

MODEL-BASED ASSESSMENT OF ASPEN
RESPONSES TO ELK HERBIVORY
IN ROCKY MOUNTAIN NATIONAL PARK, U.S.A.

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Running Title: Aspen Responses to Elk Herbivory

1 ABSTRACT

2 In Rocky Mountain National Park (RMNP), aspen (*Populus tremuloides* Michx.) has
3 been observed to be declining on elk (*Cervus elaphus nelsoni*) winter range for many
4 decades. To support elk management decisions, the SAVANNA ecosystem model was
5 adapted to explore interactions between elk herbivory and aspen dynamics. The simulated
6 probability of successful vegetative regeneration for senescent aspen stands declines sharply
7 when elk densities reach levels from 3 - 5 elk km⁻², depending on model assumptions for the
8 seasonal duration of elk foraging activities. For aspen stands with a substantial component of
9 younger trees, the simulated regeneration probability declines more continuously with
10 increasing elk density, dropping below 50% at densities from 8 - 14 elk km⁻².

11 At the landscape scale, simulated aspen regeneration probability under a scenario of
12 extensive seasonal use was little affected by elk population level, when this level was above
13 300 – 600 elk (25 – 50% current population) over the ca. 107 km² winter range. This was
14 because elk distribution was highly aggregated, so that a high density of elk occupied certain
15 areas, even at low population levels overall. At approximately current elk population levels
16 (1000 - 1200 elk), only 35% – 45% of senescent aspen stands are simulated as having at least
17 a 90% probability of regeneration, nearly all of them located on the periphery of the winter
18 range. Successful management for aspen persistence on core winter range will likely require
19 some combination of elk population reduction, management of elk distribution, and fencing
20 to protect aspen suckers from elk browsing.

21

22 KEYWORDS: herbivory, national park management, simulation models, ungulate population
23 management, *Populus tremuloides*, *Cervus elaphus*, plant-animal interactions

24

25 INTRODUCTION

26 Ungulate species, both wild and domestic, can profoundly influence the vegetation for which
27 they depend as a food base (Milchunas and others 1989, Hobbs 1996). Elk (*Cervus elaphus*
28 *nelsoni*) have been observed to exert pronounced effects on woody vegetation throughout the
29 western United States. For example, intensive herbivory by elk has suppressed willow (*Salix*
30 spp.) height and leaf biomass in Yellowstone National Park (Singer and others 1994, Kay
31 1997b), Rocky Mountain National Park (Singer and others 1998) and interior Alaska (Wolff
32 1978). Although elk are primarily grazers, they require substantial browse (i.e. leaf and twig
33 growth of woody plants) in the winter to maintain adequate levels of protein (Hobbs and
34 others 1981). In Rocky Mountain National Park (RMNP), as much as 44% of the winter diet
35 has been observed to be browse (Hobbs and others 1981).

36 A favored browse species of elk, quaking aspen (*Populus tremuloides* Michx.) may
37 constitute greater than 7% of the winter diet in Rocky Mountain National Park (Hobbs and
38 others 1981). Elk browse aspen leaves and twigs, and strip aspen bark. The effect of bark-
39 stripping on aspen mortality is unknown, although it may create inoculation sites for
40 pathogens that eventually lead to aspen mortality (Hinds 1985, Hart and Hart 2001). The
41 effect of browsing young aspen suckers is more apparent. Suckers may eventually die after
42 being browsed for multiple years, resulting in critical losses of root reserves for the parent
43 tree (Schier 1975). Suckers that are able to persist despite high levels of browsing may
44 develop a shrubby growth form as a result of repeated loss of the apical meristem (Olmsted
45 1977, 1997).

46 In Rocky Mountain National Park, aspen has been observed to be declining, in the
47 low-elevation valleys and dry parks constituting core elk winter range, for many decades
48 (Dixon 1939 from Gysel 1960, Olmsted 1977, Stevens 1979, Hess 1993, Baker and others

49 1997). Elk effects upon aspen in RMNP have been a contentious issue, and have been
50 repeatedly studied (Olmsted 1977, Baker and others 1997, Olmsted 1997, Suzuki and others
51 1999). Aspen regeneration on core elk winter range has been episodic, and associated with
52 periods of low elk population (Baker and others 1997). Elk populations have fluctuated
53 markedly over the past 150 years. Intensive hunting virtually extirpated elk from the park
54 from about 1875 to 1913, when 49 elk were transplanted to the ca. 107 km² winter range
55 (Hess 1993). After population levels increased rapidly to over 1000 elk by the late 1930's, elk
56 populations in RMNP were controlled by shooting from 1943 through 1962 (Olmsted 1977),
57 and relocation and trapping through 1967 (Hess 1993). Elk population levels were stabilized
58 by hunting and culling to an average of 587 elk from 1953 - 1967 (Hess 1993). In 1968, the
59 current natural regulation policy of elk management (i.e., no direct population management)
60 was implemented, after which elk numbers again increased to 800 - 1200 animals, and
61 successful aspen regeneration on core winter range became very infrequent (Baker and others
62 1997, Olmsted 1997). Since then, aspen has been observed to be in decline for much of the
63 RMNP elk winter range. Decline is manifested as failure of new shoots to regenerate and
64 grow to tree size, while overstory mortality continues at a rapid rate (Olmsted 1997).

65 The problem of aspen decline is not unique to Rocky Mountain National Park, but has
66 been observed throughout the American West (Gruell and Loope 1974, Mueggler 1989,
67 Romme and others 1995, Kay 1997a, White and others 1998). However, recent extensive
68 surveys employing unbiased sampling techniques suggest that earlier studies may have
69 underestimated the extent of aspen regeneration at the landscape level, for both Rocky
70 Mountain National Park and the southern portion of the Greater Yellowstone Ecosystem
71 (Suzuki and others 1999, Barnett and Stohlgren 2001). Aspen decline is commonly
72 attributed to a combination of fire suppression and elk herbivory (Gruell and Loope 1974,

73 Kay 1997a, Bartos and Campbell 1998). Fire suppression allows relatively long-lived, fast-
74 growing conifers to overtop, and eventually replace, aspen in seral stands. Kay (1997a)
75 suggested that aboriginal burning and effects of aboriginal hunters on reducing ungulate
76 populations were responsible for the establishment of many aspen stands.

77 If there is an aspen regeneration problem in Rocky Mountain National Park, it is
78 important that this problem be understood at the process level, to assist managers in making
79 intelligent decisions. Ecosystem simulation models are valuable tools for synthesizing
80 information about various ecological components in a holistic manner that makes explicit the
81 interactions between components. The interaction that matters most for this study is the
82 interaction between elk herbivory and aspen population dynamics. A model well-suited for
83 capturing that interaction as it unfolds over long time periods would allow us to: (1) better
84 understand causal mechanisms for observed effects of elk on aspen growth and regeneration;
85 (2) forecast what would happen to aspen regeneration given different levels of elk herbivory,
86 and different assumptions about the effects of elk herbivory on aspen dynamics; and (3)
87 identify gaps in our data and understanding that are critical for explaining and predicting elk
88 effects on aspen dynamics.

89 The primary objective of this research was to use an existing ecosystem model
90 (SAVANNA) to estimate levels of elk numbers that would be compatible with long-term,
91 significant aspen regeneration. We also considered the effects of fencing aspen stands for
92 varying time periods, and at different elk population levels following removal of fences.

93

94 MATERIALS AND METHODS

95 *SAVANNA Model Adaptation*

96 The SAVANNA model is composed of interacting submodels for weather, soils,

97 carbon, nitrogen, water, light, vegetation production and population dynamics, and ungulate
98 production and population dynamics, and has been applied to grassland, shrubland, savanna,
99 and forested ecosystems (Coughenour 1992, Ellis and others 1993, Ellis and Coughenour
100 1998, Peinetti 2000, Weisberg and others 2002). The model represents, at a weekly time step:
101 (1) vegetation dynamics as changes in plant functional group composition, (2) plant
102 production in response to climatic variables, including seasonal patterns, (3) plant responses
103 to herbivory, and (4) animal distribution, production, and population responses to climatic
104 variables and changing patterns of plant production and vegetation composition. We used
105 SAVANNA to model a single, one-hectare aspen stand over a range of elk densities (from 0 -
106 16 elk km⁻²). Results were extrapolated to the whole winter range using Arc/Info GIS
107 software, and GIS coverages for aspen stand locations and historical elk distribution.

108 We modeled three functional groups of plants (aspen, bunchgrasses, and forbs) and
109 one animal species (elk). The focal organism was aspen (Fig. 1), and other model elements
110 were parameterized to be ecologically “sensitive” only insofar as they might influence aspen
111 dynamics. For example, elk production, population dynamics and energetics were not
112 explicitly simulated. To explore the effects of different levels of elk herbivory on aspen, a
113 fixed number of elk were maintained for a fixed time period in aspen stands. Since aspen
114 represents only a small proportion (7%, Hobbs and others 1981) of the winter elk diet, it is
115 realistic to exclude negative feedbacks of aspen browse availability on elk condition or
116 population dynamics.

117 Key model parameters are presented in Table 1. It is beyond the scope of the paper to
118 present the mathematical formulation of SAVANNA; for a detailed model description, see
119 Coughenour (2001; available upon request from Rocky Mt. National Park, Estes Park, CO).

120 Elk density was treated as a model input variable (Fig. 1), derived from either aerial

121 survey or simulated elk distribution data. Elk consumption of aspen was permitted to reach a
 122 maximum of 0.012 kg aspen per kg elk, per day (Table 1). This value was obtained by
 123 calibrating the model to achieve levels of aspen production and elk offtake observed by
 124 Olmsted (1997). However, elk intake rates generally did not reach the maximum value, and
 125 were calculated as:

$$126 \quad I = I_{\max} * \text{MIN}(F_{\text{fresp}}, F_{\text{snow}}) * F_{\text{sat}} \quad (1)$$

127
 128 where: I = intake rate (kg forage / kg animal / day); I_{\max} = maximum intake rate (kg forage /
 129 kg animal / day); F_{fresp} = effect of functional response (feedback of forage availability (g m^{-2})
 130 upon forage intake rate, Spalinger and Hobbs 1992) (0 – 1 scalar); F_{snow} = effect of snow
 131 depth (cm) on forage intake rate multiplier (0 – 1 scalar); and, F_{sat} = effect of satiation on
 132 forage intake rate (0 – 1 scalar).

133 Aspen population dynamics were modeled using 6 age classes in 10-year increments.
 134 Using this approach, it was feasible to consider the youngest age class as aspen suckers.
 135 Mortality rate parameters for the oldest age class were chosen to allow aspen to attain a
 136 maximum age of 150 years. Individual aspen trees can live for longer than 200 years (Jones
 137 and Schier 1985), but most aspen stands in the region are likely to succumb to senescence
 138 and disease, and deteriorate by 150 years. Individual trees within classes are not modeled
 139 explicitly, although each class may be represented by a "mean tree." The maximum size for
 140 each class, with regard to stem diameter, canopy diameter, root diameter, upper canopy
 141 height, lower canopy height, stem biomass, total root biomass, fine root biomass, and fine
 142 twig biomass, was estimated using allometric equations and other information from a variety
 143 of sources (Table 1; Beetle 1974, Olmsted 1977, Bartos and Johnson 1978, Ruark 1985,
 144 Wang and others 1995). Potential growth rates were set so that trees under reasonable
 145 growing conditions could grow from one age class to the next in 10 years. Actual growth

146 rates are calculated according to a semi-mechanistic photosynthesis submodel, where net
 147 primary production (NPP) is influenced by light, water, temperature, nitrogen, and
 148 temperature. The NPP submodel is explicitly linked to a water budget submodel through
 149 transpiration and plant water use (Ball and others 1987). Allocation of NPP among plant
 150 tissues utilizes an allometric approach, where allometry varies with aspen age class (Table 1).

151 Aspen regeneration was modeled as occurring under two different mechanisms, both
 152 considered to represent suckering. Regeneration by seed was not considered a possibility, as
 153 it has very seldom been observed in the western United States, except following rare events
 154 such as extensive and severe wildfires (Kay 1993, Romme and others 1997). The first
 155 mechanism allows suckering to occur in the presence of an overstory. The maximum number
 156 of suckers per month, per living tree, is specified for each age/size class (Table 1).

157 Unfortunately, there are no useful data on suckering rates of aspen in the absence of
 158 overstory mortality. Therefore, these age-class-specific values were calculated using data for
 159 stem density as a function of stand age (Shepperd 1990, 1993), on the assumption that 2000
 160 suckers per ha per year is a representative suckering rate under optimal conditions. The
 161 second mechanism requires setting suckering rates associated with overstory tree mortality,
 162 such that a specified number of suckers attempt to establish following mortality of a tree in a
 163 given age/size class (Table 1). These values were based on a maximum suckering
 164 establishment rate, following complete overstory removal, of 40,000 suckers per ha per year,
 165 as has been observed following fire (Bartos and Mueggler 1980). Simulated rates of
 166 establishment are further influenced by water availability, temperature, and competition with
 167 herbaceous plant species (Table 1):

$$168 \quad Eff = F_{\text{watr}} * F_{\text{temp}} * \text{MIN}(F_{\text{herb}}, F_{\text{wcv}}) \quad (2)$$

169 where: Eff = the effect of environmental factors on tree regeneration; F_{watr} = the effect of

170 available soil moisture; F_{temp} = the effect of temperature; F_{herb} = the effect of herbaceous root
171 biomass; and, F_{wcv} = the effect of woody canopy cover.

172 For this study, the main mortality influence on aspen suckers was modeled as the
173 effect of elk herbivory. Simulated effects of elk herbivory on aspen included biomass effects
174 and population effects. Biomass effects occurred when elk herbivory maintained aspen
175 suckers at low heights and low levels of shoot biomass. Lower levels of elk herbivory
176 allowed a number of suckers to grow beyond elk reach height and form a viable regeneration
177 cohort. Population effects occurred when elk herbivory killed aspen suckers according to a
178 specified relationship between the proportion of the sucker browsed and the probability of
179 sucker mortality (Table 1). Sucker mortality probability was simulated as 1.0 when 20% of
180 the sucker woody biomass was browsed. This value is similar to the “breakeven level” of
181 30% twig volume reduction proposed by Olmsted (1977, 1997), where aspen stands in the
182 study area with greater reduction were more likely to have experienced declining stand
183 density over the 20-year period of study. The 20% value was arrived at through the model
184 calibration process described below, by starting with the 30% Olmsted value, and then
185 allowing the parameter to vary until simulated results for aspen production most closely
186 matched observed results. Elk-induced mortality of older trees (e.g. from bark-stripping) was
187 not represented, suggesting that our results for elk effects on aspen may be conservative.

188

189 *Model Calibration and Testing*

190 The model was calibrated and tested using data for nine aspen stands on elk winter
191 range in RMNP, for which long-term data were available for aspen production, elk offtake of
192 aspen, and aspen sucker density. These sites were originally sampled in 1975-6 (Olmsted
193 1977), and re-sampling occurred in 1985-6 and 1995-6 (Olmsted 1997). Specific information

194 for each site used in model calibration were its elevation, slope steepness, slope aspect,
195 winter elk density (see below), canopy cover in 1975-6, and age class structure in 1975-6.
196 Except for elk density, this information comes from Olmsted (1977), Olmsted (1997), and
197 unpublished data from Olmsted's work.

198 Winter elk densities for model calibration were obtained from spatially explicit
199 SAVANNA runs of elk distribution, utilizing habitat suitability algorithms that include
200 forage availability (total forage biomass, herbaceous green biomass), slope steepness,
201 modeled climatic variables (snow depth, mean daily temperature), and the existing density of
202 elk on a particular patch. Outputs from spatially explicit SAVANNA runs were at a 25 ha
203 resolution, coarser than the 1 ha resolution of the GIS coverage used for delineating aspen
204 stands. Average annual winter elk density for each aspen stand was calculated as the mean
205 simulated elk density at that location, from January – April, for each year from 1970 – 1998.

206 We simulated aspen dynamics at the nine sites from 1970 - 1998, using historical
207 weather data. Observed data for aspen production and elk offtake were available for the
208 winters of 1975 - 1976, 1985 - 1986, and 1995 - 1996 (Olmsted 1997). For these three
209 seasons, we compared observed and simulated shoot production of current year's growth on
210 all twigs up to 2 m in height. Observed shoot production, measured in October, was provided
211 in units of twig volume. We converted twig volume (cubic cm) to twig wet weight (g) using
212 the empirical relationship from Olmsted (1977):

$$213 \quad \textit{Weight} = (0.671 * \textit{Volume}) + 0.236 \quad (3)$$

214 Elk intake rate for aspen was calibrated until there was reasonable agreement between
215 observed and simulated elk offtake. Observed elk offtake for the winter months was
216 calculated as the difference between twig volume measured in October and twig volume
217 measured in May of the following year, converted to units of weight.

218 We conducted statistical testing of model outputs, using all nine sites. This does not
 219 represent an independent validation, since all sites were used in model calibration, but does
 220 provide some indication as to the predictive ability of the model, for this particular
 221 application. A statistical validation of SAVANNA for a nearby area in northern Colorado is
 222 reported in Weisberg and others (2002), where simulated herbaceous biomass and offtake by
 223 elk closely matched observed data from a controlled grazing field experiment. The basic
 224 model operation has been validated elsewhere, in various studies (e.g. Ellis and others 1993,
 225 Coughenour 2001, Boone and others 2002). For the RMNP application, we used
 226 environmental and stand structural data specific to each site, and compared aspen sucker
 227 production, sucker density, and winter elk offtake from aspen suckers between observed and
 228 simulated data. Aspen sucker density and offtake data were sufficiently skewed to require
 229 square root and logarithmic transformations, respectively, prior to statistical validation.

230 We also analyzed the sensitivity of aspen canopy cover, aspen sucker production, and
 231 aspen sucker density, to variation in several of the key input parameters. Following Friend
 232 and others (1993), each parameter was increased (P_1) and decreased (P_0) by 10%, and a
 233 sensitivity index calculated as:

$$234 \quad \beta = \frac{R_1 - R_0}{R_0} \bigg/ \frac{P_1 - P_0}{P_0}, \quad (4)$$

235 where R = response variable, $R_0 = R$ when parameter is P_0 , and $R_1 = R$ when parameter is P_1 .

236

237 *Simulation Experiments*

238 The 1970 - 1998 calibration runs for the Beaver Meadows-Deer Ridge (BMDR) and
 239 Lower Beaver Meadows (LBM) sites were used to initialize a set of experimental runs for the
 240 2000-2059 period. These two sites were chosen to represent different aspen stand structures

241 now present on the winter range. The BMDR site (*i.e.*, senescent stand) has an older age
242 structure representative of decadent lower-elevation aspen stands in the Park, having a
243 moderate level of crown cover, a moderate to high winter elk density, and lacking successful
244 establishment of a regenerating aspen cohort. The LBM site (*i.e.*, young stand) represents
245 aspen stands including a younger age cohort of aspen, due to successful aspen regeneration
246 during the herbivore population control period of the 1950s and 1960s (Olmsted 1977). The
247 great majority of aspen stands on core winter range more closely resemble the BMDR site
248 (Baker and others 1997).

249 The seasonal duration of elk habitat use of RMNP winter range aspen is surprisingly
250 little known, so we simulated multiple scenarios reflecting different assumptions. For the
251 Heavy Use scenario, elk densities in the simulated aspen stand are at their maximum level for
252 November, December, January, February, March, and April; at 80% of their maximum level
253 for October; at 50% of their maximum level for May and September; and at 10% of their
254 maximum level for June, July, and August. This general pattern of elk distribution is
255 consistent with a recent, detailed study of elk movements (Larkins 1997), but may be not be
256 representative where aspen stands are utilized for shorter periods during winter, and not at all
257 during summer. Therefore, we also simulated a Light Use scenario, where elk densities are at
258 their maximum level for December and January; at 50% of their maximum level for
259 November and February; and are at 0 for the rest of the year. A field survey of winter elk
260 movement and habitat use in the Park found elk use of aspen stands to be substantial (as
261 much as 35% of total habitat use) from October through December, but virtually zero from
262 January through April, when elk increased their use of grassland habitats (Clarke and others
263 1994). These results suggest the Light Use scenario may more accurately describe elk use of
264 aspen stands. We also simulated a Moderate Use scenario, where elk densities are maximal

265 from January through April; at 50% of their maximum level for May, November and
266 December; and are at 0 from July through October.

267 Fence scenarios were simulated by setting elk population density to zero for the
268 duration of the fencing treatment (10, 20, or 30 years), and then setting elk population density
269 to the specified level upon completion of the treatment. All fence experiments used the
270 Moderate Use scenario for elk use, and simulated senescent stands.

271 Experimental runs used randomized weather patterns with a mean and variance for
272 temperature and precipitation similar to that of the 1910 - 1998 period. Elk density was
273 systematically varied between 0 – 16 elk km⁻² for nine simulation experiments (Table 2).

274

275 *Data Analysis*

276 To explore how various treatments affected the probability of aspen persistence, we
277 calculated the proportion of 60-year, random weather runs where the simulated aspen stand
278 successfully regenerated. An aspen canopy cover of at least 40% was considered a necessary
279 condition for regeneration success, while a canopy cover of at least 60% was considered a
280 sufficient condition. Note that the initial (1976) aspen canopy cover values for the BMDR
281 and LBM stands were 50% and 58%, respectively. Where aspen canopy cover was between
282 40% and 60%, regeneration success was considered to have occurred if one of the following
283 conditions were true: (1) Sucker density ≥ 1000 per ha; (2) Age class 2 (11 – 20 years)
284 density ≥ 500 per ha; (3) Density ≥ 1000 stems per ha in age classes 3 (21 – 30 years), 4 (31
285 – 40 years), and 5 (41 – 50 years) combined; (4) Density ≥ 300 stems per ha each for ≥ 3 age
286 classes from age class 3 to age class 6 (51 – 150 years). While these criteria are somewhat
287 arbitrary, they guarantee that only those stands are considered to have successfully
288 regenerated which have either increased in cover after 60 years, or have maintained most of

289 their original cover while younger cohorts have established.

290 Stand-level results were extrapolated to the landscape scale, over the extent of elk
 291 winter range within Park boundaries, in the Estes Valley area. The official RMNP GIS
 292 coverage for vegetation, based on 1987 aerial photography and estimated to be 80-85%
 293 accurate, was used to represent the distribution of aspen stands at a 1-ha resolution. Aspen
 294 stands are likely under-represented by this vegetation coverage, since they are often quite
 295 small (Stohlgren and others 1997). The aspen data layer was overlaid on two coverages of
 296 mean relative elk density, each obtained using 2 data sources: (1) aerial surveys of winter elk
 297 distribution from 1994 – 1998; and, (2) simulated elk density from spatially explicit
 298 SAVANNA runs of elk distribution from 1960 - 1998, as described in the Model Calibration
 299 and Testing section. Results from each method are interpreted and reported separately, to
 300 provide an indication of the sensitivity of our interpretations to the estimated spatial
 301 distribution of elk, a highly uncertain parameter.

302 Winter elk densities derived from 1994 - 1998 aerial survey (i.e., empirical) data were
 303 interpolated for each winter across all 1-ha pixels, in the portion of elk winter range within
 304 Park boundaries, using standard GIS operations (Fig. 2a). Interpolated elk density averaged
 305 over the 5 years was used to calculate a map of relative elk distribution, that was then used to
 306 predict elk population for each pixel, based on an overall elk population level for the RMNP
 307 winter range. Elk population level for each pixel was predicted as:

$$308 \quad n_i = (\rho_i / \sum \rho) * N_y \quad (5)$$

309 where: n_i = the number of elk occupying pixel i ; ρ_i = interpolated mean 1994 to 1998 elk
 310 density for pixel i ; $\sum \rho$ = the sum of interpolated 1994 to 1998 elk densities over all pixels on
 311 the Park winter range; and N_y = the elk population level for the Park winter range as a whole,
 312 which was varied systematically from 100 – 3000.

313 Elk population levels for each pixel using the simulated elk distribution data were
314 also calculated using Equation 5, except that, in this case, ρ_i = the simulated mean elk density
315 for one of three historical time periods, representing different elk population levels for the
316 RMNP winter range as a whole: 1960 – 1975 (400 – 600 elk), 1975 – 1982 (600 – 1000 elk),
317 1982 – 1998 (1000 – 1400 elk). This approach permits the relative distribution of elk to
318 change as total elk population changes.

319 Then, the expected probability of aspen regeneration success was estimated for each
320 stand given its estimated elk population level, according to threshold values derived from
321 simulation results. One hectare aspen stands with a regeneration success probability of \geq
322 90%, or \leq 10%, were separated from other aspen stands, and the spatial pattern of aspen
323 regeneration success was shown in map form, under different assumptions concerning elk
324 population level, elk distribution patterns, seasonal intensity of elk use, and developmental
325 stage of aspen stands.

326 The proportion of regenerating aspen stands on the winter range was described
327 graphically, for Heavy Use and Light Use scenarios, and for elk population levels from 100 –
328 3000 elk km⁻². Given a study area of ca. 107 km², the 100 elk level would represent a density
329 of about 1 elk km⁻², while the 3000 elk level would represent a density of about 28 elk km⁻²,
330 if elk density were homogeneous over the winter range. However, elk density over the winter
331 range is far from homogeneous (Fig. 2). For example, using the empirical data, an overall
332 population level of 1219 elk in 1998 corresponded to a density of about 7 elk km⁻² at the
333 BMDR site, but to a density of about 56 elk km⁻² at the heavily utilized Moraine Park site.

334

335 RESULTS

336 *Model Testing*

337 A sensitivity analysis found that model outputs for aspen canopy cover, aspen sucker
338 production, and aspen sucker density, were especially sensitive to the user-defined function
339 specifying the relationship between browsing intensity and aspen sucker mortality (Table 3).
340 Sucker production and density were also quite sensitive to the other parameters tested,
341 representing different aspects of aspen population dynamics. Aspen canopy cover was the
342 least sensitive of the three response variables tested, supporting its use as the primary
343 criterion for specifying simulated aspen regeneration success.

344 Based on the statistical testing of model predictions for the nine sites, mean aspen
345 production does not differ significantly between observed and simulated values for any of the
346 years considered (Table 4). Coefficients of determination for the regression fit between
347 observed and simulated production values range from 0.62 to 0.91. The simultaneous F-Test
348 for regression bias indicates that the hypothesis of a biased model cannot be rejected for the
349 1995-6 season, and confidence intervals for regression slope do not include 1.0 for all years
350 except 1975-6. These results suggest that, with regard to aspen production, the model
351 generates quite reasonable results with a minor but significant bias, where the model under-
352 predicts for low values, but over-predicts for high values, of aspen production (Fig. 3a).

353 Mean elk offtake of aspen does not differ significantly from observed offtake,
354 although simulated offtake is lower for all years (Table 5). While validation results appear
355 acceptable when only mean values are considered, the model poorly predicted offtake at a
356 given site for a given year (Table 5, Fig. 3b). The model underpredicts offtake at low values,
357 there is a wide scatter around the line of perfect fit, and linear regression relationships
358 between observed and predicted offtake are not significant for individual years. We attribute
359 the poor performance of the model at this level to uncertainty associated with elk density for
360 a given site and year. Simulated elk distributions may be accurate as generalizations, but lack

361 sufficient precision to predict the number of elk at a fine temporal and spatial resolution. This
362 is demonstrated by the occurrence of high observed offtake values in the Olmsted (1997) data
363 set, for particular sites and winters for which no or few elk were predicted. For example, elk
364 offtake of aspen at the Little Horseshoe Park (LHRP) site was observed by Olmsted (1997) to
365 be 15.49 g/m² in 1975-76, while estimates of mean elk density for that winter at that site were
366 only 2.88 and 5.01 elk km⁻² using the empirical and simulation elk distribution methods,
367 respectively. Even though 1975 aspen sucker production was predicted fairly accurately for
368 this site (19.53 g/m² observed vs. 17.13 g/m² predicted), there would be no chance for the
369 model to predict offtake accurately given available estimates for mean elk density. However,
370 errors associated with incorrect estimates of elk density may average out, over the 60 year
371 period of the experimental runs, and at the scale of the entire winter range.

372 Model output for aspen sucker density is not directly comparable with observed aspen
373 density, since observed aspen density includes suckers of very small size, while simulated
374 aspen suckers have minimum heights and crown diameters of 0.5 m and 0.15 m, respectively.
375 However, observed and simulated sucker density are positively associated according to the
376 following linear regression relationship ($R^2 = 0.45$; $F_{(1,25)} = 20.73$; $p < 0.01$):

$$377 \quad y = 2.61x + 48.09 \quad (6)$$

378 where y = square-root transformed observed aspen sucker density, and x = square-
379 root transformed simulated aspen sucker density.

380

381 *Stand-level Results*

382 Aspen regeneration success is clearly much greater when elk use is less prolonged
383 over the course of the year (Fig. 4a). Under the Heavy Use scenario, aspen regeneration
384 success for the senescent stand is high at 1 elk km⁻², moderate at 2 elk km⁻², and decreases

385 sharply between 2 and 3 elk km⁻². For this same stand under the Moderate Use scenario,
386 aspen regeneration success is still moderate at 3 elk km⁻², is low at 4 elk km⁻², and is 0 at elk
387 densities of 5 km⁻² or greater. Under the Light Use scenario, simulated aspen at the senescent
388 stand has a greater than 50% chance of regeneration at elk densities of up to 5 km⁻², but
389 declines gradually to 0 by 8 elk km⁻².

390 An alternative means of estimating elk density levels beyond which senescent aspen
391 stands may decline is through the analysis of simulated aspen canopy cover (Fig. 5a). By the
392 end of the 60-year simulations, mean aspen canopy cover has dropped sharply below the
393 initial level of 50.5% at densities of 3 elk km⁻², 4 elk km⁻², and 6 elk km⁻², for heavy use,
394 moderate use, and light use scenarios, respectively.

395 The probability of aspen regeneration success despite moderate or high levels of elk
396 browsing increases greatly if a younger aspen age cohort is present (Fig. 4b). For such stands,
397 aspen regeneration success under the Heavy Use scenario is ≥ 0.90 for up to 3 elk km⁻², ≥ 0.50
398 for up to 7 elk km⁻², and 0 by 9 elk km⁻². Simulated aspen canopy cover drops significantly
399 below its initial value of 57.5% by 8 elk km⁻² (Fig. 5b). Under the Moderate Use scenario,
400 aspen regeneration success is ≥ 0.90 for up to 4 elk km⁻², ≥ 0.75 for up to 7 elk km⁻², then
401 drops off sharply, reaching 0 by 12 elk km⁻². Simulated aspen canopy cover drops to 40% by
402 8 elk km⁻², and drops sharply lower to about 20% by 10 elk km⁻². Aspen regeneration success
403 for the Light Use scenario does not drop below 0.40 by the maximum elk density tested, of
404 16 elk km⁻² (Fig. 4b). At least 90% of simulation stands regenerated at density levels of up to
405 7 elk km⁻². Simulated canopy cover drops slightly below its initial level at a density of 14 elk
406 km⁻² (Fig. 5b).

407 Aspen regeneration success is also significantly improved by fencing senescent aspen
408 stands (Fig. 4c). As might be expected, fencing aspen stands for longer time periods improves

409 the probability of aspen persistence. Fencing for 10 years, followed by a 50-year period
410 without fences, does not improve the probability of aspen persistence much relative to a
411 control scenario of no fencing at all. However, aspen regeneration probability does not
412 appear to be ensured even at moderate elk densities with the 30-year fence scenario. This is
413 because the aspen stands again begin to deteriorate, once the fences come down.

414

415 *Landscape-level Results*

416 The landscape-level results for the proportion of regenerating aspen stands (*i.e.*,
417 PRegen = at least a 90% probability of regenerating) as a function of winter range elk
418 population level show similar patterns, regardless of whether elk distributions are derived
419 from empirical or simulated data (Figs 6 & 7). However, PRegen using the simulated
420 distributions shows more of a steady rise with decreasing elk population, for elk population
421 levels below about 600 elk (heavy use – senescent stands), 900 elk (light use – senescent
422 stands), and 1300 elk (light use – younger stands). Also, PRegen is generally lower using the
423 simulated elk distribution data (Fig. 6). This is because simulated elk distribution is less
424 concentrated than that derived directly from empirical data (Fig. 2), but is also more focused
425 on aspen stand locations. Also, simulated elk distributions are output at a coarser resolution
426 (25 ha) than that produced by the empirical GIS interpolation (1 ha), resulting in the steplike
427 response curves shown in Fig. 6b.

428 The Heavy Use scenario yields the interesting result that PRegen for senescent stands
429 is little affected by elk population level (Figs 6 & 7), except at levels below about 300 elk
430 where empirical data are used to specify elk distributions (Fig. 6a), or below about 600 elk
431 where simulated elk distributions are used (Fig. 6b). Using the empirical distributions, fewer
432 than 60% of senescent aspen stands have a $\geq 90\%$ probability of regenerating even at 200

433 elk, although most of the remaining stands (85%) have at least a 10% probability of
434 regenerating (Fig. 7). Using the simulated elk distributions, PRegen at 200 elk is even lower.

435 The landscape-level results for the Moderate Use scenario are only slightly different
436 from those of the Heavy Use scenario, and are not shown in Figures 6 and 7. There is a
437 greater difference between elk population extremes for senescent stands, given the Light Use
438 Scenario (Figs 6 & 7). Given the empirical elk distributions, there is little effect of elk
439 population level on PRegen until population levels of below about 500 elk have been reached
440 (Fig. 6a). Given the simulated elk distributions, there is a 10% increase in PRegen as elk
441 population level is reduced from 1000 to 900, and then little effect of elk population on
442 PRegen until about 400 elk, below which PRegen increases markedly (Fig. 6b).

443 Younger stands (dashed lines on Fig. 6) are better able to perpetuate themselves under
444 elk browsing pressure than senescent stands. Since the threshold value of 90% aspen
445 regeneration probability is 3 elk km⁻² for both Heavy Use-Senescent Stands and Light Use-
446 Younger Stands scenarios (Figs. 4a, b), these two scenarios produce identical results for
447 landscape-level PRegen. The Light Use-Younger Stands scenario shows PRegen increasing
448 significantly with decreasing elk population levels below population levels of about 1000 elk
449 (empirical elk distributions) or 800 elk (simulated elk distributions) (Fig. 6).

450 At approximately current elk population levels (1000 - 1200 elk), only 35% – 45% of
451 senescent aspen stands are simulated as having a 90% probability of regeneration success
452 (Fig. 6). Nearly all of these are located on the periphery of the winter range (Fig. 7). Given
453 the Heavy Use scenario, most of the difference between the 200 and 1000 elk population
454 levels occurs for aspen in the vicinity of Horseshoe Park and Beaver Meadows, although
455 some stands in these areas still fail to regenerate even at the lower elk levels (Fig. 7). Given
456 the Light Use scenario, the only stands that fail to regenerate at 200 elk are in Moraine and

457 Horseshoe Parks.

458

459 DISCUSSION

460 *Elk Distribution Patterns and Aspen*

461 The landscape-level analysis suggests that senescent aspen stands at lower elevations
462 on the core winter range, that are not successional to conifers and occur as isolated forest
463 patches within a grassland matrix, may be in jeopardy except at extremely low elk population
464 levels (Figs 6 & 7). This is because elk distribution in the winter range is highly aggregated
465 (Fig. 2), so that a high density of elk occupy Horseshoe Park, Beaver Meadows, and Moraine
466 Park, even at low population levels overall. For example, model results suggest the heavily
467 utilized, Moraine Park aspen stand would have less than a 10% probability of successful
468 regeneration even at 200 elk, under either the Heavy or Moderate Use scenarios. If elk
469 distribution in winter is actually as aggregated as Figure 2 suggests, then maintenance of
470 declining aspen stands may require management intervention at a local scale. Reductions of
471 the elk herd at the scale of the whole winter range may have little effect on aspen
472 regeneration success in core winter range areas. The only scenarios where reductions of
473 overall elk population level below current levels (approximately 1000) were simulated as
474 having a relatively continuous effect on the probability of aspen regeneration, were those
475 involving aspen stands which included a younger (< 30 years old) cohort. Such stands are
476 now exceedingly rare on core winter range (Baker and others 1997).

477 Furthermore, aspen stands on the periphery of elk winter range maintain low levels of
478 elk density, and so have high aspen regeneration probabilities even at high elk population
479 levels overall, provided they are not overtopped by conifers, a process we did not simulate
480 (Fig. 7). This result agrees with field observations of Suzuki and others (1999), who observed

481 ample aspen regeneration on peripheral elk winter range in RMNP.

482

483 *Data Gaps and Model Uncertainty*

484 This model application has helped to identify critical gaps in our understanding of
485 elk-aspen interactions in RMNP. The effect of elk herbivory on aspen sucker mortality has
486 been insufficiently quantified, but is a parameter to which the model is extremely sensitive
487 (Table 3). Also relatively unknown is the effect of bark removal by elk on long-term survival
488 of mature aspen trees, of different sizes and ages. It would also be useful to know much more
489 about aspen suckering rates, both under a closed aspen canopy, as well as in disturbed stands.
490 Ideally, this should be studied in exclosures, to allow quantification of maximum potential
491 rates of aspen sucker establishment, under different climatic conditions.

492 Our results clearly show that the seasonal extent of elk use of aspen stands is a very
493 important driving variable, if we are to understand the impacts of elk on long-term aspen
494 dynamics. We need to learn more about elk use of aspen for forage and cover in RMNP, over
495 time scales from hours to years.

496 While more empirical research needs to be done on certain key model parameters
497 before we can confidently forecast the results of a given management scenario, our modeling
498 results represent an integration of a vast amount of available data, and our best assessment at
499 this time. Ideally, the modeling effort should evolve in synchrony with field research
500 designed to reduce our uncertainty about critical processes and causal relationships.
501 Unfortunately, certain key model parameters and inputs (e.g., elk distribution at fine spatial
502 scales) may never be known for the historical period over which the model can be verified
503 using time series or repeat sampling data.

504

505 *Implications for Elk and Aspen Management*

506 Implications of these results for elk and aspen management in RMNP depend very
507 much on the specific nature of management goals. Management goals addressing RMNP's
508 mandate to "...try to maintain all the components and processes of naturally evolving park
509 ecosystems, including the natural abundance, diversity, and ecological integrity of plants and
510 animals" (National Park Service 1988), may be expressed along a gradient of complexity and
511 spatial resolution. The specific goal might be to: (1) maintain aspen as a species on RMNP
512 winter range; (2) maintain a component of valley bottom and dry park aspen on core elk
513 winter range; or (3) try to maintain the existing aspen stands in the dry parks and valley
514 bottoms on core elk winter range. The second goal permits aspen stands to fluctuate across
515 the landscape, while the third goal takes a more static approach.

516 If the goal is simply to maintain some aspen in winter range, then the current natural
517 regulation policy for elk management would be satisfactory. It is unlikely that elk population
518 levels would become high enough for aspen stands along the periphery of the winter range to
519 experience high enough levels of elk herbivory to prevent successful aspen regeneration. At
520 some point in the future, fires or silvicultural treatments might be required for these areas to
521 maintain aspen. Aspen stands at the periphery of elk winter range are higher in elevation and
522 tend to be successional to conifers.

523 Continuation of the current natural regulation policy is not likely to satisfy goals 2
524 and 3 without intensive management of aspen regeneration. Our simulation results suggest
525 that aspen decline on core winter range areas in RMNP would be expected solely on the basis
526 of elk population densities over the past several decades. These results are corroborated by
527 empirical observations of successful aspen establishment and multi-cohort stands within
528 exclosures, and widespread failure of aspen to regenerate outside exclosures (Olmsted 1977,

529 Baker and others 1997). Natural regulation is not effective for allowing aspen regeneration on
530 core winter range because aspen may be thought of as a “secondary prey” species, unable to
531 exert a negative feedback on elk population levels because it constitutes only a small portion
532 of the elk diet, but susceptible to impacts of high elk population levels supported by the
533 availability of other winter forage sources.

534 To maintain sufficient levels of aspen regeneration to ensure persistence of aspen in
535 heavily utilized areas (e.g., Horseshoe Park, Beaver Meadows, and Moraine Park), while
536 continuing the policy of natural regulation, it may be necessary to intensively manage for
537 aspen regeneration by fencing aspen stands for long time periods (at least a 30-year period is
538 suggested, Fig. 4c). However, aspen stands are likely to decline should fencing be followed
539 by a long (e.g., 30-year) period without fences (Fig. 4c). In addition to fences, prescribed fire
540 and mechanical disturbances (ripping, bulldozing) might be useful for stimulating abundant
541 suckering (Shepperd 1996).

542 Discontinuing the policy of natural regulation, in favor of a policy that reduces the
543 size of the elk herd, may not be sufficient to create aspen persistence in the core of Estes
544 Valley winter range. In a study of aspen population dynamics at RMNP, Baker and others
545 (1997) found that aspen cohorts in the past have regenerated only when there were fewer than
546 600 elk on the winter range, or approximately one-half of the current level. This is consistent
547 with our simulation results for the Heavy Use scenario where simulated elk distributions are
548 used, and for the Light Use scenario where empirical elk distributions are used (Fig. 6). For
549 these scenarios of elk habitat use and distribution, aspen regeneration probability begins to
550 increase with decreasing elk population level at levels below 500-600. However, even at the
551 600 elk level, the probability of aspen regeneration may be quite low for many senescent
552 stands under all scenarios of elk use (Figs 6 & 7). Apparently, the 600-elk threshold

553 identified in Baker and others (1997) represents a population level where aspen cohorts can
554 initiate in certain places, but not in others where local elk densities reach higher levels.

555 Our results suggest that a population reduction to 100 – 300 elk may be necessary to
556 allow regeneration of 90% of the Park's senescent aspen stands. Less extreme reductions of
557 the overall winter range elk population level may not be effective unless they are combined
558 with management of local elk distribution for stimulating successful aspen establishment.

559 If aspen stands on core winter range were younger and more vigorous, formation of
560 new age cohorts would be more likely to occur, for a given elk density (Fig 4b). Also, the
561 establishment of dense patches of young aspen might present a physical barrier to elk
562 herbivory, allowing additional aspen suckers to establish. Our results suggest that, while elk
563 densities required for establishment of vigorous, multi-aged aspen stands might be initially
564 low, such aspen stands once established would be able to withstand greater elk densities.

565 Wolf reintroduction in RMNP might also facilitate aspen regeneration over much of
566 the winter range. In Yellowstone National Park, where wolf reintroduction began in 1995,
567 aspen suckers appear to be significantly taller in riparian areas heavily utilized by wolves
568 than in areas of low wolf use (Ripple et al. 2001). Prior to their extirpation, wolves may have
569 limited the RMNP herd to fluctuations within a range of 300 – 800 elk (Coughenour 2001).
570 However, several recent studies from Yellowstone National Park and the Canadian Rockies
571 suggest that wolves may influence herbivore-plant interactions more through their behavioral
572 effects, by altering elk spatial distributions, than through their numerical effects of reducing
573 elk numbers (Ripple and Larsen 2000, Ripple and others 2001, White and others 2003). One
574 might reasonably hypothesize that, if wolves were present, aspen on core winter range might
575 be sustained at higher elk population levels than otherwise. This also implies that results from
576 this modeling study for RMNP should not be directly extrapolated to other nature reserves

577 where predators are present.

578 Successful management for aspen regeneration in the Estes Valley will likely require
579 some combination of: overall elk population reduction; management of elk distribution;
580 fencing to protect aspen suckers from elk browsing; mechanical disturbance or limited
581 prescribed fire to stimulate suckering for stands with inherently low reproductive potential;
582 and even chemical repellents to deter elk browsing at specific locations (Baker and others
583 1999). Since abundant aspen suckering has occurred in most existing exclosures,
584 demonstrating the reproductive potential of these stands while an aspen overstory is still
585 present, protection from elk browsing should play a far greater role in management plans
586 than prescribed fire or mechanical disturbance.

587 Even with intensive management of elk distribution and elk access to aspen
588 regeneration, it is unlikely that aspen could be maintained on Estes Valley core winter range
589 locations without an overall reduction of the RMNP elk herd. This study suggests that, if
590 preserving aspen stands on core winter range is a goal, RMNP management will need to
591 reduce the overall elk herd size, while simultaneously conducting intensive, site-level
592 activities to propagate aspen within the Estes Valley portion of the winter range.

593 While the recommended level of intervention (i.e., culling and fencing) may be
594 controversial for a natural area such as RMNP, such measures may be necessary for
595 maintaining aspen stands on core elk winter range. Whether these measures are consistent
596 with RMNP's overall mandate to manage for a "natural system" is a complex, philosophical
597 question that cannot be resolved using the methods of this study. It is arguable that, in the
598 absence of large predators, human intervention may sometimes be necessary to maintain
599 natural vegetation patterns and processes where large ungulate herds are present.

600

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607

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Table 1. Selected input parameters for the SAVANNA model as applied to this study. Where (X, Y) pairs are given, these refer to user-input “table functions” where the modelled functional relationship is a linear interpolation between coordinate pairs (i.e., for a given X value, a Y value is interpolated). Where six values are listed continuously on a line, these refer to each of six age classes in 10-year increments.

<u>Parameter</u>	<u>Value</u>	<u>Units</u>	<u>Source</u>
FORAGING SUBMODEL			
I_{\max}	0.012	kg forage / kg animal / day	fit (see text)
F_{fresp}	(0., 0.), (4.6, 0.7), (15., 1.)	(gm^{-2} forage; unitless)	fit (see text)
F_{snow}	(5., 1.), (40., 0.)	(cm snow depth; unitless)	Sweeney and Sweeney (1984)
R	2.0	meters	Murie 1951
ASPEN ALLOMETRY			
H	2.00, 5.81, 6.83, 7.67, 8.38, 13.0	m	Olmsted (1977), Suzuki data
D_C	0.60, 1.10, 1.30, 1.50, 1.95, 3.60	m	Beetle (1974)
D_s	3.60, 11.4, 13.5, 15.2, 16.6, 26.1	cm	Olmsted (1977), Suzuki data
M_L	0.08, 0.29, 0.48, 0.70, 1.20, 5.10	kg	Wang <i>et al.</i> (1995)
M_W	1.59, 26.6, 40.6, 56.6, 73.7, 212.	kg	Wang <i>et al.</i> (1995)
M_{FB}	0.08, 0.14, 0.30, 0.44, 0.76, 3.21	kg	Bartos and Johnson (1978)
M_R	0.64, 6.40, 8.43, 10.7, 13.6, 21.6	kg	Ruark (1985)
M_{FR}	0.08, 0.29, 0.48, 0.70, 1.20, 5.10	kg	guess
ASPEN ESTABLISHMENT AND MORTALITY			
E	0.00, 0.01, 0.08, 0.12, 0.16, 0.17	suckers mo^{-1}	see text
S	0.00, 0.02, 1.62, 2.45, 3.33, 3.39	suckers mo^{-1}	see text
F_{watr}	(0.9, 0.), (1., 1.)	(relative water content; unitless)	Jones <i>et al.</i> (1985)
F_{temp}	(5., 0.), (11., 1.)	($^{\circ}\text{Celsius}$; unitless)	Jones <i>et al.</i> (1985)
F_{herb}	(0., 1.), (410., 0.)	(gm^{-2} biomass; unitless)	fit
F_{wcv}	(0., 1.), (0.4, 0.2), (0.9, 0.0)	(proportional cover; unitless)	fit
B	(0., 0.), (0.2, 1.0)	(proportion browsed; unitless)	fit (see text)
N_m	0.28, 0.02, 0.02, 0.02, 0.02, 0.02	proportion stems per year	fit

Table 1. Continued.

Abbreviations

I_{\max} = maximum intake rate; F_{fresp} = effect of functional response (feedback of forage availability upon forage intake rate, Spalinger & Hobbs 1992); F_{snow} = effect of snow depth on forage intake rate multiplier; R = elk reach height (above snow level, if present); H = tree height; D_C = crown diameter; D_s = stem diameter (dbh) ; M_L = leaf biomass; M_w = wood biomass (stem + branch); M_{FB} = fine branch biomass; M_R = total root biomass; M_{FR} = fine root biomass; E = maximum suckering rate per living tree per month; S = maximum suckering rate per dying stem per month; F_{water} = effect of water (relative water content of soil) on establishment; F_{temp} = effect of temperature on establishment; F_{herb} = effect of herbaceous root biomass on establishment; F_{wcv} = effect of woody canopy cover on establishment; B = fraction of current annual growth browsed vs. fraction suckers killed; N_m = nominal (background) mortality rate

Table 2. Simulation experiments conducted using the SAVANNA model. All experiments ran for 60 years, using 20 stochastic weather replicates. BMDR refers to the senescent Beaver Meadow-Deer Ridge stand, while LBM refers to the Lower Beaver Meadows stand, which includes a younger aspen cohort. See text for explanation of elk use levels.

Elk Density (per km²)	Level of Elk Use	Duration of Fence Period (yrs)	Simulated Aspen Stand
0 - 16	Heavy	0	BMDR
0 - 16	Moderate	0	BMDR
0 - 16	Light	0	BMDR
0 - 16	Heavy	0	LBM
0 - 16	Moderate	0	LBM
0 - 16	Light	0	LBM
0 - 16	Moderate	10	BMDR
0 - 16	Moderate	20	BMDR
0 - 16	Moderate	30	BMDR

Table 3. Sensitivity of aspen canopy cover, aspen sucker production, and aspen sucker density to selected model input parameters. For parameter abbreviations and values used in the model, see Table 1.

Parameter	Aspen Canopy	Aspen Sucker	Aspen Sucker
	Cover	Production	Density
E	0.32	4.84	4.47
S	0.18	4.26	4.11
I_{\max}	-3.53	-4.17	-4.09
B	15.18	33.20	29.78
N_m	-3.78	-4.02	-3.92

Table 4. Statistical comparison of simulated and observed data for aspen production (g/m^2).

The paired t-test tests the hypothesis that the mean difference between simulated and observed values is 0. All regression relationships between observed and predicted values are significant at $\alpha = 0.01$. The simultaneous F-statistic for model bias tests the hypothesis that slope = 1 and intercept = 0.

<u>Winter Season</u>	<u>1975-76</u>	<u>1985-86</u>	<u>1995-96</u>	<u>Overall</u>
Number of observations	9	9	9	27
Mean (simulated)	6.88	4.41	2.20	4.50
Mean (observed)	7.33	3.63	2.49	4.48
Paired t-test statistic	0.54	-0.61	0.33	-0.03
(2-sided p-value)	0.60	0.56	0.75	0.98
Regression R^2	0.91	0.62	0.83	0.76
Regression slope	1.11	0.50	0.51	0.80
(95% CI)	0.77 to 1.45	0.15 to 0.85	0.30 to 0.71	0.61 to 0.98
Regression Bias F	0.78	2.83	7.94	1.29
(p-value)	0.50	0.15	0.02	0.29

Table 5. Statistical comparison of simulated and observed data for elk offtake of aspen (g/m^2). Original units are reported for mean values, although all statistical analyses use logarithmically transformed values. The paired t-test tests the hypothesis that the mean difference between simulated and observed values is 0. Only regression relationships between observed and predicted values significant at $\alpha = 0.05$ are shown. The simultaneous F-statistic for model bias tests the hypothesis that slope = 1 and intercept = 0.

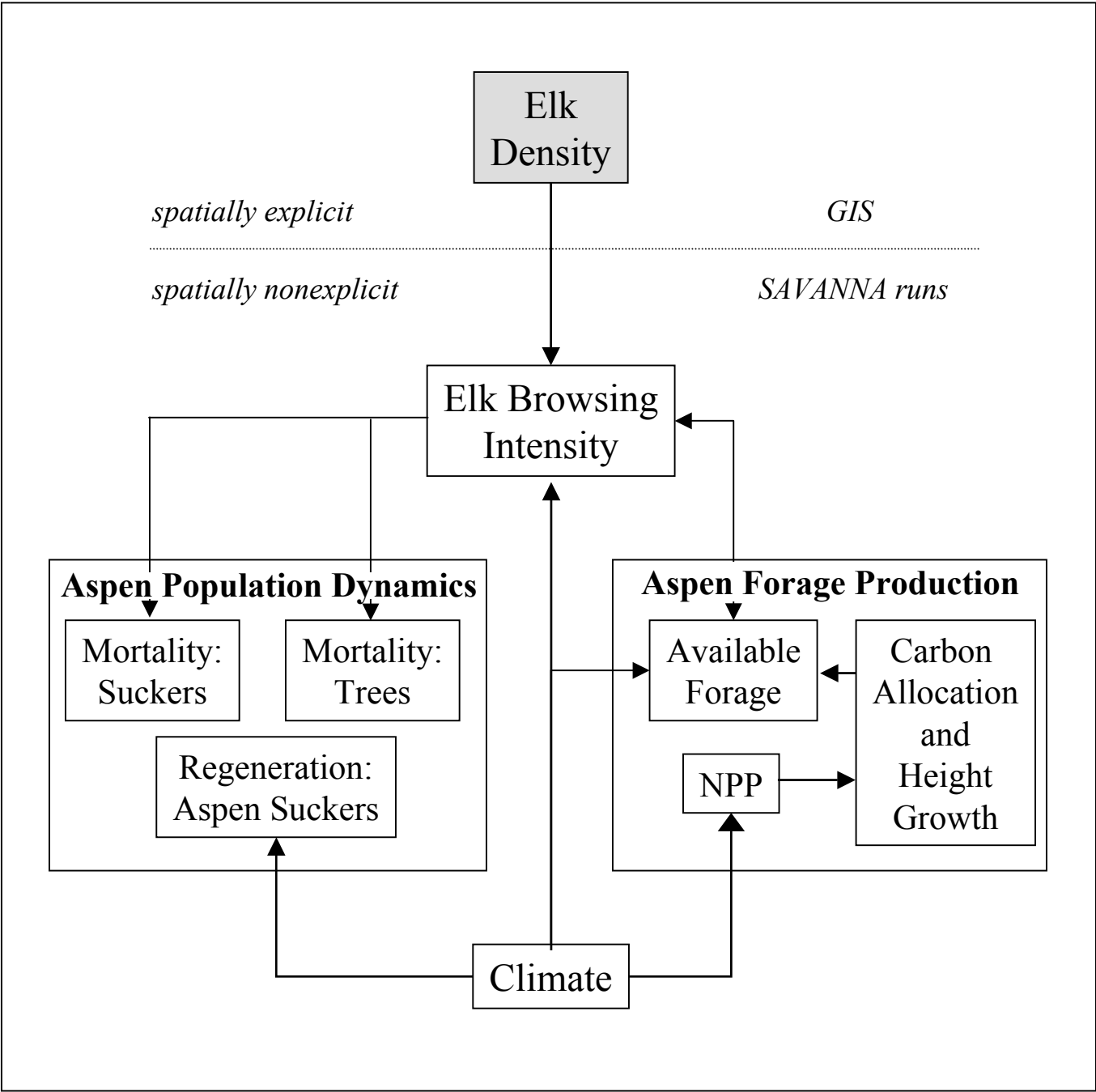
<u>Winter Season</u>	<u>1975-76</u>	<u>1985-86</u>	<u>1995-96</u>	<u>Overall</u>
Number of observations	9	9	9	27
Mean (simulated)	2.36	0.86	0.61	1.27
Mean (observed)	3.43	1.48	0.98	1.96
Paired t-test statistic	0.56	0.47	1.11	1.11
(2-sided p-value)	0.59	0.65	0.30	0.28
Regression R^2	ns	ns	ns	0.15
Regression slope	ns	ns	ns	0.39
(95% CI)	ns	ns	ns	0.01 to 0.77
Regression Bias F	ns	ns	ns	0.37
(p-value)	ns	ns	ns	0.69

Figure Headings.

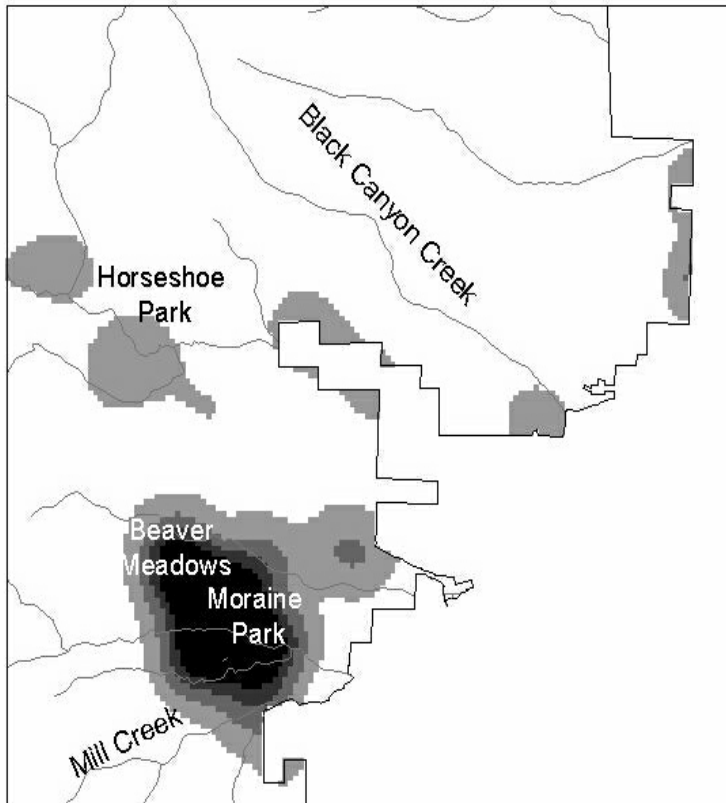
1. The conceptual framework for our adaptation of the SAVANNA model to the problem of elk herbivory on aspen in Rocky Mountain National Park.
2. Maps of elk distribution (elk density, scaled to standard deviation units). (a) based on mean February elk density for the 1994 - 1998 period, estimated from aerial surveys (Singer *et al.* 1998). (b) based on SAVANNA simulations of winter (January – April) elk distribution, 1960 – 1998.
3. Model verification, using data derived from field observations of aspen sucker volume production, for 3 winters at decadal intervals, over 9 sites (Olmsted 1997). (a) Comparison of observed vs. simulated aspen sucker production (current annual growth up to 2 m in height). (b) Comparison of observed vs. simulated elk offtake of aspen. The data have been transformed using the natural logarithm.
4. Aspen regeneration success for different levels of elk density. The Heavy, Moderate, and Light Use treatments refer to the duration of elk access to the aspen stand over the course of the year (see text for detailed explanation). The proportion of regenerating stands (y-axis) refers to the proportion of stochastic simulation runs where the simulated aspen stand successfully regenerated by the end of the 60-year simulation runs (see text for detailed explanation). (a) reported for Heavy, Moderate, and Light Use treatments, for senescent aspen stands without fences. (b) as for (a), but for stands with a younger cohort present. (c) for fencing scenarios of varying duration, followed by a moderate level of seasonal elk use. Elk densities are those following removal of fences.

Figure Headings. Continued.

5. Aspen canopy cover (mean of 20 random simulation runs, after 60 years), reported for Heavy, Moderate, and Light Use treatments, and for different levels of elk density. (a) for senescent aspen stands. (b) for aspen stands with a younger cohort. The solid horizontal lines indicate the initial canopy cover of each treatment.
6. The proportion of aspen-dominated area on elk winter range with successful aspen regeneration for at least 90% of random simulation runs, for different elk population levels (x-axis), seasonal use intensities, and for senescent (solid curves) vs. younger (dashed curves) aspen stands. (a) using elk distribution map based on interpolated aerial survey data (1994 – 1998). (b) using SAVANNA simulations of winter (January – April) elk distribution (1960 – 1998).
7. Predicted effects of three different elk population levels aspen regeneration success of senescent aspen stands, for heavy use (columns 1 – 2) and light use (column 3) scenarios. Results for the heavy use scenario are compared between GIS extrapolations using either simulated elk distribution maps (column 1) or empirical elk distribution maps (column 2) as inputs. The aspen regeneration probability, defined in the text, is calculated over 20 random weather scenarios where elk population is held constant.

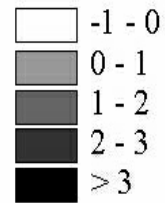


a.

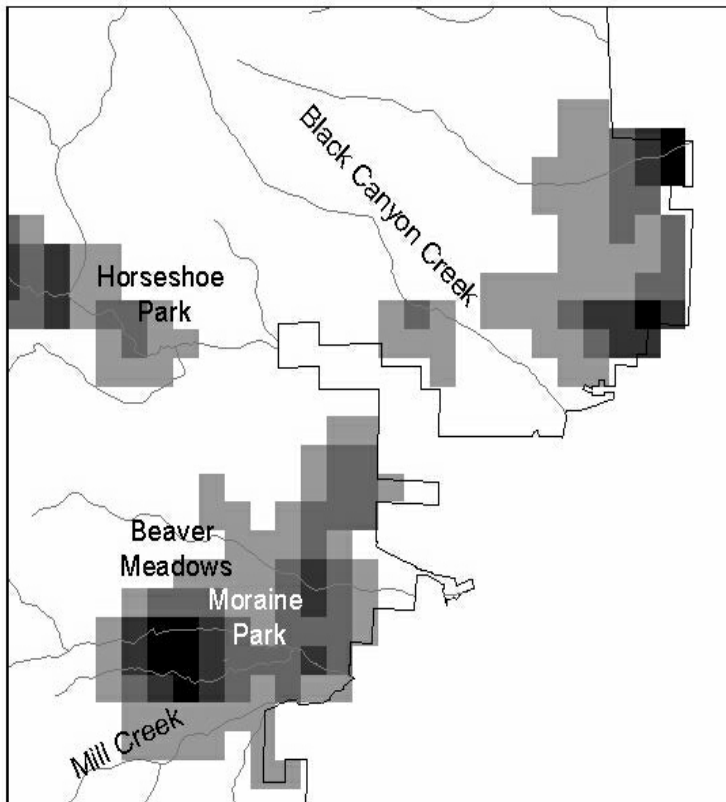


**Aerial Survey,
1994 - 1998**

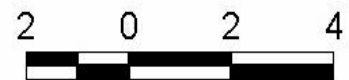
Relative Elk Density
(standard deviation units)



b.

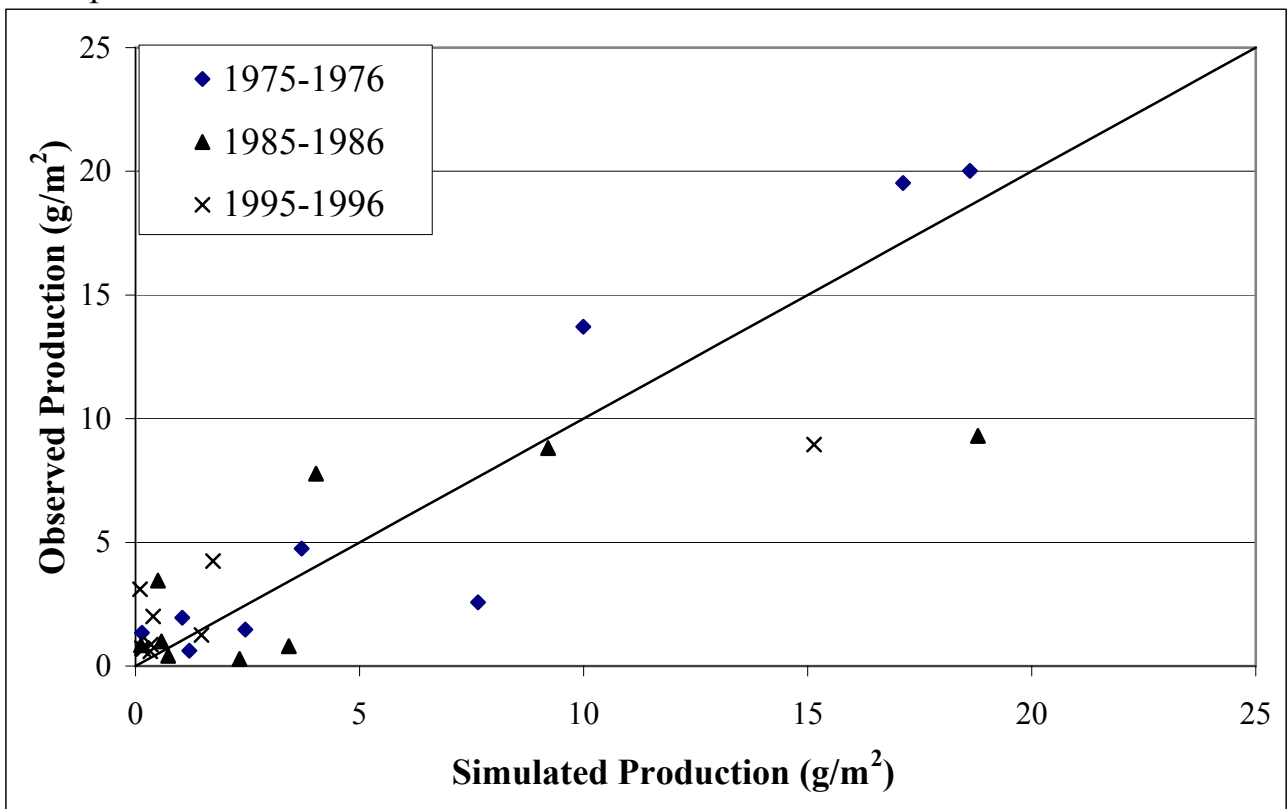


**Simulated Distribution
1960 - 1998**

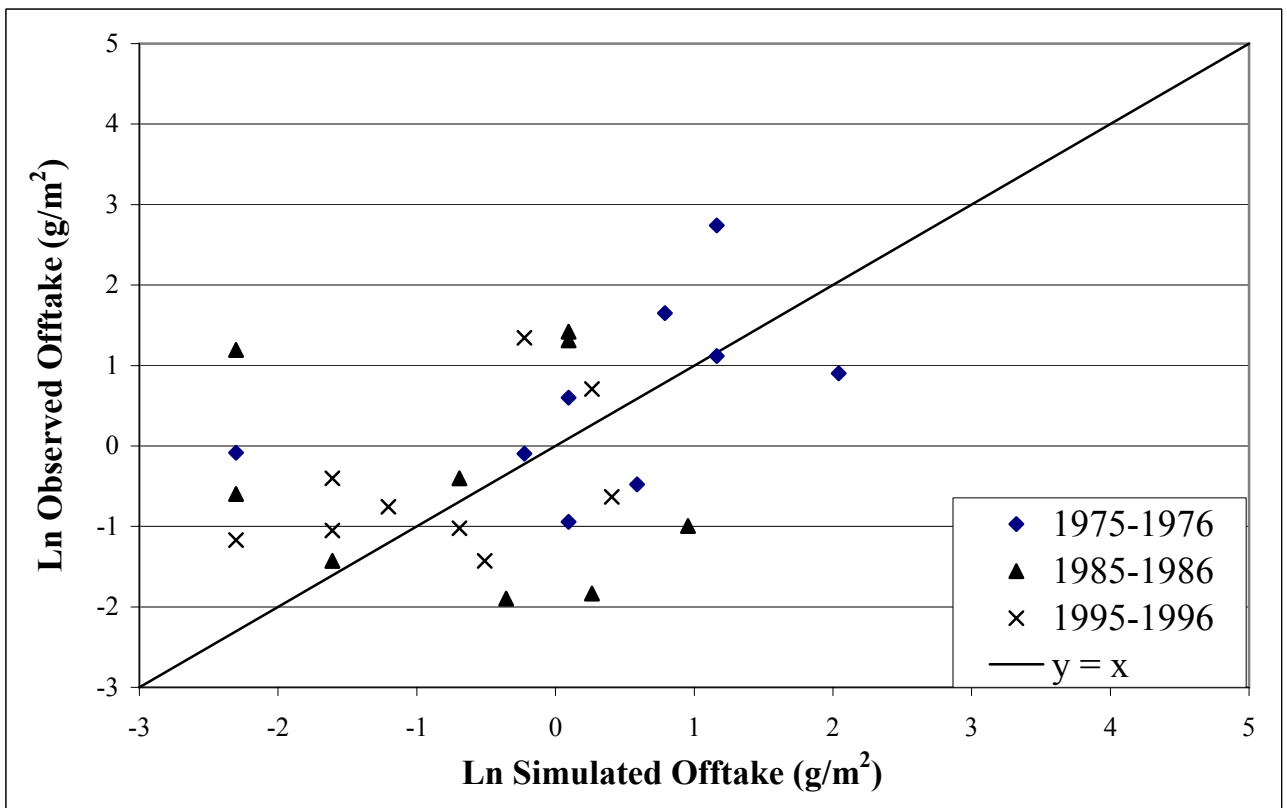


Kilometers

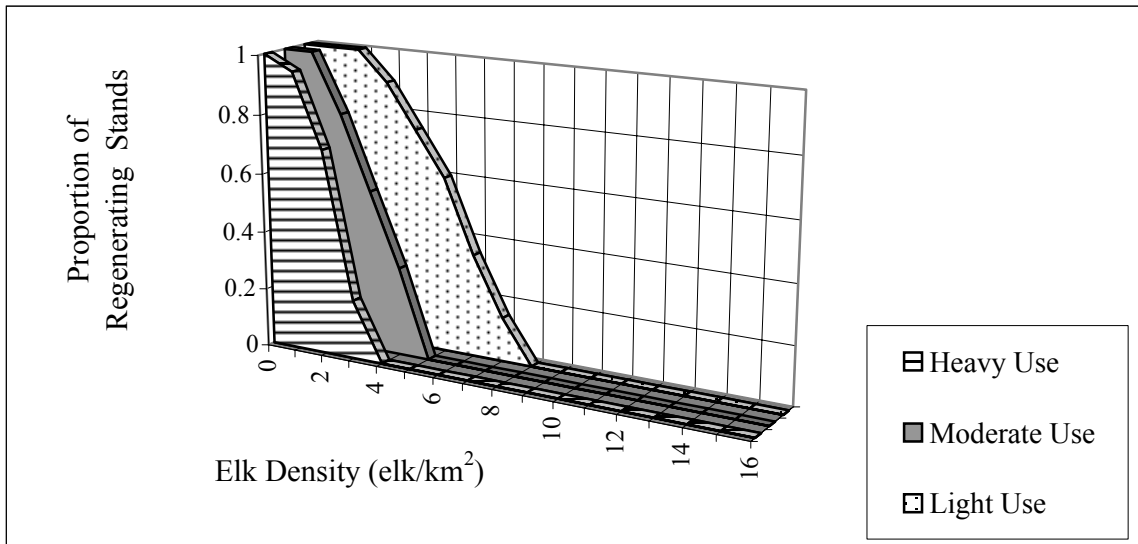
a. Aspen Sucker Production



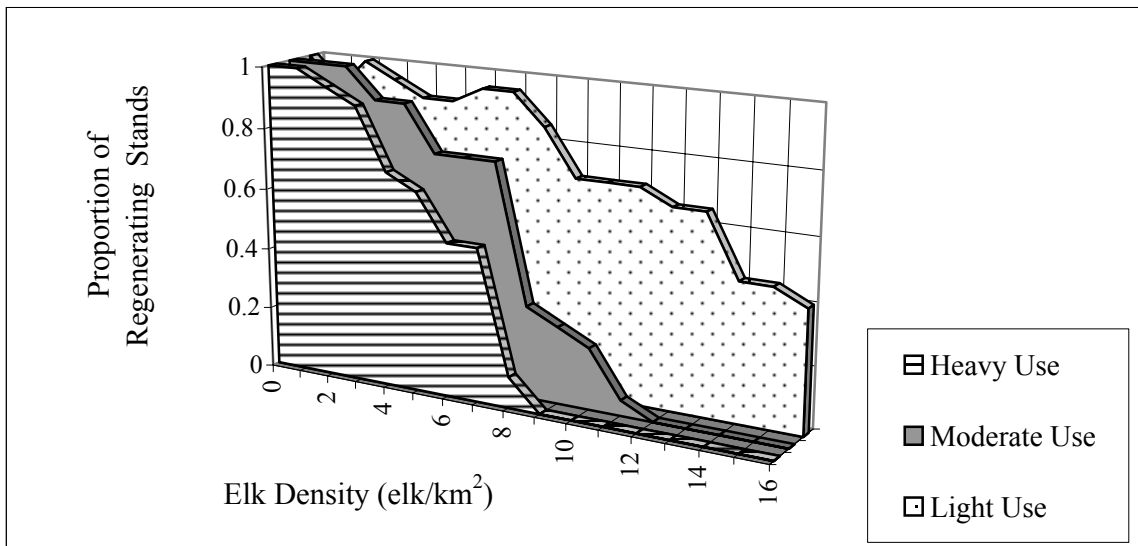
b. Elk Offtake of Aspen (logarithmic transformation)



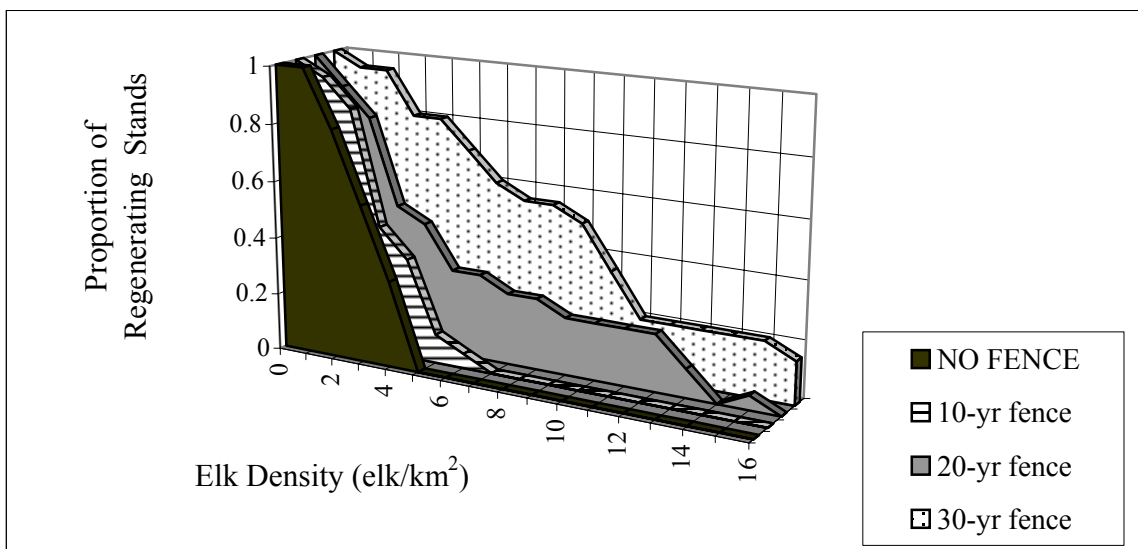
a. No Fences, Senescent Stand



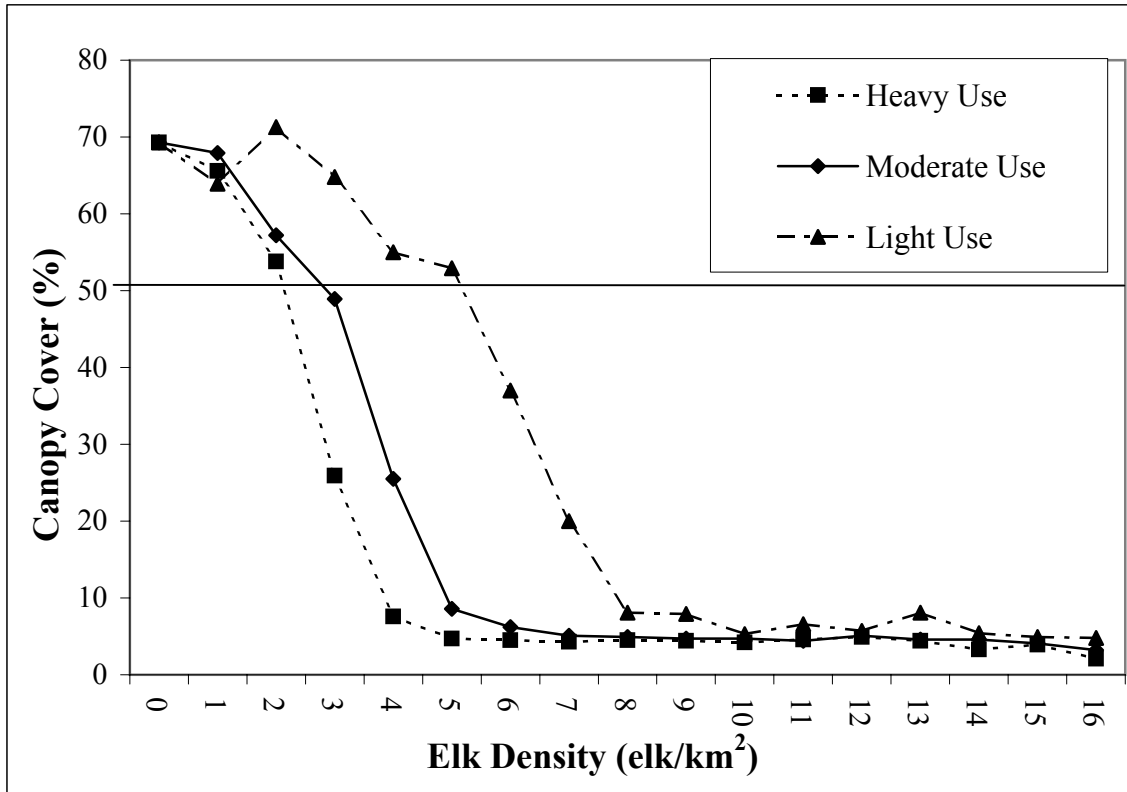
b. No Fences, Stand with a Younger Cohort



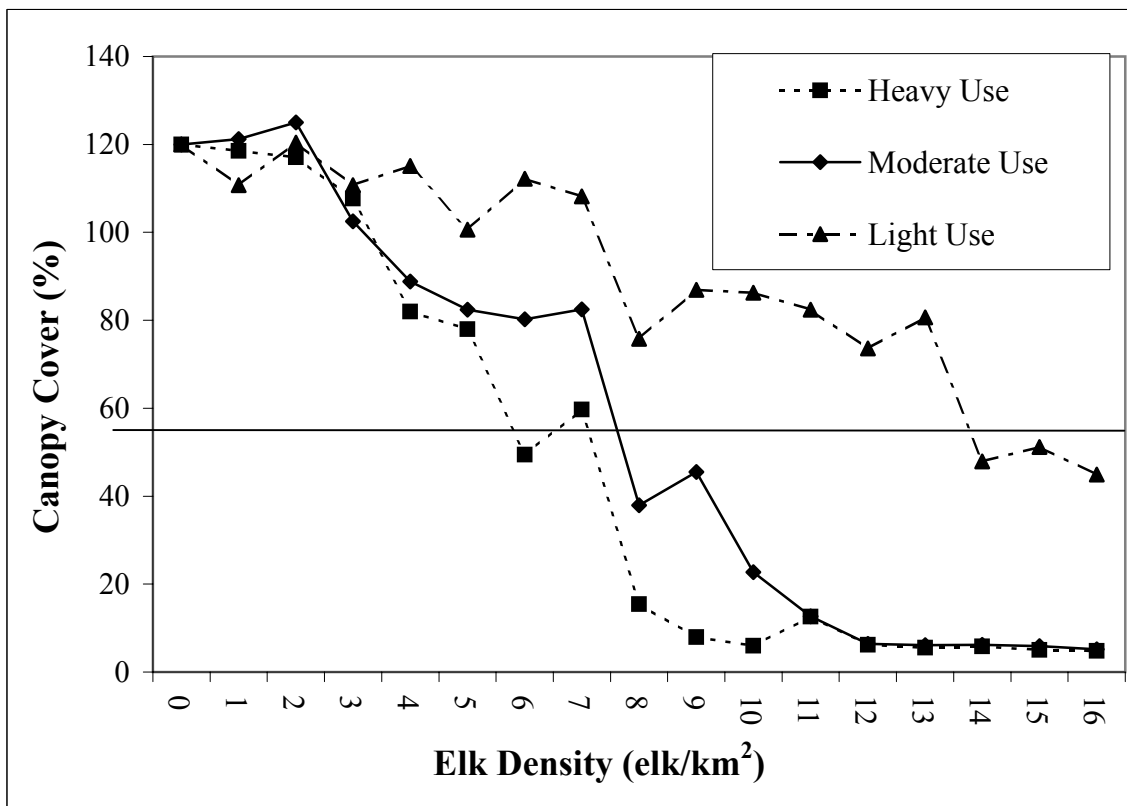
c. Moderate Use After Fences, Senescent Stand



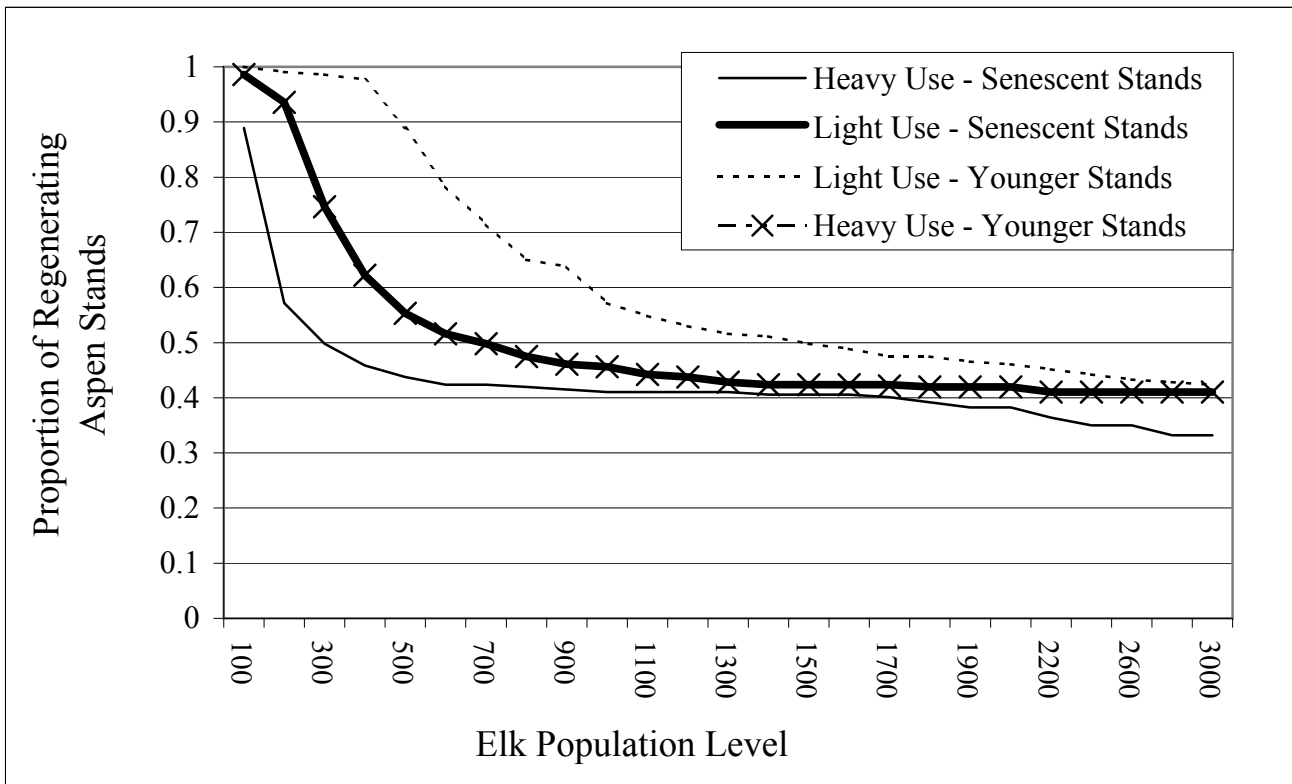
a. Senescent Stand



b. Stand with a Younger Cohort



a. Elk Distribution from Aerial Surveys (1994 - 1998)



b. Elk Distribution from SAVANNA Simulations (1960 - 1998)

