitions			
Equipment			
All Other Operating Subtotal	691.8	700.0	700.0
TOTAL FUNDS EXPENDED	691.8	700.0	700.0
Net Transfers Out (In)	72.7		
TOTAL DISPOSITION OF FUNDS	764.5	700.0	700.0
BALANCE FORWARD TO NEXT YEAR	6,998.3	6,623.3	6,248.3

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B
 ENDOWMENT AND LIFE INCOME FUNDS - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2018 Actual	2019 Estimate	2020 Estimate
Balance Forward	30,338.7	30,938.0	31,588.0
Receipts	599.3	650.0	650.0
TOTAL FUNDS AVAILABLE	30,938.0	31,588.0	32,238.0
DISPOSITION OF FUNDS			
FTE Positions	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0
ERE	0.0	0.0	0.0
All Other Operating Expenditures:			
Professional and Outside Services			
Travel: In State			
Travel: Out of State			
Other Operating Expenditures			
Library Acquisitions			
Equipment			
All Other Operating Subtotal	0.0	0.0	0.0
TOTAL FUNDS EXPENDED	0.0	0.0	0.0
Net Transfers Out (In)	0.0	0.0	0.0
TOTAL DISPOSITION OF FUNDS	0.0	0.0	0.0
BALANCE FORWARD TO NEXT YEAR	30,938.0	31,588.0	32,238.0
Source of Revenue:			
Financial aid trust fund fees assessed to students and investment income.			
Purpose of Fund:			
To accumulate a pool of money large enough to provide funds for financial aid from future earnings.			

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B
 RESTRICTED NON FEDERAL FUNDS - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2018 Actual	2019 Estimate	2020 Estimate
Balance Forward	13,323.3	13,323.3	13,323.3
Receipts	25,172.4	24,854.6	24,881.1
TOTAL FUNDS AVAILABLE	38,495.7	38,177.9	38,204.4
DISPOSITION OF FUNDS			
FTE Positions	162.0	142.4	140.5
Personal Services	9,671.9	8,543.0	8,569.6
ERE	2,984.3	2,735.0	2,753.0
Professional and Outside Services	2,089.0	3,566.3	3,577.4
Travel: In State	167.4	107.2	110.0
Travel: Out of State	339.9	215.4	218.1
Other Operating Expenditures	8,177.7	7,942.5	7,898.8
Library Acquisitions	0.0	0.0	0.0
Equipment	1,742.2	1,745.2	1,754.2
All Other Operating Subtotal	12,516.2	13,576.6	13,558.5
TOTAL FUNDS EXPENDED	25,172.4	24,854.6	24,881.1
Net Transfers Out (In)	0.0	0.0	0.0
TOTAL DISPOSITION OF FUNDS	25,172.4	24,854.6	24,881.1
BALANCE FORWARD TO NEXT YEAR	13,323.3	13,323.3	13,323.3

Source of Revenue:

State appropriated match for the Financial Aid Trust Fund and nonfederal grants and contracts.

Purpose of Fund:

To account for current operating funds restricted in use by nonfederal grants and contracts. Revenue and operating expenditures exclude indirect cost recovery.

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

SCHEDULE 1B
 FEDERAL FUNDS SUMMARY - SOURCE AND DISPOSITION OF FUNDS

FUNDS AVAILABLE	2018 Actual	2019 Estimate	2020 Estimate
Balance Forward	0.0	0.0	0.0
Receipts	101,264.0	102,276.7	103,299.5
TOTAL FUNDS AVAILABLE	101,264.0	102,276.7	103,299.5
DISPOSITION OF FUNDS			
FTE Positions	297.4	300.2	314.6
Personal Services	20,655.0	20,861.5	21,070.1
ERE	5,770.8	5,828.5	5,886.8
All Other Operating Expenditures:			
Professional and Outside Services	12,284.2	12,407.2	12,531.3
Travel: In State	500.9	505.9	510.9
Travel: Out of State	1,016.9	1,027.1	1,037.4
Other Operating Expenditures	50,140.0	50,641.4	51,147.9
Library Acquisitions	0.0	0.0	0.0
Equipment	1,377.1	1,390.9	1,404.8
All Other Operating Subtotal	65,319.1	65,972.5	66,632.3
TOTAL FUNDS EXPENDED	91,744.9	92,662.5	93,589.2
Net Transfers Out (In)	9,519.1	9,614.2	9,710.3
TOTAL DISPOSITION OF FUNDS	101,264.0	102,276.7	103,299.5
BALANCE FORWARD TO NEXT YEAR	0.0	0.0	0.0

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B
 DESIGNATED INDIRECT COST RECOVERY (FEDERAL) - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2018 Actual	2019 Estimate	2020 Estimate
Balance Forward	0.0	0.0	0.0
Receipts	9,519.1	9,614.2	9,710.3
TOTAL FUNDS AVAILABLE	9,519.1	9,614.2	9,710.3
DISPOSITION OF FUNDS			
FTE Positions	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0
ERE	0.0	0.0	0.0
All Other Operating Expenditures:			
Professional and Outside Services	0.0	0.0	0.0
Travel: In State	0.0	0.0	0.0
Travel: Out of State	0.0	0.0	0.0
Other Operating Expenditures	0.0	0.0	0.0
Library Acquisitions	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
All Other Operating Subtotal	0.0	0.0	0.0
TOTAL FUNDS EXPENDED	0.0	0.0	0.0
Net Transfers Out (In)	9,519.1	9,614.2	9,710.3
TOTAL DISPOSITION OF FUNDS	9,519.1	9,614.2	9,710.3
BALANCE FORWARD TO NEXT YEAR	0.0	0.0	0.0
Source of Revenue:			
Indirect costs recovered from sponsored federal research programs.			
Purpose of Fund:			
To account for transactions designated for various activities that assist or promote sponsored research at the University.			

AGENCY NAME & AFIS CODE: NORTHERN ARIZONA UNIVERSITY
 COST CENTER/PROGRAM NAME: SUMMARY

**SCHEDULE 1B
 RESTRICTED FEDERAL - SOURCE AND DISPOSITION OF FUNDS**

FUNDS AVAILABLE	2018 Actual	2019 Estimate	2020 Estimate
Balance Forward	0.0	0.0	0.0
Receipts	91,744.9	92,662.5	93,589.2
TOTAL FUNDS AVAILABLE	91,744.9	92,662.5	93,589.2
DISPOSITION OF FUNDS			
FTE Positions	297.4	300.2	314.6
Personal Services	20,655.0	20,861.5	21,070.1
ERE	5,770.8	5,828.5	5,886.8
All Other Operating Expenditures:			
Professional and Outside Services	12,284.2	12,407.2	12,531.3
Travel: In State	500.9	505.9	510.9
Travel: Out of State	1,016.9	1,027.1	1,037.4
Other Operating Expenditures	50,140.0	50,641.4	51,147.9
Library Acquisitions	0.0		0.0
Equipment	1,377.1	1,390.9	1,404.8
All Other Operating Subtotal	65,319.1	65,972.5	66,632.3
TOTAL FUNDS EXPENDED	91,744.9	92,662.5	93,589.2
Net Transfers Out (In)	0.0	0.0	0.0
TOTAL DISPOSITION OF FUNDS	91,744.9	92,662.5	93,589.2
BALANCE FORWARD TO NEXT YEAR	0.0	0.0	0.0

Source of Revenue:

Grants and contracts from the federal government.

Purpose of Fund:

To account for current operating funds restricted in use by the federal government. Revenue and operating expenditures exclude indirect cost recovery.

STATE OF ARIZONA
SCHEDULE 1D
STATE COLLECTIONS REVENUE

NORTHERN ARIZONA UNIVERSITY 0440

FUND: 1421

University: NORTHERN ARIZONA			Actual FY2016	Actual FY2017	Actual FY2018	Budgeted FY2019	INCREASE/ (DECREASE)	Request FY2020
RESIDENT AND NON RESIDENT TUITION								
NON RESIDENT TUITION RECEIPTS								
Undergraduate	Non Fixed		3,599,900	5,988,900	5,329,000	6,493,700	736,100	7,229,800
	Fixed		22,069,000	24,489,000	27,383,900	28,141,800	610,000	28,751,800
WUE	Non Fixed		259,800	345,300	292,300	261,700	(3,000)	258,700
	Fixed		67,591,300	70,346,200	73,268,200	72,338,500	(20,200)	72,318,300
Graduate			4,135,800	5,912,000	6,286,900	6,948,200	188,300	7,136,500
RESIDENT TUITION RECEIPTS								
Undergraduate - Other Sites			24,091,200	26,633,400	28,505,500	32,911,700	(128,800)	32,782,900
Undergraduate Flagstaff	Non Fixed		167,400	120,000	85,300	37,800	36,000	73,800
	Fixed		57,831,100	57,976,200	60,325,200	59,268,500	809,600	60,078,100
Graduate			14,504,900	14,223,400	15,844,100	17,021,500	246,300	17,267,800
SPECIAL PROGRAM FEES			2,982,000	4,023,000	4,224,000	4,248,500		4,248,500
INSTITUTIONAL FINANCIAL AID (VALUE OF WAIVERS*)			59,666,600	76,166,600	86,966,600	98,966,600	6,400,000	105,366,600
TOTAL STUDENT FEE TUITION RECEIPTS			256,899,000	286,224,000	308,511,000	326,638,500	8,874,300	335,512,800
Less Financial Aid Awards			60,417,500	77,063,300	87,891,400	99,894,800	6,400,000	106,294,800
Less Debt Service/Plant Fund			15,447,700	17,000,000	15,500,000	17,000,000	1,500,000	18,500,000
Less Regents' Financial Aid Set-Aside			26,000,000	30,250,000	32,250,000	33,000,000	750,000	33,750,000
Less All Other Local Retention			11,412,200	16,292,400	17,988,500	18,534,800	224,300	18,759,100
Total Local Retention (from Schedule 1D-4)			113,277,400	140,605,700	153,629,900	168,429,600	8,874,300	177,303,900
SUBTOTAL NET TUITION TO STATE BUDGET			143,621,600	145,618,300	154,881,100	158,208,900	-	158,208,900

STATE OF ARIZONA
 SCHEDULE 1D
 STATE COLLECTIONS REVENUE

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

University: NORTHERN ARIZONA	Actual FY2016	Actual FY2017	Actual FY2018	Budgeted FY2019	INCREASE/ (DECREASE)	Request FY2020
OTHER REVENUES/RECEIPTS						
Miscellaneous Student Receipts	125,000	125,000	125,000	125,000	-	125,000
Summer Sessions Fees	1,500,000	1,500,000	1,700,000	1,900,000	-	1,900,000
State Land Grant Endowment (incl Prop 123 - Eminent Scholars)	40,000	40,000	725,000	725,000	-	725,000
Actual Balance Forward	-	-	-	-		-
TOTAL OTHER RECEIPTS	1,665,000	1,665,000	2,550,000	2,750,000	-	2,750,000
TOTAL APPROVED TO SUPPORT STATE EXPENDITURE AUTHORITY	145,286,600	147,283,300	157,431,100	160,958,900	-	160,958,900

STATE OF ARIZONA
SCHEDULE 1D
STATE COLLECTIONS REVENUE

NORTHERN ARIZONA UNIVERSITY 0440

FUND: 1421

University: NORTHERN ARIZONA			Actual FY2016	Actual FY2017	Actual FY2018	Budgeted FY2019	INCREASE/ (DECREASE)	Request FY2020
FULL TIME EQUIVALENT STUDENTS (FALL SEMESTER 21 Day)								
Under 15/12/10 Methodology			27,374	28,710	29,524	30,321	495	30,816
FULL PAY EQUIVALENT STUDENTS								
NON RESIDENT FPE STUDENTS			6,548	6,782	6,707	6,800	11	6,811
Undergraduate	Non Fixed		244	246	313	361	37	398
	Fixed		1,026	1,104	1,138	1,178	14	1,192
WUE	Non Fixed		23	30	24	21	-	21
	Fixed		5,004	5,032	4,818	4,787	(54)	4,733
Graduate			251	370	414	453	14	467
As a percent of Full Time Equivalent Students			23.9%	23.6%	22.7%	22.4%		22.1%
RESIDENT FPE STUDENTS			11,611	11,262	10,641	10,752	91	10,843
Undergraduate Flag	Non Fixed		23	16	10	5	4	9
	Fixed		6,428	6,218	5,937	5,869	22	5,891
Undergraduate Other Campuses			3,481	3,431	3,103	3,185	23	3,208
Graduate			1,679	1,597	1,591	1,693	42	1,735
As a percent of Full Time Equivalent Students			42.4%	39.2%	36.0%	35.5%		35.2%

STATE OF ARIZONA
SCHEDULE 1D
STATE COLLECTIONS REVENUE

NORTHERN ARIZONA UNIVERSITY 0440

FUND: 1421

			Actual	Actual	Actual	Budgeted	INCREASE/ (DECREASE)	Request
University: NORTHERN ARIZONA			FY2016	FY2017	FY2018	FY2019		FY2020
ACADEMIC YEAR TUITION								
RESIDENT AND NON RESIDENT TUITION RATES								
Flagstaff								
Academic Year Nonresident Tuition								
Undergraduate	Non Fixed		19,420	20,003	20,604	21,324	-	21,324
	Fixed FY15		21,640	21,640	21,640	21,640	-	21,640
	Fixed FY16		22,452	22,452	22,452	22,452	-	22,452
	Fixed FY17			23,126	23,126	23,126	-	23,126
	Fixed FY18				23,820	23,820	-	23,820
	Fixed FY19					24,654	-	24,654
	Fixed FY20						-	24,654
WUE	Non Fixed		11,136	11,471	11,814	12,228	-	12,228
	Fixed FY15		13,680	13,680	13,680	13,680	-	13,680
	Fixed FY16		14,193	14,193	14,193	14,193	-	14,193
	Fixed FY17			14,619	14,619	14,619	-	14,619
	Fixed FY18				15,058	15,058	-	15,058
	Fixed FY19					15,585	-	15,585
	Fixed FY20						-	15,585
Graduate			20,348	20,958	21,588	22,882	-	22,882
Academic Year Resident Tuition								
Undergraduate	Non Fixed		7,424	7,647	7,876	8,152	-	8,152
	Fixed FY15		9,120	9,120	9,120	9,120	-	9,120
	Fixed FY16		9,462	9,462	9,462	9,462	-	9,462
	Fixed FY17			9,746	9,746	9,746	-	9,746
	Fixed FY18				10,038	10,038	-	10,038
	Fixed FY19					10,390	-	10,390
	Fixed FY20						-	10,390
Graduate			8,710	8,971	9,240	9,796	-	9,796
Statewide								
Academic Year Nonresident Tuition								
Undergraduate			19,420	20,003	20,604	21,324	-	21,324
Yuma			19,420	20,003	20,604	21,324	-	21,324
Yavapai			15,656	16,126	17,508	18,382	-	18,382
Graduate			20,348	20,958	21,588	22,450	-	22,450
Academic Year Resident Tuition								
Undergraduate			7,028	7,239	7,456	7,718	-	7,718
Yuma			6,894	7,101	7,456	7,718	-	7,718
Yavapai			5,294	5,453	5,920	6,216	-	6,216
Graduate			8,710	8,971	9,240	9,610	-	9,610

STATE OF ARIZONA
SCHEDULE 1D
STATE COLLECTIONS REVENUE

NORTHERN ARIZONA UNIVERSITY 0440

FUND: 1421

University: NORTHERN ARIZONA	Actual FY2016	Actual FY2017	Actual FY2018	Budgeted FY2019	INCREASE/ (DECREASE)	Request FY2020
SPECIAL PROGRAM FEES						
Master of Business Administration (MBA) Special Program Fee	7,000	7,000	7,000	7,000	-	7,000
Doctorate of Nursing Practice (DNP) Special Program Fee	1,200	1,800	1,800	1,800	-	1,800
Doctorate of Physical Therapy (DPT) Special Program Fee - Flagstaff (per year)	5,000	5,000	5,000	5,000	-	5,000
Doctorate of Physical Therapy (DPT) Special Program Fee - Phoenix (per year)	7,000	7,000	7,000	7,000	-	7,000
Doctorate of Occupational Therapy (OTD) Special Program Fee (per year)	11,000	11,000	11,000	11,000	-	11,000
Master of Administration (MA) Special Program Fee	2,500	2,500	2,500	-	-	-
Master of Science in Nursing Special Program Fee	1,200	1,800	1,800	1,800	-	1,800
Master of Science in Physician Assistant Studies Special Program Fee (per year)	11,000	14,000	14,000	14,000	-	14,000
Master of Science in Clinical Speech-Language Pathology Special Program Fee	1,800	2,400	2,400	2,400	-	2,400
Bachelor in Business Administration Special Program Fee (per year)	580	580	580	580	-	580
Bachelor of Science in Dental Hygiene Special Program Fee (per year)	800	800	800	800	-	800
Bachelor of Science in Nursing Special Program Fee (per year)	800	1,200	1,200	1,200	-	1,200
Bachelor of Science in Nursing Accelerated Option Special Program Fee (per year)	800	1,600	1,600	1,600	-	1,600
Bachelor of Science in Nursing - Registered Nurse Option Special Program Fee (per year)		150	150	150	-	150
Bachelor of Science in Forestry Program Fee (per year)	400	400	400	400	-	400
Bachelor of Science in Geology Special Program Fee		700	700	700	-	700
Bachelor of Science in Hotel Restaurant Management Special Program Fee		580	580	580	-	580
Undergrad Engineering /Construction Special Program Fee (per year)	500	500	500	500	-	500
Undergrad Honors Special Program Fee (per year)	200	200	500	700	-	700
Bachelor of Science in Fitness Wellness (per year)				150	-	150
Bachelor of Science in Nutrition and Foods (per year)				300	-	300
Bachelor of Science in Public Health (per year)				60	-	60
GIS Program Fee	750	750	-	-	-	-
Master of Science in Climate Science and Solutions Special Program Fee (per year)	3,000	3,000	3,000	3,000	-	3,000
Master of Science in Athletic Training Special Program Fee - Flagstaff (per year)	1,000	2,000	2,000	2,000	-	2,000
Master of Science in Athletic Training Special Program Fee - Phoenix (per year)		3,000	3,000	3,000	-	3,000
Master of Public Administration Special Program Fee (per year)		1,500	1,500	1,500	-	1,500
Master in Global Business Administration Special Program Fee (per year)		6,000	6,000	6,000	-	6,000
Master of Public Health - Health Promotion (per year)				520	-	520
Master of Public Health - Nutrition (per year)				870	-	870
Master of Social Work				1,200	-	1,200
ACADEMIC YEAR MANDATORY FEES						
Financial Aid Trust	74	76	79	82	-	82
ASNAU/Green Fee	56	56	56	56	-	56
Information Technology (Per Credit Hour, 12 Credit Maximum)	9	14	14	14	-	14
Student Wellness	500	500	500	500	-	500
Student Activity Fee	50	50	50	50	-	50
Athletic Fee				150	-	150

NORTHERN ARIZONA UNIVERSITY

Fiscal Year 2018-2020

Locally Retained Tuition

	REQUESTED ALLOCATION 2017/18	REQUESTED ALLOCATION 2018/19	INCREASE/ (DECREASE)	REQUESTED ALLOCATION 2019/20
DESIGNATED				
American Disabilities Act (ADA) Services	750,000	750,000		750,000
Art Gallery	10,900	10,900		10,900
Child Care	43,900	43,900		43,900
Graduate Assistant Tuition Remission	2,300,000	2,400,000		2,400,000
Honors Forum	8,700	-		-
Peer Mentoring and Retention	502,000	502,000		502,000
Performing Arts - Music	58,900	58,900		58,900
Performing Arts Series	31,900	31,900		31,900
Registrar's Office Operations	123,000	123,000		123,000
School of Comm Student Radio, Cable & Forensics	27,200	27,200		27,200
Special Events	10,500	-		-
Student Activities	229,000	254,000		254,000
Student Financial Aid Operations	337,300	337,300		337,300
Online Education Investment	10,063,700	10,610,000	224,300	10,834,300
SUN (Student Union Network Entertainment)	55,800	-		-
Doctorate of Physical Therapy (DPT) Program Fee (86%)	458,500	458,500		458,500
Physician Assistant (PA) Program Fee (86%)	75,000	75,000		75,000
Occupational Therapy (OT) Program Fee (86%)	45,000	45,000		45,000
Athletic Training (AT) Program Fee (86%)	10,000	10,000		10,000
Engineering Program Expansion	500,000	500,000		500,000
Employee Benefit Contingency	100,000	50,000		50,000
Operations: Collections	502,300	502,300		502,300
Sub-Total Designated	16,243,600	16,789,900	224,300	17,014,200
AUXILIARY				
ASNAU	-	-		-
Intercollegiate Athletics	1,537,000	1,537,000		1,537,000
Intramurals & Outdoor Recreation	-	-		-
Mountain Campus Identification Cards	-	-		-
Skydome	207,900	207,900		207,900
Sub-Total Auxiliary	1,744,900	1,744,900	-	1,744,900
TOTAL OPERATING FUNDS	17,988,500	18,534,800	224,300	18,759,100
FINANCIAL AID				
Regent's Financial Aid Set-Aside	32,250,000	33,000,000	750,000	33,750,000
Regent's Financial Aid Set-Aside on Tuition Surcharge	-	-		-
Set-Aside for Acad Meritorious AZ Residents	15,000	15,000		15,000
Student Financial Aid Match (SSIG, SEOG, and etc.)	318,400	318,400		318,400
Institutional Financial Aid - (formerly tuition waivers)	86,966,600	98,966,600	6,400,000	105,366,600
Doctorate of Physical Therapy (DPT): Scholarship (14%)	224,600	189,900		189,900
Physician Assistant (PA) Scholarship (14%)	196,000	196,000		196,000
Occupational Therapy (OT) Scholarships (14%)	158,600	192,500		192,500
Athletic Training (AT) Scholarships (14%)	12,200	16,400		16,400
Sub-Total Set Aside	120,141,400	132,894,800	7,150,000	140,044,800
Plant Fund/Minor Capital Projects	1,000,000	1,000,000	-	1,000,000
Debt Service	14,500,000	16,000,000	1,500,000	17,500,000
Total Locally Retained	153,629,900	168,429,600	8,874,300	177,303,900

**ADMINISTRATIVE COST MATRIX
NORTHERN ARIZONA UNIVERSITY**

Activity	Administrative Costs	Program Costs	DOA Related Costs (1)
Executive Management			
Affirmative Action Office	X		X
Legal Services	X		X
President's Office	X		
Office of Communication & Media Relations	X		
Vice President for Capital Planning & Campus Operations	X		
Human Resources			
Human Resources	X		X
Information Technology			
Computing Technology Services	X		X
Business and Finance			
Facility Services	X		X
Comptroller's Office & Student/Departmental Acct Office	X		X
Internal Audit	X		X
Postal Services	X		X
Purchasing	X		X
State Insurance		X	
University Budget Office	X		

**ADMINISTRATIVE COST MATRIX
NORTHERN ARIZONA UNIVERSITY**

Activity	Administrative Costs	Program Costs	DOA Related Costs (1)
Other Central Administration			
Development & Alumni Engagement		X	
Diversity		X	
Environmental Health & Safety		X	
NAU Online Administration	X		
General Administration	X		
Government Affairs	X		
Office of Regulatory Compliance		X	
Institutional Research & Analysis	X		
University Central Administration	X		
University Police and Emergency Management	X		X
Utilities	X		X

(1) Activities identified in this column reflect university functions that directly relate to services provided by the Department of Administration to state government. Legal Services, Affirmative Action, and University Ombudsman's Office directly relate to services provided by the Attorney General and Governor's Office. Amounts for these functions are **not** included on the "Agency Summary of Administrative Costs" form.

**AGENCY SUMMARY OF ADMINISTRATIVE COSTS (1)
NORTHERN ARIZONA UNIVERSITY**

CATEGORY	ACTUAL FY2018	EXPENDITURE PLAN - FY2019 (2)	REQUEST FY2020 (2)
ADMINISTRATIVE COST FUNCTION:			
Executive Management	2,728,400	3,006,400	3,006,400
Human Resources	-	-	-
Information Technology	-	-	-
Business and Finance	581,300	686,100	686,100
Other Central Administration	2,263,800	2,230,900	2,230,900
TOTAL EXPENDITURES BY ADMINISTRATIVE COST COMPONENT	5,573,500	5,923,400	5,923,400
FUND SOURCE DETAIL			
GENERAL FUND	5,573,500	5,923,400	5,923,400
OTHER APPROPRIATED FUNDS			
TOTAL EXPENDITURES BY FUND	5,573,500	5,923,400	5,923,400
TOTAL UNIVERSITY EXPENDITURE AUTHORITY	266,043,900	273,054,600	288,512,600
ADMINISTRATIVE COST AS A PERCENT OF TOTAL BUDGET	2.09%	2.17%	2.05%
(1) Administrative function costs exclude those Institutional Support activities that directly relate to services provided by the Department of Administration to state government.			
(2) Amounts in these columns do not include ERE which is budgeted at the program level.			

**ASSUMPTIONS FOR ADMINISTRATIVE COST SUMMARY
NORTHERN ARIZONA UNIVERSITY**

Northern Arizona University provided information on requested funding for administrative costs in accordance with A.R.S. 35-115. The Agency Summary of Administrative Cost includes our best estimate of administrative costs in the State Operating Budget. Since through FY18, both the General Fund and State Collections have supported our State Operating Budget, we cannot identify individual administrative costs by fund source.

The Administrative Cost Matrix identifies subprograms in the Institutional Support program by the five major administrative functions: Executive Management, Human Resources, Information Technology, Business and Finance, and Other Central Administration.

The "Administrative Costs" column identifies the central administrative cost functions.

The second column identifies "Program Costs", consisting of units which do not have an administrative function. Many of these units support the university through community outreach efforts. The "Program Costs" also include the Risk Management Insurance Premium since these are pass through funds which support program activities.

Finally, we identified the "DOA Related Costs." Activities in this column reflect the university functions that directly relate to the types of services provided by the Department of Administration (DOA) to other state government agencies. The universities do not receive the same set of services from DOA and therefore must maintain administrative units to provide comparable services at each campus.

In order to facilitate comparisons across state agencies, we excluded the "DOA Related Costs" from the Agency Summary of Administrative Cost.

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2020

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

UNIVERSITY TOTAL

Expenditure Category	ACTUAL FY 2018	ESTIMATED FY 2019	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2020
FTE POSITIONS *	2,316.50	2,316.50	186.00	0.00	2,502.50
PERSONAL SERVICES	158,155,500	160,978,000	11,106,300	-	172,084,300
EMPLOYEE RELATED EXP.	50,140,500	51,847,800	5,658,600	-	57,506,400
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	15,129,100	10,286,700	100,000	-	10,386,700
Travel In-State	382,000	560,600	203,000	-	763,600
Travel Out-of-State	486,500	-	-	-	-
Other Operating Expenditures	33,194,400	40,545,800	373,400	-	40,919,200
Library Acquisitions	2,125,000	2,304,600	-	-	2,304,600
Capitalized Equipment	405,400	459,700	1,016,700	-	1,476,400
Transfer Out***	3,000,000	3,000,000	(3,000,000)	-	-
Subtotal Other Operating Expenditures	54,722,400	57,157,400	(1,306,900)	-	55,850,500
BELOW THE LINE ITEM: NAU-YUMA	3,025,500	3,071,400	-	-	3,071,400
TOTAL APPROPRIATED	266,043,900	273,054,600	15,458,000	-	288,512,600
Collections	154,881,100	158,208,900	-	-	158,208,900
Summer Sessions and Misc. Collections	1,700,000	1,900,000	-	-	1,900,000
Land Grant Endowment	725,000	725,000	-	-	725,000
Misc. Receipts	125,000	125,000	-	-	125,000
Balance Forward	-	-	-	-	-
Total Revenues & Receipts	157,431,100	160,958,900	-	-	160,958,900
TOTAL GENERAL FUND	108,612,800	112,095,700	15,458,000	-	127,553,700
FEDERAL FUNDS	101,264,000	102,276,700	1,022,800	-	103,299,500
OTHER FUNDS (Est.)	288,617,800	306,103,300	19,228,100	-	325,331,400
TOTAL NON-APPROPRIATED	389,881,800	408,380,000	20,250,900	-	428,630,900
TOTAL PROGRAM	655,925,700	681,434,600	35,708,900	-	717,143,500

* University totals include the appropriate FTE and below the line allocations or expenditures for NAU Yuma.

** Actual General Fund appropriation expenditures include prior year encumbrance carry forward less encumbrance carry forward to next FY.

***Reflects \$3,000,000 Appropriation transferred to TGEN.

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FY 2020

<u>NORTHERN ARIZONA UNIVERSITY 0440</u>					PROGRAM	<u>UNIVERSITY TOTAL</u>
FUND: 1421						
<u>EXPENDITURE CATEGORY</u>	<u>ACTUAL FY 2018</u>	<u>ESTIMATED FY 2019</u>	<u>CONTINUATION REQUEST</u>	<u>STRATEGIC INVESTMENTS</u>	<u>TOTAL REQ FY 2020</u>	
INSTRUCTION	134,946,500	132,057,200	20,118,100	-	152,175,300	
ORGANIZED RESEARCH	6,705,200	7,477,500	(3,000,000)	-	4,477,500	
PUBLIC SERVICE	4,636,200	5,740,900	(500,000)	-	5,240,900	
ACADEMIC SUPPORT	28,510,000	30,896,200	-	-	30,896,200	
STUDENT SERVICES & ADMIN.	23,186,400	24,009,200	-	-	24,009,200	
INSTITUTIONAL SUPPORT	65,034,100	69,802,200	(1,160,100)	-	68,642,100	
NAU YUMA	<u>3,025,500</u>	<u>3,071,400</u>	<u>-</u>	<u>-</u>	<u>3,071,400</u>	
TOTAL OPERATING BUDGET	<u>266,043,900</u>	<u>273,054,600</u>	<u>15,458,000</u>	<u>-</u>	<u>288,512,600</u>	
<hr/>						
FTE POSITIONS	2,316.50	2,316.50	186.00	0.00	2,502.50	
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PERSONAL SERVICES	158,155,500	160,978,000	11,106,300	-	172,084,300	
EMPLOYEE RELATED EXP.	50,140,500	51,847,800	5,658,600	-	57,506,400	
ALL OTHER OPERATING EXP.	<u>54,722,400</u>	<u>57,157,400</u>	<u>(1,306,900)</u>	<u>-</u>	<u>55,850,500</u>	
SUBTOTAL	263,018,400	269,983,200	15,458,000	-	285,441,200	
NAU YUMA	<u>3,025,500</u>	<u>3,071,400</u>	<u>-</u>	<u>-</u>	<u>3,071,400</u>	
TOTAL OPERATING BUDGET	<u>266,043,900</u>	<u>273,054,600</u>	<u>15,458,000</u>	<u>-</u>	<u>288,512,600</u>	

Northern Arizona University
FY 2020 Operating Budget Request

Program	Actual FY18	Estimated FY19	CONTINUATION REQUEST						Subtotal Continuation
			NAU FY19 One Time Appropriation Adjustment	NAU FY20 State Investment Model - Per Resident FTE Funding - Growth	NAU FY20 State Investment Model - Per Resident FTE Funding - Progress	NAU FY19 Supplemental Request - HITF Reinstatement			
UNIVERSITY SUMMARY									
FTE	2,316.50	2,316.50	0.00	26.00	160.00	0.00	0.00	0.00	186.00
Personal Services	160,039.6	163,199.9	-	1,689.3	9,417.0	-	-	-	11,106.3
ERE	50,721.4	52,500.0	-	787.6	4,440.9	430.1	-	-	5,658.6
Operations	55,282.9	57,354.7	(5,090.2)	65.0	3,718.3	-	-	-	(1,306.9)
Prof & Out. Svc.	15,531.9	10,397.0	-	-	100.0	-	-	-	100.0
Travel In-State	388.1	588.3	-	28.0	175.0	-	-	-	203.0
Travel Out-of-State	500.6	-	-	-	-	-	-	-	-
Other Operating	22,459.1	28,931.9	(2,090.2)	20.3	2,443.3	-	-	-	373.4
Utilities	10,846.0	11,643.2	-	-	-	-	-	-	-
Equipment	405.4	459.7	-	16.7	1,000.0	-	-	-	1,016.7
Library Acquisitions	2,151.8	2,334.6	-	-	-	-	-	-	-
Transfer Out	3,000.0	3,000.0	(3,000.0)	-	-	-	-	-	(3,000.0)
Total	266,043.9	273,054.6	(5,090.2)	2,541.9	17,576.2	430.1	-	-	15,458.0

Program	Subtotal FY20 Request	STRATEGIC INVESTMENTS						Subtotal Strategic Investments	TOTAL FY20 Request
UNIVERSITY SUMMARY									
FTE	2,502.50			0.00	0.00	0.00	0.00	2,502.50	
Personal Services	174,306.2			-	-	-	-	174,306.2	
ERE	58,158.6			-	-	-	-	58,158.6	
Operations	56,047.8			-	-	-	-	56,047.8	
Prof & Out. Svc.	10,497.0			-	-	-	-	10,497.0	
Travel In-State	791.3			-	-	-	-	791.3	
Travel Out-of-State	-			-	-	-	-	-	
Other Operating	29,305.3			-	-	-	-	29,305.3	
Utilities	11,643.2			-	-	-	-	11,643.2	
Equipment	1,476.4			-	-	-	-	1,476.4	
Library Acquisitions	2,334.6			-	-	-	-	2,334.6	
Transfer Out	-			-	-	-	-	-	
Total	288,512.6			-	-	-	-	288,512.6	

**NORTHERN ARIZONA UNIVERSITY
Fiscal Year 2020 State Budget Request**

	FY 2020 Request
STATE BUDGET REQUEST ITEMS	
<u>Base Funding Request</u>	
State Investment Model - Funding per Resident FTE - Growth in FTE	2,541,900
State Investment Model - Funding per Resident FTE - Progress to Goal	17,576,200
TOTAL STATE OPERATING BASE BUDGET REQUEST	20,118,100
FY19 Supplemental	
HITF Funding Restoration	430,100
Adjustment to Appropriation Levels	
Remove FY19 one-time appropriation	-5,090,200
 Other Items to report	
Annual Personnel Report Competitive Salary Request	39,765,400
FY 20 Building Renewal Formula	21,152,818

NORTHERN ARIZONA UNIVERSITY
FY 2020 Strategic Investment

Initiative **State Investment Model - Funding per Resident FTE - Growth in FTE**

Budget Program and Dollar Amount Requested:

\$ 2,541,900			\$ -			\$ 2,541,900
Instruction	Org Research	Public Service	Acad Support	Student Services	Instit Support	Total

Description of Request

The FY20 State Budget Request from Northern Arizona University is being submitted in response to the Governor's request that the Arizona public universities refashion the strategic plan into a sustainable, long-term business plan with a sustainable-enterprise model that counts on the State as one of many investors. The recommendation brought forward two years ago was to build an investment relationship between the state and the public university system based on per-resident student support that would have as its goal an initial 50% investment goal based on state interests and university performance. Additional investments for specific interest areas would be based on specific needs and justifications.

This portion of NAU's budget request for 2020 is based on the new investment model adopted two years ago and reflects the fact that growth in resident FTE students is important to serve an increasing number of Arizona students. Funding the growth of those students is an important component to reach the 50% funding goal included the model. The amount requested is the current per resident student support level of \$5,329. This is in alignment with other state caseload-based funding formulas that fund growth at current support levels. It also reflects the fact that the investment model's goal is to have the state fund 50% of costs, not \$7,898.

If funded, this investment will be used to invest in programs to support enrollment growth under the Enterprise Educate goal. Educating an increasing number of students in the classrooms or labs, and maintaining the appropriate classroom and lab space requires additional investments in increase course capacity. This means investments in the critical instructors and advisors necessary for both lower and upper division courses, and also the tenure track faculty needed to maintain program accreditation standards. A predictable state investment model allows NAU to continue to provide predictability in our tuition setting and allows us to continue to provide the guaranteed PLEDGE tuition to future students and their families.

Effect on University if Delayed or Not Funded

Progress toward meeting 2025 goals may be compromised. Enrollment growth to achieve those goals has already stressed staff and facilities throughout the university as well as limited the ability to take successful initiatives to a larger scale.

Alternatives

Arizona's public universities are committed to succeed as an integral part of Arizona's economic development plan, but without a state investment in funding to support the growth in enrollment there will be significant negative consequences on the universities' ability to address state needs.

Expenditures by Line Item:

	FY 2020	
	FTE	Amount
FTE	26.00	
Personal Services		1,689,300
ERE		787,600
All Other Operating:		
Professional and Outside Services.....		-
Travel In State.....		28,000
Travel Out of State.....		-
Other Operating Expenditures.....		20,300
Library Acquisitions.....		-
Capital/Equipment.....		16,700
Subtotal All Other Operating		65,000
TOTAL REQUEST	26.00	2,541,900

FTE Position Detail

	FY 2020	
	FTE	Amount
1. Faculty	16.00	1,276,800
2. Clerical	0.00	0
3. Support Staff	5.00	339,500
4. Graduate Assistants	5.00	73,000
5. Student Worker	0.00	0
6. Temporary	0.00	0
Total	26.00	1,689,300

NORTHERN ARIZONA UNIVERSITY
 FY 2020 Strategic Investment

State Investment Model - Funding per Resident FTE - Growth in FTE

Instruction Expenditures By Line Item	FY 2019	
	Detail	Amount
FTE Positions (See Listing on Next Page)		26.00
Personal Services		1,689,300
ERE		787,600
PROFESSIONAL AND OUTSIDE SERVICES		-
TRAVEL IN STATE		28,000
TRAVEL OUT OF STATE		-
OTHER OPERATING EXPENDITURES		20,300
LIBRARY ACQUISITIONS		-
EQUIPMENT		16,700
TOTAL		2,541,900

NORTHERN ARIZONA UNIVERSITY
 FY 2020 Strategic Investment

State Investment Model - Funding per Resident FTE - Growth in FTE

INSTRUCTION FTE POSITION DETAIL	FTE	FY 2020	
		SALARY PER FTE	PERSONAL SERVICES
1. Faculty	16.00	79,800	1,276,800
2. Clerical	0.00	41,400	-
3. Staff	5.00	67,900	339,500
4. Graduate Assistants	5.00	14,540	73,000
5. Student Worker	0.00	-	-
6. Temporary	0.00	-	-
TOTAL	<u>26.00</u>		<u>1,689,300</u>

	ERE Rate	ERE
1. Faculty	47%	600,100
2. Clerical	71%	-
3. Staff	52%	176,500
4. Graduate Assistants	15%	11,000
5. Student Worker	2%	-
6. Temporary	10%	-

ERE DETAIL	ERE AMOUNT
Total ERE	<u>787,600</u>

NORTHERN ARIZONA UNIVERSITY
FY 2019 Strategic Investment

Initiative **State Investment Model - Funding per Resident FTE - Progress to Goal**

Budget Program and Dollar Amount Requested:

\$ 17,576,200	\$ -		\$ -		\$ 17,576,200
Instruction	Org Research	Public Service	Acad Support	Student Services	Insttit Support Total

Description of Request

The FY20 State Budget Request from Northern Arizona University is being submitted in response to the Governor's request that the Arizona public universities refashion the strategic plan into a sustainable, long-term business plan with a sustainable-enterprise model that counts on the State as one of many investors. The recommendation brought forward two years ago was to build an investment relationship between the state and the public university system based on per-resident student support that would have as its goal an initial 50% investment goal based on state interests and university performance. Additional investments for specific interest areas would be based on specific needs and justifications.

This portion of NAU's budget request for 2020 is based on the new investment model adopted two years ago and reflects the fact that in order to achieve the 50 percent funding goal at current FTE levels, the state would need to increase state appropriations to the universities by \$262.8 million. For FY20, the proposed resident student budget request sets 2022 as the year in which the state will reach the 50% funding goal. To accomplish that using a three year "phase in", the amount requested is one-third of the total required. For NAU this amount is \$17,576,200.

If funded, this investment will be used to invest in programs to support enrollment growth under the Enterprise Educate goal, and also the Impact goal as well. Investments will be made to further expand Allied Health Programs at the Phoenix Biomedical Campus that serve important workforce needs in Arizona. Investments will be made in technology infrastructure and security as well as classroom technology. NAU will continue to innovate by adding new competency based education degree offerings, specifically targeting the current workforce, who often need to balance work and family in pursuit of a degree. A predictable state investment model allows NAU to continue to provide predictability in our tuition setting and allows us to continue to provide the guaranteed PLEDGE tuition to future students and their families. This base funding investment will also allow NAU to invest in TT Faculty and in both the recruiting and retention of faculty and staff.

Effect on University if Delayed or Not Funded

Progress toward meeting 2025 goals may be compromised. Enrollment growth to achieve those goals has already stressed staff and facilities throughout the university as well as limited the ability to take successful initiatives to a larger scale.

Alternatives

Arizona's public universities are committed to succeed as an integral part of Arizona's economic development plan, but without a state investment in funding to support the growth in enrollment there will be significant negative consequences on the universities' ability to address state needs.

Expenditures by Line Item:

	FY 2020	
	FTE	Amount
FTE.....	160.00	
Personal Services.....		9,417,000
ERE.....		4,440,900
All Other Operating:		
Professional and Outside Services.....		100,000
Travel In State.....		175,000
Travel Out of State.....		-
Other Operating Expenditures.....		2,443,300
Library Acquisitions.....		-
Capital/Equipment.....		1,000,000
Subtotal All Other Operating.....		3,718,300
TOTAL REQUEST	160.00	17,576,200

FTE Position Detail

	FY 2020	
	FTE	Amount
1. Faculty	80.00	6,384,000
2. Clerical	10.00	414,000
3. Support Staff	30.00	2,037,000
4. Graduate Assistants	40.00	582,000
5. Student Worker	0.00	0
6. Temporary	0.00	0
Total	160.00	9,417,000

NORTHERN ARIZONA UNIVERSITY
 FY 2020 Strategic Investment

State Investment Model - Funding per Resident FTE - Progress to Goal

Instruction Expenditures By Line Item	FY 2020	
	Detail	Amount
FTE Positions (See Listing on Next Page)		160.00
Personal Services		9,417,000
ERE		4,440,900
PROFESSIONAL AND OUTSIDE SERVICES		100,000
TRAVEL IN STATE		175,000
TRAVEL OUT OF STATE		-
OTHER OPERATING EXPENDITURES		2,443,300
LIBRARY ACQUISITIONS		-
EQUIPMENT		1,000,000
TOTAL		17,576,200

NORTHERN ARIZONA UNIVERSITY
 FY 2020 Strategic Investment

State Investment Model - Funding per Resident FTE - Progress to Goal

INSTRUCTION FTE POSITION DETAIL	FTE	FY 2020	
		SALARY PER FTE	PERSONAL SERVICES
1. Faculty	80.00	79,800	6,384,000
2. Clerical	10.00	41,400	414,000
3. Staff	30.00	67,900	2,037,000
4. Graduate Assistants	40.00	14,540	582,000
5. Student Worker	0.00	-	-
6. Temporary	0.00	-	-
TOTAL	<u>160.00</u>		<u>9,417,000</u>

	ERE Rate	ERE
1. Faculty	47%	3,000,500
2. Clerical	71%	293,900
3. Staff	52%	1,059,200
4. Graduate Assistants	15%	87,300
5. Student Worker	2%	-
6. Temporary	10%	-

ERE DETAIL	ERE AMOUNT
Total ERE	<u>4,440,900</u>

NORTHERN ARIZONA UNIVERSITY
FY 2020 Strategic Investment

Initiative **FY19 Supplemental Request - HITF Funding Restoration**

Budget Program and Dollar Amount Requested:

Instruction	Org Research	Public Service	Acad Support	Student Services	\$ 430,100 Instit Support	\$ 430,100 Total
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Description of Request

The FY20 State Budget Request from Northern Arizona University is being submitted in response to the Governor's request that the Arizona public universities refashion the strategic plan into a sustainable, long-term business plan with a sustainable-enterprise model that counts on the State as one of many investors. The recommendation brought forward is to build an investment relationship between the state and the public university system based on per-resident student support that would have as its goal an initial 50% investment goal based on state interests and university performance. Additional investments for specific interest areas would be based on specific needs and justifications.

Northern Arizona University seeks an FY19 supplemental funding appropriation of \$430,100 representing the impact from increased health insurance premiums on NAU due to a shortfall in the state's Health Insurance Trust Fund (HITF). Only a portion of the estimated cost increase was funded by state appropriation for FY19 and this request seeks to restore the funding shortfall to the university.

Expenditures by Line Item:

	FY 2020	
	FTE	Amount
FTE.....	0.00	
Personal Services.....		-
ERE.....		430,100
All Other Operating:		
Professional and Outside Services.....		-
Travel In State.....		-
Travel Out of State.....		-
Other Operating Expenditures.....		-
Library Acquisitions.....		-
Capital/Equipment.....		-
Subtotal All Other Operating.....		0
TOTAL REQUEST	0.00	430,100

Effect on University if Delayed or Not Funded

Progress toward meeting 2025 goals may be compromised if building capacity is not increased to support higher enrollment and investments are not made to maintain existing buildings.

Alternatives

Arizona's public universities are committed to succeed as an integral part of Arizona's economic development plan, but without a state investment in university facilities there will be significant negative consequences on the universities' ability to address state needs.

FTE Position Detail

	FY 2020	
	FTE	Amount
1. Faculty	0.00	0
2. Clerical	0.00	0
3. Support Staff	0.00	0
4. Graduate Assistants	0.00	0
5. Student Worker	0.00	0
6. Temporary	0.00	0
Total	0.00	0

NORTHERN ARIZONA UNIVERSITY
FY 2020 Strategic Investment

Initiative Adjustment of FY19 One Time Appropriation

Budget Program and Dollar Amount Requested:

	<u>\$ (3,000,000)</u>	<u>\$ (500,000)</u>			<u>\$ (1,590,200)</u>	<u>\$ (1,590,200)</u>
Instruction	Org Research	Public Service	Acad Support	Student Services	Instit Support	Total

Description of Request

The FY20 State Budget Request from Northern Arizona University is being submitted in response to the Governor’s request that the Arizona public universities refashion the strategic plan into a sustainable, long-term business plan with a sustainable-enterprise model that counts on the State as one of many investors. The recommendation brought forward two years ago is to build an investment relationship between the state and the public university system based on per-resident student support that would have as its goal an initial 50% investment goal based on state interests and university performance. Additional investments for specific interest areas would be based on specific needs and justifications.

FY20 represents the removal of the FY19 one-time appropriation of \$1.6 million to NAU. It also includes the removal of the FY19 one-time appropriation of \$500,000 in support of the Economic Policy Institute in the College of Business, and a \$3 million reduction from funds previously appropriated to NAU for the five year period FY15-FY19 for distribution to a nonprofit medical research foundation that specializes in biotechnology.

Effect on University if Delayed or Not Funded

Progress toward meeting 2025 goals may be compromised if building capacity is not increased to support higher enrollments.

Alternatives

Arizona’s public universities are committed to succeed as an integral part of Arizona’s economic development plan, but without a state investment in university facilities there will be significant negative consequences on the universities’ ability to address state needs.

Expenditures by Line Item:

	FY 2020	
	FTE	Amount
FTE.....	0.00	
Personal Services.....		-
ERE.....		-
All Other Operating:		
Professional and Outside Services.....		-
Travel In State.....		-
Travel Out of State.....		-
Other Operating Expenditures.....		(5,090,200)
Library Acquisitions.....		-
Capital/Equipment.....		
Subtotal All Other Operating.....		-5,090,200
TOTAL REQUEST	0.00	-5,090,200

FTE Position Detail

	FY 2020	
	FTE	Amount
1. Faculty	0.00	0
2. Clerical	0.00	0
3. Support Staff	0.00	0
4. Graduate Assistants	0.00	0
5. Student Worker	0.00	0
6. Temporary	0.00	0
Total	0.00	0

NORTHERN ARIZONA UNIVERSITY
HEALTH, DENTAL AND LIFE INSURANCE COSTS

		Number Enrolled		Number Enrolled		FY 19		FY 19 Annual NAU
		(State Funds)	7-1-18 to 12-31-18	(State Funds)	1-1-19 to 6-30-19	Annual Premium	Annual Premium	Portion of Premium
								Per Enrollee
HEALTH INSURANCE:								
Employee Only	BLUE CROSS/ BLUE SHIELD	651	604.13	651	604.13	4,719,464		
	AETNA HDHP	34	392.10	34	392.10	159,977		
	AETNA EPO	3	579.72	3	579.72	20,870		
	AETNA PPO	1	589.20	1	589.20	7,070		
	BCBSAZ EPO	21	579.72	21	579.72	146,089		
	BCBSAZ PPO	18	589.20	18	589.20	127,267		
	CIGNA EPO	4	579.72	4	579.72	27,827		
	UNITED HEALTHCARE EPO	14	579.72	14	579.72	97,393		
	UNITED HEALTHCARE PPO	4	589.20	4	589.20	28,282		
	Subtotal	750		750		\$ 5,334,238		
	Annual Premium per Enrollee					\$ 7,112.32		\$ 7,112.32
Employee + One Adult	BLUE CROSS/ BLUE SHIELD	346	1,215.62	346	1,215.62	5,047,254		
	AETNA HDHP	12	812.66	12	812.66	117,023		
	AETNA EPO	3	1,191.05	3	1,191.05	42,878		
	AETNA PPO	-	1,245.86	-	1,245.86	-		
	BCBSAZ EPO	14	1,191.05	14	1,191.05	200,096		
	BCBSAZ PPO	7	1,245.86	7	1,245.86	104,652		
	UNITED HEALTHCARE EPO	2	1,191.05	2	1,191.05	28,585		
	UNITED HEALTHCARE PPO	-	1,245.86	-	1,245.86	-		
	Subtotal	384		384		\$ 5,540,489		
	Annual Premium per Enrollee					\$ 14,428.36		\$ 14,428.36
Employee + One Child	BLUE CROSS/ BLUE SHIELD	141	926.19	141	926.19	1,567,113		
	AETNA EPO	1	773.66	1	773.66	9,284		
	AETNA HDHP	2	531.23	2	531.23	12,750		
	BCBSAZ EPO	5	773.66	5	773.66	46,420		
	BCBSAZ PPO	2	833.74	2	833.74	20,010		
	CIGNA EPO	2	773.66	2	773.66	18,568		
	UNITED HEALTHCARE EPO	1	773.66	1	773.66	9,284		
	Subtotal	154		154		\$ 1,683,428		
	Annual Premium per Enrollee					\$ 10,931.35		\$ 10,931.35
Family	BLUE CROSS/ BLUE SHIELD	513	1,741.60	513	1,741.60	10,721,290		
	AETNA EPO	2	1,304.89	2	1,304.89	31,317		
	AETNA PPO	-	1,453.51	-	1,453.51	-		
	AETNA HDHP	46	905.41	46	905.41	499,786		
	BCBSAZ EPO	29	1,304.89	29	1,304.89	454,102		
	BCBSAZ PPO	43	1,453.51	43	1,453.51	750,011		
	CIGNA EPO	4	1,304.89	4	1,304.89	62,635		
	UNITED HEALTHCARE EPO	4	1,304.89	4	1,304.89	62,635		
	UNITED HEALTHCARE PPO	4	1,453.51	4	1,453.51	69,768		
	Subtotal	645		645		\$ 12,651,544		
	Annual Premium per Enrollee					\$ 19,614.80		\$ 19,614.80
DENTAL INSURANCE:								
Employee Only	DELTA DENTAL	753	4.96	753	4.96	44,819		
	Subtotal	753		753		\$ 44,819		
	Annual Premium per Enrollee					\$ 59.52		\$ 59.52
Employee + One Dependent	DELTA DENTAL	518	9.92	518	9.92	61,663		
	Subtotal	518		518		\$ 61,663		
	Annual Premium per Enrollee					\$ 119.04		\$ 119.04
Family	DELTA DENTAL	597	13.70	597	13.70	98,147		
	Subtotal	597		597		\$ 98,147		
	Annual Premium per Enrollee					\$ 164.40		\$ 164.40
HEALTH INSURANCE:								
Employee Only					750	7,112.32	\$	5,334,200
Employee + One Adult					384	14,428.36	\$	5,540,500
Employee + One Child					154	10,931.35	\$	1,683,400
Family					645	19,614.80	\$	12,651,500
DENTAL INSURANCE:								
Employee Only					753	59.52	\$	44,800
Employee + One Dependent					518	119.04	\$	61,700
Family					597	164.40	\$	98,100
LIFE		2,082		2,082	2,082	18.00	\$	37,500
TOTAL HEALTH, DENTAL, & LIFE INSURANCE								\$ 25,451,700
DIVIDED BY TOTAL FY2018 BENEFIT ELIGIBLE EMPLOYEE HEADCOUNT								2,082
AVERAGE HEALTH, DENTAL, & LIFE INSURANCE PREMIUM ADJUSTED HEADCOUNT								\$ 12,225
Workers Comp Rate 0.37%				Unemployment Rate 0.15%				

NORTHERN ARIZONA UNIVERSITY

Northern Arizona University including Yuma					
FY 2018 Retirement System/Plan Expense					
	State Funds			Non-appropriated Funds	
	FTE	General Fund	Collections Fund	FTE	Expense
Arizona State Retirement System	1,124.94	7,464,569		1,024.46	5,745,081
University Optional Retirement Plan	816.89	4,777,417		249.60	1,582,878
Public Safety Personnel Retirement System	16.00	637,897		-	-
FY 2019 Retirement System/Plan Personal Services					
Arizona State Retirement System	1,177.09	71,395,815		1,074.19	53,449,671
University Optional Retirement Plan	784.17	67,378,469		263.06	18,538,909
Public Safety Personnel Retirement System	16.00	1,060,920		-	-
	FTE	State Funds			
FTE and Personal Services Exceeding the FICA Max	105.03	3,659,866			
FTE Positions Not Eligible for Health, Dental & Life	-	15,111,006			

Northern Arizona University - Yuma Only					
FY 2018 Retirement System/Plan Expense					
	State Funds			Non-appropriated Funds	
	FTE	General Fund	Collections Fund	FTE	Expense
Arizona State Retirement System	17.13	140,127		-	-
University Optional Retirement Plan	4.10	20,418		-	-
Public Safety Personnel Retirement System	-	-		-	-
FY 2019 Retirement System/Plan Personal Services					
Arizona State Retirement System	20.13	1,508,801		0.50	46,094
University Optional Retirement Plan	5.70	477,434		1.90	182,865
Public Safety Personnel Retirement System	-	-		-	-
	FTE	State Funds			
FTE and Personal Services Exceeding the FICA Max	2.00	50,081			
FTE Positions Not Eligible for Health, Dental & Life	-	242,600			

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2020

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

INSTRUCTION 4530

Expenditure Category	ACTUAL FY 2018	ESTIMATED FY 2019	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2020
FTE POSITIONS	1,316.50	1,359.90	186.00	0.00	1,545.90
PERSONAL SERVICES	100,177,600	95,076,800	11,106,300	-	106,183,100
EMPLOYEE RELATED EXP.	30,197,400	31,063,500	5,228,500	-	36,292,000
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	1,067,400	2,700,400	100,000	-	2,800,400
Travel In-State	177,700	207,200	203,000	-	410,200
Travel Out-of-State	152,900	-	-	-	-
Other Operating Expenditures	3,067,500	2,834,600	2,463,600	-	5,298,200
Library Acquisitions	-	-	-	-	-
Capitalized Equipment	106,000	174,700	1,016,700	-	1,191,400
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	4,571,500	5,916,900	3,783,300	-	9,700,200
TOTAL APPROPRIATED	134,946,500	132,057,200	20,118,100	-	152,175,300
Collections	46,134,400	40,155,100	-	-	40,155,100
Summer Sessions and Misc. Collections	1,700,000	1,900,000	-	-	1,900,000
Land Grant Endowment	725,000	725,000	-	-	725,000
Misc. Receipts	125,000	125,000	-	-	125,000
Balance Forward	-	-	-	-	-
Total Revenues & Receipts	48,684,400	42,905,100	-	-	42,905,100
TOTAL GENERAL FUND	86,262,100	89,152,100	20,118,100	-	109,270,200
FEDERAL FUNDS	3,442,600	3,477,000	34,800	-	3,511,800
OTHER FUNDS (Est.)	46,221,000	51,502,100	2,496,600	-	53,998,700
TOTAL NON-APPROPRIATED	49,663,600	54,979,100	2,531,400	-	57,510,500
TOTAL PROGRAM	184,610,100	187,036,300	22,649,500	-	209,685,800

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

Northern Arizona University
FY 2020 Operating Budget Request

Program	Actual FY18	Estimated FY19	CONTINUATION REQUEST						Subtotal Continuation
			NAU FY19 One Time Appropriation Adjustment	NAU FY20 State Investment Model - Per Resident FTE Funding - Growth	NAU FY20 State Investment Model - Per Resident FTE Funding - Progress	NAU FY19 Supplemental Request - HITF Reinstatement			
INSTRUCTION									
FTE	1,316.50	1,359.90	0.00	26.00	160.00	0.00	0.00	0.00	186.00
Personal Services	100,177.6	95,076.8		1,689.3	9,417.0				11,106.3
ERE	30,197.4	31,063.5		787.6	4,440.9				5,228.5
Operations	4,571.5	5,916.9	-	65.0	3,718.3	-	-	-	3,783.3
Prof & Out. Svc.	1,067.4	2,700.4			100.0				100.0
Travel In-State	177.7	207.2		28.0	175.0				203.0
Travel Out-of-State	152.9	-							-
Other Operating	2,186.8	1,588.3		20.3	2,443.3				2,463.6
Utilities	880.7	1,246.3							-
Equipment	106.0	174.7		16.7	1,000.0				1,016.7
Library Acquisitions	-	-							-
Transfer Out	-	-							-
Total	134,946.5	132,057.2	-	2,541.9	17,576.2	-	-	-	20,118.1

Program	Subtotal FY20 Request	STRATEGIC INVESTMENTS						Subtotal Strategic Investments	TOTAL FY20 Request
INSTRUCTION									
FTE	1,545.90			0.00	0.00	0.00	0.00	1,545.90	
Personal Services	106,183.1						-	106,183.1	
ERE	36,292.0						-	36,292.0	
Operations	9,700.2	-	-	-	-	-	-	9,700.2	
Prof & Out. Svc.	2,800.4						-	2,800.4	
Travel In-State	410.2						-	410.2	
Travel Out-of-State	-						-	-	
Other Operating	4,051.9						-	4,051.9	
Utilities	1,246.3						-	1,246.3	
Equipment	1,191.4						-	1,191.4	
Library Acquisitions	-						-	-	
Transfer Out	-						-	-	
Total	152,175.3	-	-	-	-	-	-	152,175.3	

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2020

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

ORGANIZED RESEARCH 4531

Expenditure Category	ACTUAL FY 2018	ESTIMATED FY 2019	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2020
FTE POSITIONS	24.90	27.10	0.00	0.00	27.10
PERSONAL SERVICES	2,787,900	3,278,600	-	-	3,278,600
EMPLOYEE RELATED EXP.	667,700	1,038,200	-	-	1,038,200
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	48,600	11,000	-	-	11,000
Travel In-State	9,000	26,000	-	-	26,000
Travel Out-of-State	33,800	-	-	-	-
Other Operating Expenditures	128,200	106,700	-	-	106,700
Library Acquisitions	-	-	-	-	-
Capitalized Equipment	30,000	17,000	-	-	17,000
Transfer Out**	3,000,000	3,000,000	(3,000,000)	-	-
Subtotal Other Operating Expenditures	3,249,600	3,160,700	(3,000,000)	-	160,700
TOTAL APPROPRIATED	6,705,200	7,477,500	(3,000,000)	-	4,477,500
Collections	3,705,200	4,477,500			4,477,500
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	3,705,200	4,477,500	-	-	4,477,500
TOTAL GENERAL FUND	3,000,000	3,000,000	(3,000,000)	-	-
FEDERAL FUNDS	30,272,500	30,575,200	305,700	-	30,880,900
OTHER FUNDS (Est.)	11,108,100	7,253,100	102,600	-	7,355,700
TOTAL NON-APPROPRIATED	41,380,600	37,828,300	408,300	-	38,236,600
TOTAL PROGRAM	48,085,800	45,305,800	(2,591,700)	-	42,714,100

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

**Reflects \$3,000,000 Appropriation transferred to TGEN.

Northern Arizona University
FY 2020 Operating Budget Request

Program	Actual FY18	Estimated FY19	CONTINUATION REQUEST						Subtotal Continuation
			NAU FY19 One Time Appropriation Adjustment	NAU FY20 State Investment Model - Per Resident FTE Funding - Growth	NAU FY20 State Investment Model - Per Resident FTE Funding - Progress	NAU FY19 Supplemental Request - HITF Reinstatement			
ORGANIZED RESEARCH									
FTE	24.90	27.10	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	2,787.9	3,278.6							-
ERE	667.7	1,038.2							-
Operations	3,249.6	3,160.7	(3,000.0)	-	-	-	-	-	(3,000.0)
Prof & Out. Svc.	48.6	11.0							-
Travel In-State	9.0	26.0							-
Travel Out-of-State	33.8	-							-
Other Operating	102.4	86.3							-
Utilities	25.8	20.4							-
Equipment	30.0	17.0							-
Library Acquisitions	-	-							-
Transfer Out	3,000.0	3,000.0	(3,000.0)						(3,000.0)
Total	6,705.2	7,477.5	(3,000.0)	-	-	-	-	-	(3,000.0)

Program	Subtotal FY20 Request	STRATEGIC INVESTMENTS						Subtotal Strategic Investments	TOTAL FY20 Request
ORGANIZED RESEARCH									
FTE	27.10			0.00	0.00	0.00	0.00	27.10	
Personal Services	3,278.6							3,278.6	
ERE	1,038.2							1,038.2	
Operations	160.7	-	-	-	-	-	-	160.7	
Prof & Out. Svc.	11.0							11.0	
Travel In-State	26.0							26.0	
Travel Out-of-State	-							-	
Other Operating	86.3							86.3	
Utilities	20.4							20.4	
Equipment	17.0							17.0	
Library Acquisitions	-							-	
Transfer Out	-							-	
Total	4,477.5	-	-	-	-	-	-	4,477.5	

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2020

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

PUBLIC SERVICE 4532

Expenditure Category	ACTUAL FY 2018	ESTIMATED FY 2019	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2020
FTE POSITIONS	25.50	31.20	0.00	0.00	31.20
PERSONAL SERVICES	1,649,600	2,161,900	-	-	2,161,900
EMPLOYEE RELATED EXP.	569,400	679,100	-	-	679,100
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	2,211,400	2,157,000	-	-	2,157,000
Travel In-State	39,700	27,000	-	-	27,000
Travel Out-of-State	38,800	-	-	-	-
Other Operating Expenditures	127,300	694,900	(500,000)	-	194,900
Library Acquisitions	-	-	-	-	-
Capitalized Equipment	-	21,000	-	-	21,000
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	2,417,200	2,899,900	(500,000)	-	2,399,900
TOTAL APPROPRIATED	4,636,200	5,740,900	(500,000)	-	5,240,900
Collections	1,775,200	2,784,000			2,784,000
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	1,775,200	2,784,000	-	-	2,784,000
TOTAL GENERAL FUND	2,861,000	2,956,900	(500,000)	-	2,456,900
FEDERAL FUNDS	18,571,000	18,756,800	187,700	-	18,944,500
OTHER FUNDS (Est.)	12,102,000	14,105,400	1,938,300	-	16,043,700
TOTAL NON-APPROPRIATED	30,673,000	32,862,200	2,126,000	-	34,988,200
TOTAL PROGRAM	35,309,200	38,603,100	1,626,000	-	40,229,100

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

Northern Arizona University
FY 2020 Operating Budget Request

Program	Actual FY18	Estimated FY19	CONTINUATION REQUEST						Subtotal Continuation
			NAU FY19 One Time Appropriation Adjustment	NAU FY20 State Investment Model - Per Resident FTE Funding - Growth	NAU FY20 State Investment Model - Per Resident FTE Funding - Progress	NAU FY19 Supplemental Request - HITF Reinstatement			
PUBLIC SERVICE									
FTE	25.50	31.20	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	1,649.6	2,161.9							-
ERE	569.4	679.1							-
Operations	2,417.2	2,899.9	(500.0)	-	-	-	-	-	(500.0)
Prof & Out. Svc.	2,211.4	2,157.0							-
Travel In-State	39.7	27.0							-
Travel Out-of-State	38.8	-							-
Other Operating	90.1	660.2	(500.0)						(500.0)
Utilities	37.2	34.7							-
Equipment	-	21.0							-
Library Acquisitions	-	-							-
Transfer Out	-	-							-
Total	4,636.2	5,740.9	(500.0)	-	-	-	-	-	(500.0)

Program	Subtotal FY20 Request	STRATEGIC INVESTMENTS						Subtotal Strategic Investments	TOTAL FY20 Request
PUBLIC SERVICE									
FTE	31.20			0.00	0.00	0.00	0.00	31.20	
Personal Services	2,161.9						-	2,161.9	
ERE	679.1						-	679.1	
Operations	2,399.9	-	-	-	-	-	-	2,399.9	
Prof & Out. Svc.	2,157.0						-	2,157.0	
Travel In-State	27.0						-	27.0	
Travel Out-of-State	-						-	-	
Other Operating	160.2						-	160.2	
Utilities	34.7						-	34.7	
Equipment	21.0						-	21.0	
Library Acquisitions	-						-	-	
Transfer Out	-						-	-	
Total	5,240.9	-	-	-	-	-	-	5,240.9	

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2020

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

ACADEMIC SUPPORT 4533

Expenditure Category	ACTUAL FY 2018	ESTIMATED FY 2019	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2020
FTE POSITIONS	265.80	291.10	0.00	0.00	291.10
PERSONAL SERVICES	16,824,300	20,462,000	-	-	20,462,000
EMPLOYEE RELATED EXP.	5,557,500	6,444,800	-	-	6,444,800
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	2,217,900	330,100	-	-	330,100
Travel In-State	43,900	120,200	-	-	120,200
Travel Out-of-State	149,000	-	-	-	-
Other Operating Expenditures	1,587,600	1,102,500	-	-	1,102,500
Library Acquisitions	2,014,500	2,304,600	-	-	2,304,600
Capitalized Equipment	115,300	132,000	-	-	132,000
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	6,128,200	3,989,400	-	-	3,989,400
TOTAL APPROPRIATED	28,510,000	30,896,200	-	-	30,896,200
Collections	28,510,000	30,896,200			30,896,200
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	28,510,000	30,896,200	-	-	30,896,200
TOTAL GENERAL FUND	-	-	-	-	-
FEDERAL FUNDS	-	-	-	-	-
OTHER FUNDS (Est.)	3,607,800	3,577,300	249,900	-	3,827,200
TOTAL NON-APPROPRIATED	3,607,800	3,577,300	249,900	-	3,827,200
TOTAL PROGRAM	32,117,800	34,473,500	249,900	-	34,723,400

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

Northern Arizona University
FY 2020 Operating Budget Request

Program	Actual FY18	Estimated FY19	CONTINUATION REQUEST						Subtotal Continuation
			NAU FY19 One Time Appropriation Adjustment	NAU FY20 State Investment Model - Per Resident FTE Funding - Growth	NAU FY20 State Investment Model - Per Resident FTE Funding - Progress	NAU FY19 Supplemental Request - HITF Reinstatement			
ACADEMIC SUPPORT									
FTE	265.80	291.10	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	16,824.3	20,462.0							-
ERE	5,557.5	6,444.8							-
Operations	6,128.2	3,989.4	-	-	-	-	-	-	-
Prof & Out. Svc.	2,217.9	330.1							-
Travel In-State	43.9	120.2							-
Travel Out-of-State	149.0	-							-
Other Operating	1,237.5	845.2							-
Utilities	350.1	257.3							-
Equipment	115.3	132.0							-
Library Acquisitions	2,014.5	2,304.6							-
Transfer Out	-	-							-
Total	28,510.0	30,896.2	-	-	-	-	-	-	-

Program	Subtotal FY20 Request	STRATEGIC INVESTMENTS						Subtotal Strategic Investments	TOTAL FY20 Request
ACADEMIC SUPPORT									
FTE	291.10			0.00	0.00	0.00	0.00	291.10	
Personal Services	20,462.0						-	20,462.0	
ERE	6,444.8						-	6,444.8	
Operations	3,989.4	-	-	-	-	-	-	3,989.4	
Prof & Out. Svc.	330.1						-	330.1	
Travel In-State	120.2						-	120.2	
Travel Out-of-State	-						-	-	
Other Operating	845.2						-	845.2	
Utilities	257.3						-	257.3	
Equipment	132.0						-	132.0	
Library Acquisitions	2,304.6						-	2,304.6	
Transfer Out	-						-	-	
Total	30,896.2	-	-	-	-	-	-	30,896.2	

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2020

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

STUDENT SERVICES 4534

Expenditure Category	ACTUAL FY 2018	ESTIMATED FY 2019	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2020
FTE POSITIONS	330.80	249.10	0.00	0.00	249.10
PERSONAL SERVICES	14,033,000	14,791,700	-	-	14,791,700
EMPLOYEE RELATED EXP.	4,812,600	4,597,400	-	-	4,597,400
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	3,830,300	592,000	-	-	592,000
Travel In-State	70,600	89,900	-	-	89,900
Travel Out-of-State	27,800	-	-	-	-
Other Operating Expenditures	403,600	3,938,200	-	-	3,938,200
Library Acquisitions	-	-	-	-	-
Capitalized Equipment	8,500	-	-	-	-
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	4,340,800	4,620,100	-	-	4,620,100
TOTAL APPROPRIATED	23,186,400	24,009,200	-	-	24,009,200
Collections	21,903,400	22,683,200			22,683,200
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	21,903,400	22,683,200	-	-	22,683,200
TOTAL GENERAL FUND	1,283,000	1,326,000	-	-	1,326,000
FEDERAL FUNDS	48,973,500	49,463,200	494,600	-	49,957,800
OTHER FUNDS (Est.)	89,263,300	94,851,500	5,255,500	-	100,107,000
TOTAL NON-APPROPRIATED	138,236,800	144,314,700	5,750,100	-	150,064,800
TOTAL PROGRAM	161,423,200	168,323,900	5,750,100	-	174,074,000

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

** Student Support Includes scholarships and fellowships

Northern Arizona University
FY 2020 Operating Budget Request

Program	Actual FY18	Estimated FY19	CONTINUATION REQUEST						Subtotal Continuation
			NAU FY19 One Time Appropriation Adjustment	NAU FY20 State Investment Model - Per Resident FTE Funding - Growth	NAU FY20 State Investment Model - Per Resident FTE Funding - Progress	NAU FY19 Supplemental Request - HITF Reinstatement			
STUDENT SERVICES									
FTE	330.80	249.10	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	14,033.0	14,791.7							-
ERE	4,812.6	4,597.4							-
Operations	4,340.8	4,620.1	-	-	-	-	-	-	-
Prof & Out. Svc.	3,830.3	592.0							-
Travel In-State	70.6	89.9							-
Travel Out-of-State	27.8	-							-
Other Operating	217.8	3,690.3							-
Utilities	185.8	247.9							-
Equipment	8.5	-							-
Library Acquisitions	-	-							-
Transfer Out	-	-							-
Total	23,186.4	24,009.2	-	-	-	-	-	-	-

Program	Subtotal FY20 Request	STRATEGIC INVESTMENTS						Subtotal Strategic Investments	TOTAL FY20 Request
STUDENT SERVICES									
FTE	249.10			0.00	0.00	0.00	0.00	249.10	
Personal Services	14,791.7						-	14,791.7	
ERE	4,597.4						-	4,597.4	
Operations	4,620.1	-	-	-	-	-	-	4,620.1	
Prof & Out. Svc.	592.0						-	592.0	
Travel In-State	89.9						-	89.9	
Travel Out-of-State	-						-	-	
Other Operating	3,690.3						-	3,690.3	
Utilities	247.9						-	247.9	
Equipment	-						-	-	
Library Acquisitions	-						-	-	
Transfer Out	-						-	-	
Total	24,009.2	-	-	-	-	-	-	24,009.2	

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2020

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

INSTITUTIONAL SPT 4535

Expenditure Category	ACTUAL FY 2018	ESTIMATED FY 2019	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2020
FTE POSITIONS	323.60	332.20	0.00	0.00	332.20
PERSONAL SERVICES	22,683,100	25,207,000	-	-	25,207,000
EMPLOYEE RELATED EXP.	8,335,900	8,024,800	430,100	-	8,454,900
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	5,753,500	4,496,200	-	-	4,496,200
Travel In-State	41,100	90,300	-	-	90,300
Travel Out-of-State	84,200	-	-	-	-
Other Operating Expenditures	27,880,200	31,868,900	(1,590,200)	-	30,278,700
Library Acquisitions	110,500	-	-	-	-
Capitalized Equipment	145,600	115,000	-	-	115,000
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	34,015,100	36,570,400	(1,590,200)	-	34,980,200
TOTAL APPROPRIATED	65,034,100	69,802,200	(1,160,100)	-	68,642,100
Collections	52,852,900	57,212,900			57,212,900
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	52,852,900	57,212,900	-	-	57,212,900
TOTAL GENERAL FUND	12,181,200	12,589,300	(1,160,100)	-	11,429,200
FEDERAL FUNDS	4,400	4,500	-	-	4,500
OTHER FUNDS (Est.)	22,891,300	24,553,900	1,841,400	-	26,395,300
TOTAL NON-APPROPRIATED	22,895,700	24,558,400	1,841,400	-	26,399,800
TOTAL PROGRAM	87,929,800	94,360,600	681,300	-	95,041,900

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

** Institutional support includes operations and maintenance.

Northern Arizona University
FY 2020 Operating Budget Request

Program	Actual FY18	Estimated FY19	CONTINUATION REQUEST						Subtotal Continuation
			NAU FY19 One Time Appropriation Adjustment	NAU FY20 State Investment Model - Per Resident FTE Funding - Growth	NAU FY20 State Investment Model - Per Resident FTE Funding - Progress	NAU FY19 Supplemental Request - HITF Reinstatement			
INSTITUTIONAL SUPPORT									
FTE	323.60	332.20	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	22,683.1	25,207.0							-
ERE	8,335.9	8,024.8				430.1			430.1
Operations	34,015.1	36,570.4	(1,590.2)	-	-	-	-	-	(1,590.2)
Prof & Out. Svc.	5,753.5	4,496.2							-
Travel In-State	41.1	90.3							-
Travel Out-of-State	84.2	-							-
Other Operating	18,547.9	22,059.1	(1,590.2)						(1,590.2)
Utilities	9,332.3	9,809.8							-
Equipment	145.6	115.0							-
Library Acquisitions	110.5	-							-
Transfer Out	-	-							-
Total	65,034.1	69,802.2	(1,590.2)	-	-	430.1	-	-	(1,160.1)

Program	Subtotal FY20 Request	STRATEGIC INVESTMENTS						Subtotal Strategic Investments	TOTAL FY20 Request
INSTITUTIONAL SUPPORT									
FTE	332.20			0.00	0.00	0.00	0.00	332.20	
Personal Services	25,207.0			-	-	-	-	25,207.0	
ERE	8,454.9			-	-	-	-	8,454.9	
Operations	34,980.2	-	-	-	-	-	-	34,980.2	
Prof & Out. Svc.	4,496.2							4,496.2	
Travel In-State	90.3							90.3	
Travel Out-of-State	-							-	
Other Operating	20,468.9							20,468.9	
Utilities	9,809.8							9,809.8	
Equipment	115.0							115.0	
Library Acquisitions	-							-	
Transfer Out	-							-	
Total	68,642.1	-	-	-	-	-	-	68,642.1	

STATE OF ARIZONA
 "BELOW THE LINE ITEMS" AND OTHER EXPENSES FY 2019

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 1421

Program

NAU YUMA

Expenditure Category	ACTUAL FY 2018	ESTIMATED FY 2019	CONTINUATION REQUEST	STRATEGIC INVESTMENTS	TOTAL REQ FY 2020
FTE POSITIONS	29.40	25.90	0.00	0.00	25.90
PERSONAL SERVICES	1,884,100	2,221,900	-	-	2,221,900
EMPLOYEE RELATED EXP.	580,900	652,200	-	-	652,200
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	402,800	110,300	-	-	110,300
Travel In-State	6,100	27,700	-	-	27,700
Travel Out-of-State	14,100	-	-	-	-
Other Operating Expenditures	110,700	29,300	-	-	29,300
Library Acquisitions	26,800	30,000	-	-	30,000
Capitalized Equipment	-	-	-	-	-
Transfer Out	-	-	-	-	-
Subtotal Other Operating Expenditures	560,500	197,300	-	-	197,300
TOTAL APPROPRIATED	<u>3,025,500</u>	<u>3,071,400</u>	<u>-</u>	<u>-</u>	<u>3,071,400</u>
Collections					-
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	-	-	-	-	-
TOTAL GENERAL FUND	<u>3,025,500</u>	<u>3,071,400</u>	<u>-</u>	<u>-</u>	<u>3,071,400</u>
FEDERAL FUNDS	-	-	-	-	-
OTHER FUNDS (Est.)	53,700	56,500	2,900	-	59,400
TOTAL NON-APPROPRIATED	53,700	56,500	2,900	-	59,400
TOTAL PROGRAM	<u>3,079,200</u>	<u>3,127,900</u>	<u>2,900</u>	<u>-</u>	<u>3,130,800</u>

Northern Arizona University
FY 2020 Operating Budget Request

Program	Actual FY18	Estimated FY19	CONTINUATION REQUEST						Subtotal Continuation
			NAU FY19 One Time Appropriation Adjustment	NAU FY20 State Investment Model - Per Resident FTE Funding - Growth	NAU FY20 State Investment Model - Per Resident FTE Funding - Progress	NAU FY19 Supplemental Request - HITF Reinstatement			
NAU YUMA									
FTE	29.40	25.90	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Personal Services	1,884.1	2,221.9							-
ERE	580.9	652.2							-
Operations	560.5	197.3	-	-	-	-	-	-	-
Prof & Out. Svc.	402.8	110.3							-
Travel In-State	6.1	27.7							-
Travel Out-of-State	14.1	-							-
Other Operating	76.6	2.5							-
Utilities	34.1	26.8							-
Equipment	-	-							-
Library Acquisitions	26.8	30.0							-
Transfer Out	-	-							-
Total	3,025.5	3,071.4	-	-	-	-	-	-	-

Program	Subtotal FY20 Request	STRATEGIC INVESTMENTS						Subtotal Strategic Investments	TOTAL FY20 Request
NAU YUMA									
FTE	25.90			0.00	0.00	0.00	0.00	25.90	
Personal Services	2,221.9						-	2,221.9	
ERE	652.2						-	652.2	
Operations	197.3	-	-	-	-	-	-	197.3	
Prof & Out. Svc.	110.3						-	110.3	
Travel In-State	27.7						-	27.7	
Travel Out-of-State	-						-	-	
Other Operating	2.5						-	2.5	
Utilities	26.8						-	26.8	
Equipment	-						-	-	
Library Acquisitions	30.0						-	30.0	
Transfer Out	-						-	-	
Total	3,071.4	-	-	-	-	-	-	3,071.4	

STATE OF ARIZONA
SUMMARY OF EXPENDITURES AND BUDGET REQUEST FOR FY 2020

NORTHERN ARIZONA UNIVERSITY 0440
FUND: 8906

Program

AUXILIARY

<u>Expenditure Category</u>	<u>ACTUAL FY 2018</u>	<u>ESTIMATED FY 2019</u>	<u>CONTINUATION REQUEST</u>	<u>STRATEGIC INVESTMENTS</u>	<u>TOTAL REQ FY 2020</u>
FTE POSITIONS	0.00	0.00	0.00	0.00	0
PERSONAL SERVICES	-	-	-	-	-
EMPLOYEE RELATED EXP.	-	-	-	-	-
OTHER OPERATING EXPENDITURES					
Professional & Outside Services	-	-	-	-	-
Travel In-State	-	-	-	-	-
Travel Out-of-State	-	-	-	-	-
Other Operating Expenditures	-	-	-	-	-
Library Acquisitions	-	-	-	-	-
Capitalized Equipment	-	-	-	-	-
Transfer Out	-	-	-	-	-
TOTAL APPROPRIATED	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Collections					-
Summer Sessions and Misc. Collections					-
Land Grant Endowment					-
Misc. Receipts					-
Balance Forward					-
Total Revenues & Receipts	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL GENERAL FUND	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
FEDERAL FUNDS	-	-	-	-	-
OTHER FUNDS (Est.)	<u>103,370,600</u>	<u>110,203,500</u>	<u>7,340,900</u>	<u>-</u>	<u>117,544,400</u>
TOTAL NON-APPROPRIATED	<u>103,370,600</u>	<u>110,203,500</u>	<u>7,340,900</u>	<u>-</u>	<u>117,544,400</u>
TOTAL PROGRAM	<u>103,370,600</u>	<u>110,203,500</u>	<u>7,340,900</u>	<u>-</u>	<u>117,544,400</u>

* Figures exclude FTE and dollars for NAU Yuma which is reported separately.

SUMMARY OF FEDERAL PROJECTS FY 2020

NORTHERN ARIZONA UNIVERSITY 0440
Fund 2000

PROGRAM: UNIVERSITY TOTAL

PROJECT TITLE	ACTUAL FY 2018	ESTIMATED FY 2019	INCREASE (DECREASE)	ESTIMATED FY 2020
INSTRUCTION	3,442,600	3,477,000	34,800	3,511,800
RESEARCH	30,272,500	30,575,200	305,700	30,880,900
PUBLIC SERVICE	18,571,000	18,756,800	187,700	18,944,500
ACADEMIC SUPPORT	0	0	0	0
STUDENT SERVICES	2,180,500	2,202,300	22,100	2,224,400
SCHOLARSHIPS AND FELLOWSHIPS	46,793,000	47,260,900	472,500	47,733,400
INSTITUTIONAL SUPPORT	4,400	4,500	0	4,500
OPERATIONS AND MAINT OF PLANT	0	0	0	0
UNIVERSITY TOTAL	101,264,000	102,276,700	1,022,800	103,299,500

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2020**

SUMMARY

Expenditure Category	Actual FY2018	Estimated FY2019	Increase/ Decrease	Request FY2020
FTE Positions	297.4	300.2	14.4	314.6
Personal Services	16,463,700	16,628,400	166,300	16,794,700
Employee Related Expenditures	4,656,600	4,703,200	47,100	4,750,300
All Other Operating Expenditures				
Professional and Outside Services	10,379,700	10,483,600	104,800	10,588,400
Travel In State	410,300	414,400	4,200	418,600
Travel Out of State	833,100	841,400	8,400	849,800
Other Operating	48,028,500	48,508,800	485,100	48,993,900
Equipment	1,338,900	1,352,300	13,500	1,365,800
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	82,110,800	82,932,100	829,400	83,761,500
Indirect Costs	9,519,100	9,614,200	96,100	9,710,300
TOTAL EXPENDITURES	91,629,900	92,546,300	925,500	93,471,800
Pass Through Funds - State Agencies	2,995,900	3,025,900	27,800	3,056,200
Pass Through Funds - Non State Agencies	6,638,200	6,704,500	50,800	6,771,500
SUBTOTAL	9,634,100	9,730,400	78,600	9,827,700
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	101,264,000	102,276,700	1,004,100	103,299,500

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2020

Summary by Agency	No. of Projects	Actual FY2018	Estimated FY2019	Estimated FY2020
Department of Health and Human Services	34	3,679,100	3,715,900	3,753,000
Health Resources & Services Admin	3	828,500	836,700	845,100
National Science Foundation	101	7,482,500	7,557,400	7,633,000
U.S. Department of Education	30	55,365,900	55,919,600	56,478,800
NASA	22	1,862,300	1,880,900	1,899,700
Environmental Protection Agency	18	2,661,700	2,688,400	2,715,300
U.S. Department of Commerce	1	94,100	95,000	96,000
U.S. Department of Energy	3	1,686,500	1,703,400	1,720,400
U.S. Department of Agriculture	9	494,200	499,100	504,000
Bureau of Reclamation	1	360	400	400
National Park Service	35	818,500	826,700	835,000
Bureau of Land Management	18	1,001,200	1,011,200	1,021,300
Department of Justice	4	646,700	653,200	659,700
U.S. Geological Survey	29	1,269,100	1,281,800	1,294,600
U.S. Department of Defense	3	564,500	570,100	575,800
National Renewable Energy Laboratory	3	59,000	59,500	60,000
Defense Threat Reduction Agency	4	2,488,000	2,512,900	2,538,000
Jet Propulsion Laboratory	9	236,700	239,100	241,500
Oak Ridge National Laboratory	1	54,600	55,100	55,700
National Institute of Food and Agriculture	3	231,200	233,500	235,800
Pacific Northwest National Laboratory	2	451,800	456,300	460,900
Department of Homeland Security	4	1,113,300	1,124,400	1,135,600
Bureau of Indian Affairs	2	366,500	370,100	373,900
Forest Service	46	2,133,500	2,154,900	2,176,500
National Institutes of Health	32	4,387,500	4,431,400	4,475,700
Corp for National Community Service	10	1,361,600	1,375,200	1,389,000
Natural Resource Conservation Service	2	50,700	51,200	51,700
Naval Facilities Engineering Command SW	1	10,200	10,300	10,400
Centers for Disease Control & Prevention	1	111,300	112,400	113,500
Lawrence Livermore National Laboratory	1	30,700	31,000	31,300
Indian Health Service	4	86,900	87,800	88,700
Argonne National Laboratory	1	1,040	1,100	1,100
Federal thru State Agency	59	2,996,000	3,026,000	3,056,300
Federal thru Local Agency	63	3,518,000	3,553,300	3,588,900
Federal thru Misc. Private	47	3,120,300	3,151,400	3,182,900
TOTAL	606	101,264,000	102,276,700	103,299,500

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2020**

INSTRUCTION

Expenditure Category	Actual FY2018	Estimated FY2019	Increase/ Decrease	Request FY2020
FTE Positions	12.30	12.40	0.60	13.00
Personal Services	678,600	685,400	6,900	692,300
Employee Related Expenditures	187,000	188,900	1,900	190,800
All Other Operating Expenditures				
Professional and Outside Services	620,100	626,300	6,300	632,600
Travel In State	21,600	21,800	200	22,000
Travel Out of State	43,800	44,200	400	44,600
Other Operating	408,800	412,900	4,100	417,000
Equipment	-	-	-	-
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	1,959,900	1,979,500	19,800	1,999,300
Indirect Costs	190,200	192,100	1,900	194,000
TOTAL EXPENDITURES	2,150,100	2,171,600	21,700	2,193,300
Pass Through Funds - State Agencies	679,500	686,300	10,200	693,200
Pass Through Funds - Non State Agencies	613,000	619,100	7,100	625,300
SUBTOTAL	1,292,500	1,305,400	13,100	1,318,500
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	3,442,600	3,477,000	34,800	3,511,800

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2020

INSTRUCTION	No. of Projects	Actual FY2018	Estimated FY2019	Estimated FY2020
Department of Health and Human Services	1	113,300	114,400	115,500
Health Resources & Services Admin	2	643,600	650,000	656,500
National Science Foundation	4	98,700	99,700	100,700
U.S. Department of Education	8	1,179,500	1,191,300	1,203,200
National Renewable Energy Laboratory	1	13,200	13,300	13,400
National Institutes of Health	1	101,600	102,600	103,600
Federal thru State Agency	10	679,600	686,400	693,300
Federal thru Local Agency	1	800	900	1,000
Federal thru Misc. Private	3	612,300	618,400	624,600
TOTAL	31	3,442,600	3,477,000	3,511,800

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2020**

RESEARCH

Expenditure Category	Actual FY2018	Estimated FY2019	Increase/ Decrease	Request FY2020
FTE Positions	182.80	184.50	8.90	193.40
Personal Services	10,120,900	10,222,100	102,200	10,324,300
Employee Related Expenditures	2,676,800	2,703,600	27,000	2,730,600
All Other Operating Expenditures			-	
Professional and Outside Services	3,821,300	3,859,600	38,500	3,898,100
Travel In State	261,700	264,300	2,700	267,000
Travel Out of State	531,300	536,600	5,400	542,000
Other Operating	799,100	807,100	8,100	815,200
Equipment	1,338,900	1,352,300	13,500	1,365,800
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	19,550,000	19,745,600	197,400	19,943,000
Indirect Costs	7,198,500	7,270,400	72,700	7,343,100
TOTAL EXPENDITURES	26,748,500	27,016,000	270,100	27,286,100
Pass Through Funds - State Agencies	524,800	530,000	5,300	535,300
Pass Through Funds - Non State Agencies	2,999,200	3,029,200	30,300	3,059,500
SUBTOTAL	3,524,000	3,559,200	35,600	3,594,800
Land Acquisition and Capital Projects			-	-
TOTAL EXPENDITURES	30,272,500	30,575,200	305,700	30,880,900

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2020

RESEARCH	No. of Projects	Actual FY2018	Estimated FY2019	Estimated FY2020
Department of Health and Human Services	18	1,450,100	1,464,600	1,479,200
National Science Foundation	89	6,977,200	7,047,000	7,117,500
U.S. Department of Education	1	145,900	147,400	148,900
NASA	20	1,244,400	1,256,800	1,269,400
U.S. Department of Energy	3	1,686,500	1,703,400	1,720,400
U.S. Department of Agriculture	7	390,500	394,400	398,300
Bureau of Reclamation	1	360	400	400
National Park Service	33	771,700	779,400	787,200
Bureau of Land Management	17	989,000	998,900	1,008,900
U.S. Geological Survey	29	1,269,100	1,281,800	1,294,600
U.S. Department of Defense	3	564,500	570,100	575,800
National Renewable Energy Laboratory	1	33,700	34,000	34,300
Defense Threat Reduction Agency	4	2,488,000	2,512,900	2,538,000
Jet Propulsion Laboratory	9	236,700	239,100	241,500
Oak Ridge National Laboratory	1	54,600	55,100	55,700
National Institute of Food and Agriculture	3	231,200	233,500	235,800
Pacific Northwest National Laboratory	2	451,800	456,300	460,900
Department of Homeland Security	4	1,113,300	1,124,400	1,135,600
Bureau of Indian Affairs	1	34,800	35,100	35,500
Forest Service	45	2,125,300	2,146,600	2,168,100
National Institutes of Health	31	4,285,900	4,328,800	4,372,100
Natural Resource Conservation Service	2	50,700	51,200	51,700
Naval Facilities Engineering Command SW	1	10,200	10,300	10,400
Centers for Disease Control & Prevention	1	111,300	112,400	113,500
Lawrence Livermore National Laboratory	1	30,700	31,000	31,300
Argonne National Laboratory	1	1,040	1,100	1,100
Federal thru State Agency	18	524,800	530,000	535,300
Federal thru Local Agency	38	1,275,500	1,288,300	1,301,200
Federal thru Misc. Private	35	1,723,700	1,740,900	1,758,300
TOTAL	419	30,272,500	30,575,200	30,880,900

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2020**

PUBLIC SERVICE

Expenditure Category	Actual FY2018	Estimated FY2019	Increase/ Decrease	Request FY2020
FTE Positions	74.00	74.70	3.60	78.30
Personal Services	4,095,900	4,136,900	41,400	4,178,300
Employee Related Expenditures	1,480,300	1,495,100	15,000	1,510,100
All Other Operating Expenditures			-	
Professional and Outside Services	5,710,000	5,767,100	57,700	5,824,800
Travel In State	117,600	118,800	1,200	120,000
Travel Out of State	238,800	241,200	2,400	243,600
Other Operating	157,000	158,600	1,600	160,200
Equipment	-	-	-	-
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	11,799,600	11,917,700	119,300	12,037,000
Indirect Costs	2,021,400	2,041,600	20,400	2,062,000
TOTAL EXPENDITURES	13,821,000	13,959,300	139,700	14,099,000
Pass Through Funds - State Agencies	1,787,200	1,805,100	12,300	1,823,200
Pass Through Funds - Non State Agencies	2,962,800	2,992,400	12,800	3,022,300
SUBTOTAL	4,750,000	4,797,500	48,000	4,845,500
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	18,571,000	18,756,800	187,700	18,944,500

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2020

PUBLIC SERVICE	No. of Projects	Actual FY2018	Estimated FY2019	Estimated FY2020
Department of Health and Human Services	10	1,510,200	1,525,300	1,540,600
Health Resources & Services Admin	1	184,900	186,700	188,600
U.S. Department of Education	9	6,142,300	6,203,800	6,265,800
NASA	2	617,900	624,100	630,300
Environmental Protection Agency	18	2,661,700	2,688,400	2,715,300
U.S. Department of Commerce	1	94,100	95,000	96,000
U.S. Department of Agriculture	2	103,700	104,700	105,700
National Park Service	2	46,800	47,300	47,800
Bureau of Land Management	1	12,200	12,300	12,400
Department of Justice	4	646,700	653,200	659,700
National Renewable Energy Laboratory	1	12,100	12,200	12,300
Bureau of Indian Affairs	1	331,700	335,000	338,400
Forest Service	1	8,200	8,300	8,400
Corp for National Community Service	10	1,361,600	1,375,200	1,389,000
Indian Health Service	4	86,900	87,800	88,700
Federal thru State Agency	29	1,787,200	1,805,100	1,823,200
Federal thru Local Agency	23	2,178,500	2,200,300	2,222,300
Federal thru Misc. Private	9	784,300	792,100	800,000
TOTAL	128	18,571,000	18,756,800	18,944,500

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2020**

ACADEMIC SUPPORT

Expenditure Category	Actual FY2018	Estimated FY2019	Increase/ Decrease	Request FY2020
FTE Positions	0.00	0.00	0.00	0.00
Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
All Other Operating Expenditures				
Professional and Outside Services	-	-	-	-
Travel In State	-	-	-	-
Travel Out of State	-	-	-	-
Other Operating	-	-	-	-
Equipment	-	-	-	-
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	-	-	-	-
Indirect Costs	-	-	-	-
TOTAL EXPENDITURES	-	-	-	-
Pass Through Funds - State Agencies	-	-	-	-
Pass Through Funds - Non State Agencies	-	-	-	-
SUBTOTAL	-	-	-	-
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	-	-	-	-

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2020**

STUDENT SERVICES

Expenditure Category	Actual FY2018	Estimated FY2019	Increase/ Decrease	Request FY2020
FTE Positions	27.70	28.00	1.30	29.30
Personal Services	1,535,100	1,550,500	15,500	1,566,000
Employee Related Expenditures	303,600	306,600	3,100	309,700
All Other Operating Expenditures			-	
Professional and Outside Services	151,100	152,600	1,500	154,100
Travel In State	7,000	7,100	100	7,200
Travel Out of State	14,200	14,300	100	14,400
Other Operating	74,800	75,500	800	76,300
Equipment	-	-	-	-
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	2,085,800	2,106,600	21,100	2,127,700
Indirect Costs	94,700	95,700	1,000	96,700
TOTAL EXPENDITURES	2,180,500	2,202,300	22,100	2,224,400
Pass Through Funds - State Agencies	-	-	-	-
Pass Through Funds - Non State Agencies	-	-	-	-
SUBTOTAL	-	-	-	-
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	2,180,500	2,202,300	22,100	2,224,400

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2020

STUDENT SERVICES	No. of Projects	Actual FY2018	Estimated FY2019	Estimated FY2020
U.S. Department of Education	8	2,180,500	2,202,300	2,224,400
TOTAL	8	2,180,500	2,202,300	2,224,400

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2020**

SCHOLARSHIPS AND FELLOWSHIPS

Expenditure Category	Actual FY2018	Estimated FY2019	Increase/ Decrease	Request FY2020
FTE Positions	0.60	0.60	-	0.60
Personal Services	33,200	33,500	300	33,800
Employee Related Expenditures	8,900	9,000	100	9,100
All Other Operating Expenditures			-	
Professional and Outside Services	77,200	78,000	800	78,800
Travel In State	2,400	2,400	-	2,400
Travel Out of State	5,000	5,100	100	5,200
Other Operating	46,588,800	47,054,700	470,500	47,525,200
Equipment	-	-	-	-
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	46,715,500	47,182,700	471,800	47,654,500
Indirect Costs	14,300	14,400	100	14,500
TOTAL EXPENDITURES	46,729,800	47,197,100	471,900	47,669,000
Pass Through Funds - State Agencies	-	-	-	-
Pass Through Funds - Non State Agencies	63,200	63,800	600	64,400
SUBTOTAL	63,200	63,800	600	64,400
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	46,793,000	47,260,900	472,500	47,733,400

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2020

SCHOLARSHIPS	No. of Projects	Actual FY2018	Estimated FY2019	Estimated FY2020
Department of Health and Human Services	5	605,500	611,600	617,700
National Science Foundation	8	406,600	410,700	414,800
U.S. Department of Education	4	45,717,700	46,174,800	46,636,500
Federal thru Local Agency	1	63,200	63,800	64,400
TOTAL	18	46,793,000	47,260,900	47,733,400

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2020**

INSTITUTIONAL SUPPORT

Expenditure Category	Actual FY2018	Estimated FY2019	Increase/ Decrease	Request FY2020
FTE Positions	-	-	-	-
Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
All Other Operating Expenditures				
Professional and Outside Services	-	-	-	-
Travel In State	-	-	-	-
Travel Out of State	-	-	-	-
Other Operating	-	-	-	-
Equipment	-	-	-	-
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	-	-		-
Indirect Costs				
TOTAL EXPENDITURES	-	-	-	-
Pass Through Funds - State Agencies	4,400	4,500	-	4,500
Pass Through Funds - Non State Agencies	-	-	-	-
SUBTOTAL	4,400	4,500	-	4,500
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	4,400	4,500	-	4,500

SUMMARY OF FEDERAL EXPENDITURES BY PROGRAM FY 2020

INSTITUTIONAL SUPPORT	No. of Projects	Actual FY2018	Estimated FY2019	Estimated FY2020
Federal thru State Agency	2	4,400	4,500	4,500
TOTAL	2	4,400	4,500	4,500

**Northern Arizona University
State of Arizona
Program Summary of Federal Funds FY 2020**

OPERATIONS MAINT OF PLANT

Expenditure Category	Actual FY2018	Estimated FY2019	Increase/ Decrease	Request FY2020
FTE Positions	0.00	0.00	0.00	0.00
Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
All Other Operating Expenditures				
Professional and Outside Services	-	-	-	-
Travel In State	-	-	-	-
Travel Out of State	-	-	-	-
Other Operating	-	-	-	-
Equipment	-	-	-	-
Risk Management	-	-	-	-
Utilities	-	-	-	-
Library Acquisitions	-	-	-	-
Total Direct Costs	-	-		-
Indirect Costs				
TOTAL EXPENDITURES	-	-	-	-
Pass Through Funds - State Agencies	-	-	-	-
Pass Through Funds - Non State Agencies	-	-	-	-
SUBTOTAL	-	-	-	-
Land Acquisition and Capital Projects	-	-	-	-
TOTAL EXPENDITURES	-	-	-	-

The image features a monochromatic orange-tinted background. In the center, the Northern Arizona University logo is displayed in a bold, dark blue font. The logo consists of the letters 'NAU' in a large, stylized font, with the 'A' containing a white geometric shape resembling a mountain peak. Below 'NAU', the words 'NORTHERN', 'ARIZONA', and 'UNIVERSITY' are stacked in a smaller, clean, sans-serif font. The background shows a hazy view of a university campus with various buildings and a large mountain range in the distance.

NAU
NORTHERN
ARIZONA
UNIVERSITY